

**CHAPTER 13**

**DEALERS IN SECURITIES**

**SUBCHAPTER 1**

**GENERAL PROVISIONS**

**§751. Title**

**(REPEALED)**

SECTION HISTORY

PL 1967, c. 484, §1 (AMD). PL 1973, c. 111 (AMD). PL 1973, c. 212, §1 (AMD). PL 1977, c. 696, §235 (AMD). PL 1979, c. 697, §§1-3 (AMD). PL 1981, c. 448, §1 (RPR). PL 1985, c. 400, §1 (RP).

**§751-A. Definitions**

**(REPEALED)**

SECTION HISTORY

PL 1981, c. 448, §2 (NEW). PL 1985, c. 400, §1 (RP).

**§752. Service of notices**

**(REPEALED)**

SECTION HISTORY

PL 1973, c. 585, §11 (AMD). PL 1981, c. 448, §3 (AMD). PL 1985, c. 400, §1 (RP).

**§753. Appeals**

**(REPEALED)**

SECTION HISTORY

PL 1973, c. 585, §11 (AMD). PL 1977, c. 694, §555 (RPR). PL 1985, c. 400, §1 (RP).

**§754. Penalties and enforcement provisions**

**(REPEALED)**

SECTION HISTORY

PL 1967, c. 484, §2 (AMD). PL 1973, c. 585, §11 (AMD). PL 1981, c. 448, §4 (RPR). PL 1985, c. 400, §1 (RP).

**§755. Fraudulent and other prohibited practices**

**(REPEALED)**

SECTION HISTORY

PL 1967, c. 484, §3 (NEW). PL 1985, c. 400, §1 (RP).

**§756. Rules and regulations**

**(REPEALED)**

SECTION HISTORY

PL 1967, c. 484, §4 (NEW). PL 1973, c. 585, §11 (AMD). PL 1977, c. 694, §556 (AMD). PL 1981, c. 448, §§5,6 (AMD). PL 1985, c. 400, §1 (RP).

## **SUBCHAPTER 2**

### **BANK SUPERINTENDENT**

#### **§801. Director of Securities Division; examiners**

**(REPEALED)**

##### SECTION HISTORY

PL 1967, c. 484, §5 (AMD). PL 1973, c. 585, §11 (AMD). PL 1985, c. 389, §11 (RP). PL 1985, c. 400, §1 (RP).

#### **§802. List of dealers**

**(REPEALED)**

##### SECTION HISTORY

PL 1973, c. 585, §11 (AMD). PL 1975, c. 767, §25 (RPR). PL 1981, c. 448, §7 (AMD). PL 1981, c. 698, §156 (AMD). PL 1983, c. 599, §1 (RPR). PL 1985, c. 400, §1 (RP).

#### **§803. List of securities; statement of assets and earnings**

**(REPEALED)**

##### SECTION HISTORY

PL 1967, c. 484, §6 (AMD). PL 1973, c. 585, §11 (AMD). PL 1985, c. 400, §1 (RP).

#### **§804. Information by Bank Commissioner to advisory committee**

**(REPEALED)**

##### SECTION HISTORY

PL 1967, c. 484, §7 (RP). PL 1985, c. 400, §1 (RP).

#### **§805. Revocation or suspension; dealer's registration**

**(REPEALED)**

##### SECTION HISTORY

PL 1973, c. 585, §11 (AMD). PL 1977, c. 694, §557 (AMD). PL 1981, c. 448, §8 (RPR). PL 1983, c. 599, §2 (AMD). PL 1985, c. 400, §1 (RP).

#### **§806. Revocation or suspension; agent's registration**

**(REPEALED)**

##### SECTION HISTORY

PL 1973, c. 585, §11 (AMD). PL 1977, c. 694, §558 (AMD). PL 1981, c. 448, §8 (RPR). PL 1983, c. 599, §3 (AMD). PL 1985, c. 400, §1 (RP).

#### **§807. Exemptions**

**(REPEALED)**

##### SECTION HISTORY

PL 1973, c. 55, §1 (NEW). PL 1973, c. 585, §11 (AMD). PL 1981, c. 448, §9 (AMD). PL 1985, c. 400, §1 (RP).

### **SUBCHAPTER 3**

### **REGISTRATION**

#### **§851. Registration required**

**(REPEALED)**

#### SECTION HISTORY

PL 1973, c. 55, §2 (AMD). PL 1981, c. 448, §10 (AMD). PL 1985, c. 400, §1 (RP).

#### **§852. Dealer application**

**(REPEALED)**

#### SECTION HISTORY

PL 1967, c. 484, §8 (AMD). PL 1973, c. 585, §11 (AMD). PL 1981, c. 448, §11 (RPR). PL 1983, c. 599, §4 (AMD). PL 1985, c. 400, §1 (RP).

#### **§853. Fees**

**(REPEALED)**

#### SECTION HISTORY

PL 1973, c. 585, §11 (AMD). PL 1975, c. 767, §26 (RPR). PL 1981, c. 448, §12 (RPR). PL 1983, c. 599, §5 (RPR). PL 1985, c. 400, §1 (RP).

#### **§854. Expiration of registration**

**(REPEALED)**

#### SECTION HISTORY

PL 1973, c. 585, §11 (AMD). PL 1975, c. 767, §27 (RPR). PL 1981, c. 448, §12A (RPR). PL 1983, c. 553, §46 (AMD). PL 1983, c. 599, §6 (RPR). PL 1985, c. 400, §1 (RP).

#### **§855. Use of evidence of registration**

**(REPEALED)**

#### SECTION HISTORY

PL 1973, c. 585, §11 (AMD). PL 1981, c. 448, §13 (AMD). PL 1983, c. 599, §7 (RPR). PL 1985, c. 400, §1 (RP).

#### **§856. Agents; application; examinations**

**(REPEALED)**

#### SECTION HISTORY

PL 1967, c. 484, §9 (AMD). PL 1973, c. 585, §11 (AMD). PL 1975, c. 767, §§28,29 (AMD). PL 1981, c. 448, §§14-18 (AMD). PL 1983, c. 553, §46 (AMD). PL 1983, c. 599, §§8-10 (AMD). PL 1985, c. 400, §1 (RP).

#### **§857. Exempted dealers**

**(REPEALED)**

#### SECTION HISTORY

PL 1973, c. 212, §2 (NEW). PL 1979, c. 697, §7 (AMD). PL 1985, c. 400, §1 (RP).

#### **SUBCHAPTER 4**

### **REGISTRATION OF SECURITIES**

#### **§871. Registration of securities; notice of intention to sell**

**(REPEALED)**

##### **SECTION HISTORY**

PL 1967, c. 291, §1 (NEW). PL 1967, c. 484, §10 (NEW). PL 1967, c. 544, §79 (RP). PL 1973, c. 55, §3 (AMD). PL 1973, c. 57 (AMD). PL 1973, c. 585, §11 (AMD). PL 1973, c. 625, §211 (AMD). PL 1979, c. 697, §8 (AMD). PL 1981, c. 448, §19 (AMD). PL 1985, c. 400, §1 (RP).

#### **§872. Investigations; denial or revocation of registration of securities**

**(REPEALED)**

##### **SECTION HISTORY**

PL 1967, c. 291, §1 (NEW). PL 1967, c. 484, §10 (NEW). PL 1967, c. 544, §79 (RP). PL 1973, c. 585, §11 (AMD). PL 1977, c. 694, §559 (RPR). PL 1981, c. 448, §20 (AMD). PL 1983, c. 657 (AMD). PL 1985, c. 400, §1 (RP).

#### **§873. Exempt securities**

**(REPEALED)**

##### **SECTION HISTORY**

PL 1967, c. 291, §1 (NEW). PL 1967, c. 484, §10 (NEW). PL 1967, c. 544, §78 (AMD). PL 1967, c. 544, §79 (RP). PL 1973, c. 585, §11 (AMD). PL 1979, c. 697, §4 (AMD). PL 1981, c. 448, §§21,22 (AMD). PL 1985, c. 400, §1 (RP).

#### **§874. Exempted transactions and distributions**

**(REPEALED)**

##### **SECTION HISTORY**

PL 1967, c. 291, §1 (NEW). PL 1967, c. 484, §10 (NEW). PL 1967, c. 544, §79 (RP). PL 1973, c. 212, §3 (AMD). PL 1973, c. 585, §11 (AMD). PL 1975, c. 158 (AMD). PL 1977, c. 474, §§1,2 (AMD). PL 1979, c. 697, §5 (RP).

#### **§874-A. Exempted transactions and distributions**

**(REPEALED)**

##### **SECTION HISTORY**

PL 1979, c. 697, §6 (NEW). PL 1981, c. 448, §23 (AMD). PL 1985, c. 400, §1 (RP).

#### **SUBCHAPTER 5**

### **CIVIL LIABILITIES**

#### **§881. Civil liabilities**

**(REPEALED)**

SECTION HISTORY

PL 1967, c. 291, §1 (NEW). PL 1967, c. 484, §10 (NEW). PL 1967, c. 544, §79 (RP). PL 1981, c. 448, §24 (AMD). PL 1985, c. 400, §1 (RP).

**SUBCHAPTER 6**

**NEGOTIABLE CHECKS AND MONEY ORDERS**

**§891. Sale of negotiable checks and money orders**

**(REPEALED)**

SECTION HISTORY

PL 1967, c. 291, §1 (NEW). PL 1967, c. 544, §79 (RP). PL 1975, c. 500, §2 (NEW). PL 1975, c. 666, §32 (AMD). PL 1975, c. 767, §30 (AMD). PL 1989, c. 17, §§1,2 (AMD). PL 1995, c. 309, §21 (AMD). PL 1995, c. 309, §29 (AFF). PL 1997, c. 155, §A1 (RP). PL 1997, c. 155, §A3 (AFF).

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