

## Quarterly Listing of Audit Requests for GOC Consideration

Fourth Quarter 2015						
#	Topic Area	Possible Areas of Focus	Rough \$ estimate	Covered by other topic?	Past or current efforts in this area?	Additional Information
1	The Board of Licensing for Professional Land Surveyors-- Department of Professional and Financial Regulation	The Board's effectiveness in addressing complaints filed against licensed surveyors.	<p>Board is funded through dedicated revenue from licensees. Cost of a two year license is \$275.</p> <p>2015 actual expenditures: \$96,641</p> <p>2016 budget: \$82,540</p>	No	No	<p>The Board of Professional Land Surveyors is authorized under Title 32 MRSA ch. 141, Title 5 §12004-A, subsection 21 and Title 10 §8003, subsection 5-A.</p> <p>The State Board of Licensure for Professional Land Surveyors was established to protect the public through regulation of the practice of land surveying in the State of Maine. There are currently 542 licensed professional surveyors and 99 surveyors in training.</p> <p>The board has seven members appointed by the Governor for five year terms. The Board's makeup is established in statute as two public members and five licensed professional land surveyors who have been licensed for at least 10 years prior to appointment to Board. The Board falls under the administrative umbrella of the Office of Professional and Occupational Regulation (OPOR) within the Department of Professional and Financial Regulation.</p> <p>The primary responsibilities of the Board include setting licensing criteria for the profession, examining and licensing qualified applicants, hearing complaints against licensees and taking disciplinary action when necessary.</p> <p>OPOR provides administrative support for the Board's complaint process and there is an Assistant Attorney General assigned to the Board. Over the past 6 years, the Board of Professional Land Surveyors has averaged between 7 and 9 cases opened and concluded annually.</p>

## Quarterly Listing of Audit Requests for GOC Consideration

					<p>The purpose of the licensing system is to protect the public against dishonest or unethical practitioners, and practitioners who have fallen below minimum standards of competence in the practice of their profession. Violators are subject to disciplinary action that can range from a formal warning to revocation of a license.</p> <p>This request resulted from concerns that the Board does not take appropriate action, up to and including revocation of licenses, in response to complaints about violations of licensing requirements and/or professional standards. Related concerns are that the Board may be biased in favor of land surveyors because so many members of the Board share that profession and that there is little turnover in Board members.</p>
--	--	--	--	--	--