



Janet T. Mills
Governor

STATE OF MAINE
DEPARTMENT OF PROFESSIONAL
AND FINANCIAL REGULATION
35 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0035

Anne L. Head
Commissioner

**Testimony of Anne L. Head, Commissioner
Department of Professional and Financial Regulation**

March 1, 2023

**Before the Joint Standing Committee on Appropriations and Financial Affairs and
the Joint Standing Committee on Health Coverage, Insurance and Financial Services**

**LD 258 "An Act Making Unified Appropriations and Allocations from the General Fund and Other
Funds for the Expenditures of State Government and Changing Certain provisions of the Law Necessary
to the Proper Operations of State Government for the Fiscal Years Ending June 30, 2023, June 30, 2024
and June 30, 2025"**

Good morning, Senator Rotundo, Representative Sachs, and Members of the Joint Standing Committee on Appropriations and Financial Affairs; Senator Bailey, Representative Perry, and members of the Joint Standing Committee on Health Coverage, Insurance and Financial Services. My name is Anne Head, and I am the Commissioner of the Department of Professional and Financial Regulation. I am here today to testify in support of the Governor's biennial budget bill, LD 221.

This budget advances the Governor's guiding belief that to build a stronger, more prosperous state where opportunity is available to all, then we must invest in the infrastructure that supports the people of Maine, our greatest asset.

That is why this budget proposal strengthens the very things that Maine people rely on every day to succeed, building on the strong success of the currently enacted budget and the last legislative session to tackle Maine's greatest challenges.

We have governed cautiously and in a fiscally prudent way over the past four years, ensuring that – even in the hardest of times during the pandemic – we lived within our means. This budget proposal continues that practice.

We look forward to the discussions over the next several months as we outline each initiative and impact of the proposed investments.

I am pleased to present information to both committees the Governor's biennial budget request for the Department of Professional and Financial Regulation's Bureau of Insurance, Bureau of Financial Institutions, Bureau of Consumer Credit Protection, Office of Securities, Board of Licensure in Medicine, Board of Nursing, Board of Optometry, Board of Dental Practice and Board of Osteopathic Licensure.

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As a whole, the Department of Professional and Financial Regulation is charged with the role of protecting the health, safety and welfare of citizens of Maine through the regulation of State-chartered financial institutions, the insurance industry, grantors of consumer credit, the securities industry, and numerous professions and occupations providing services to the public through licensing, examining, and auditing activities; by conducting programs aimed at increasing voluntary compliance with State laws; by investigating possible violations of law; and by undertaking enforcement actions. By encouraging the development of sound ethical businesses which serve the needs of Maine citizens, the Department fosters a healthy business environment through competent, impartial, and efficient regulation.

Bureau of Consumer Credit Protection – 0091

I will start this morning with the Bureau of Consumer Credit Protection on page A-559. This Bureau encourages healthy competition among creditors while protecting Maine citizens from unfair and deceptive financial practices. The agency administers the Maine Consumer Credit Code (Title 9-A), the Fair Credit Reporting Act, the Fair Debt Collection Practices Act, and other consumer financial protection laws. The Bureau also administers the state's Foreclosure Prevention program, and, most recently, has assumed the role of Student Loan Ombudsman at the Legislature's direction. The Bureau is funded with Other Special Revenue Funds, primarily from licensing and volume fees. Funds for foreclosure prevention come primarily from real estate transfer tax on deeds of foreclosure or in lieu of foreclosure.

Acting Superintendent Linda Conti leads the Bureau of Consumer Credit Protection.

The baseline budget request for this program continues funding for the protection of consumers through regulation and oversight of various financial service providers and funding for staff and contracts with housing counselors for the Foreclosure Prevention program.

The program has a single initiative requesting to establish and fund three Consumer Credit Examiner positions to address the continued growth in the number of licensees and registrants regulated. The number of licensees and registrants has almost doubled over the last two years from 12,991 in September 2020 to 21,186 in August 2022. Current staffing is not sufficient to effectively and efficiently regulate those entities. Three additional examiners will allow for thorough and expeditious processing of new applications, renewals, and other filings during the year. The estimated cost of the positions, including Personal Services and All Other, is \$255,530 in FY24 and \$264,140 in FY25.

BUREAU OF CONSUMER CREDIT PROTECTION 0091

What the Budget purchases:

The agency was established to protect the citizens of Maine from unfair and deceptive practices with respect to mortgage lending, consumer credit and debt collection. The agency implements the Maine Consumer Credit Code, administers laws relating to mortgage lenders, collection agencies, loan brokers, credit reporting agencies, money order issuers, debt settlement companies and other consumer finance businesses. During fiscal year 2019-20, a total of 11,782 companies and individuals held a license or registration. During that same period, the agency's Complaint Division responded to 698 complaints, resulting in \$57,602 in consumer recoveries. The Bureau has a foreclosure prevention and education program which received 258 calls on the toll-free foreclosure hotline and mailed informational packages to 18,763 homeowners in default on their home loans.

| | <u>Actual</u> 2021-22 | <u>Current</u> 2022-23 | <u>Budgeted</u> 2023-24 | <u>Budgeted</u> 2024-25 |
|--|--------------------------|---------------------------|----------------------------|----------------------------|
| Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 19,000 | 21,000 | 21,000 | 21,000 |
| Personal Services | 1,736,433 | 2,043,753 | 2,137,333 | 2,193,958 |
| All Other | 601,024 | 610,028 | 610,028 | 610,028 |
| Total | 2,337,457 | 2,653,781 | 2,747,361 | 2,803,986 |

| | | | | |
|--------------------|--|--|---------|---------|
| | | | 2023-24 | 2024-25 |
| Initiative: | Establishes 3 Consumer Credit Examiner positions in the Licensing division to address the growing number of licensees and registrants regulated by the Office of Consumer Credit and provides funding for related All Other costs. | | | |

OTHER SPECIAL REVENUE FUNDS

| | | | | |
|-------------------------------|--|--|---------|---------|
| Positions - LEGISLATIVE COUNT | | | 3,000 | 3,000 |
| Personal Services | | | 244,323 | 257,004 |
| All Other | | | 11,207 | 7,136 |
| Total | | | 255,530 | 264,140 |

| | <u>Actual</u> 2021-22 | <u>Current</u> 2022-23 | <u>Budgeted</u> 2023-24 | <u>Budgeted</u> 2024-25 |
|--|--------------------------|---------------------------|----------------------------|----------------------------|
| Revised Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 19,000 | 21,000 | 24,000 | 24,000 |
| Personal Services | 1,736,433 | 2,043,753 | 2,381,656 | 2,450,962 |
| All Other | 601,024 | 610,028 | 621,235 | 617,164 |
| Total | 2,337,457 | 2,653,781 | 3,002,891 | 3,068,126 |

Board of Dental Practice – 0384

The Board of Dental Practice, on page A-560, is the first of five affiliated boards I will present today. The term “affiliated” indicates that a licensing board has limited statutory connection to the Department. Each board has its own staff and develops its budget under the general oversight of the Department.

The Board of Dental Practice protects the health and safety of Maine citizens through the regulation of components of dental practice. The Board is supported solely by Other Special Revenue Funds generated from licensing fees. The Board has nine members appointed by the Governor and employs an executive director and administrative staff.

The Board elects a Chair from its members. Dr. Mark D. Zajkowski, DDS, MD is the current Board Chair.

The baseline budget request for this program continues funding for administrative operations such as staff, complaint investigation, board member travel and compensation, and funding to participate in the Medical Professionals Health Program.

The program has a single initiative—to transfer staff positions and allocation from the Board of Dental Practice to DPFR’s Office of Professional and Occupation Regulation. This initiative reflects a proposed change in the status of the Board of Dental Practice from a program *affiliated* with the Department to a component program of the Office of Professional and Occupational Regulation. The Department can better support board functions through this status change without impacting the Board’s statutory authority or processes. The proposed restructuring will put the Board in an improved financial and staff resource position, enabling the Board and OPOR to realize efficiencies through shared resources. Decreases to position count and allocation to the Board will be offset by corresponding increases in positions and allocation in the OPOR budget. {The OPOR budget request (pages A-567 through A-568) was presented at the public hearing on February 15th, held in conjunction with the Joint Standing Committees on Innovation, Development, Economic Advancement and Business. A copy of the corresponding OPOR budget initiative is attached for your reference.}

This initiative has associated language, part NNN on pages 48-51. The language proposes an amendment to Title 10, which changes the status of the Board of Dental Practice in statute from an affiliated licensing board to a licensure program within the Office of Professional and Occupational Regulation. It also amends and repeals provisions of Title 32, Chapter 143 “Dental Professions” to align statutory terms as part of the organizational restructuring.

DENTAL PRACTICE - BOARD OF 0384**What the Budget purchases:**

The Board of Dental Practice was originally established in 1891 to regulate the practice of dentistry. The board licenses qualified dentists, dental hygienists, dental radiographers, expanded function dental assistants and denturists. The board issues permits to qualified licensees to administer general anesthesia and moderate sedation as well as local anesthesia and nitrous oxide permits. The board investigates all complaints alleging violations/noncompliance of related rules and statutes, and imposes discipline when warranted.

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|---------------|----------------|-----------------|-----------------|
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 4,000 | 4,000 | 4,000 | 4,000 |
| Personal Services | 393,520 | 402,132 | 416,924 | 425,393 |
| All Other | 193,149 | 195,907 | 195,907 | 195,907 |
| Total | 586,669 | 598,039 | 612,831 | 621,300 |

| | | | | |
|--------------------|---|--|---------|---------|
| | | | 2023-24 | 2024-25 |
| Initiative: | Transfers Personal Services and All Other allocation from the Board of Dental Practice program to the Office of Professional and Occupational Regulation program within the same fund pursuant to the proposed amendment to Maine Revised Statutes, Title 10, section 8001, subsection 38 moving the Board of Dental Practice from a program affiliated with the Department to a component program of the Office of Professional and Occupational Regulation. | | | |

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-------------------------------|--|-----------|-----------|
| Positions - LEGISLATIVE COUNT | | -4,000 | -4,000 |
| Personal Services | | (416,924) | (425,393) |
| All Other | | (195,907) | (195,907) |
| Total | | (612,831) | (621,300) |

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|---------------|----------------|-----------------|-----------------|
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Revised Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 4,000 | 4,000 | | |
| Personal Services | 393,520 | 402,132 | | |
| All Other | 193,149 | 195,907 | | |
| Total | 586,669 | 598,039 | 0 | 0 |

PART NNN

Sec. NNN-1. 10 MRSA §8001, sub-§38 is amended to read:

MM. Board of Speech, Audiology and Hearing; ~~and~~
NN. Maine Fuel Board; and
OO. Board of Dental Practice.

Sec. NNN-2. 10 MRSA §8001-A, sub-§3 is repealed.

Sec. NNN-3. 32 MRSA §18302, sub-§24 is repealed.

Sec. NNN-4. 32 MRSA §18302, sub-§38 is enacted to read:

38. Director. "Director" means the Director of the Office of Professional and Occupational Regulation within the Department of Professional and Financial Regulation.

Sec. NNN-5. 32 MRSA §18304, sub-§4 is repealed.

Sec. NNN-6. 32 MRSA §18322, sub-§3 is repealed.

Sec. NNN-7. 32 MRSA §18323, sub-§1 is repealed.

Sec. NNN-8. 32 MRSA §18323, sub-§2 is repealed.

Sec. NNN-9. 32 MRSA §18323, sub-§3 is repealed.

Sec. NNN-10. 32 MRSA §18323, sub-§4 is repealed.

Sec. NNN-11. 32 MRSA §18323, sub-§5 is repealed.

Sec. NNN-12. 32 MRSA §18323, sub-§6 is amended to read:

6. Executive director; duties. The Commissioner, with the advice of the Board of Dental Practice and subject to the Civil Service Law, shall appoint an executive director ~~The power to appoint an executive director who serves at the pleasure of the board and who shall assist the board in carrying out its duties and responsibilities under this chapter. The executive director is responsible for the management of the board's affairs, including the authority to employ and prescribe the duties of personnel within the guidelines, policies and rules established by the board;~~

Sec. NNN-13. 32 MRSA §18323, sub-§7 is repealed.

Sec. NNN-14. 32 MRSA §18323, sub-§10 is enacted to read:

10. Subpoena authority. In addition to the provisions of Maine Revised Statutes, Title 10, section 8003, sub-section 5-A, the board may issue subpoenas in accordance with the terms of Title 5, section 9060, except that the authority applies to any state of an investigation and is not limited to an adjudicatory proceeding.

Sec. NNN-15. 32 MRSA §18323, sub-§11 is enacted to read:

11. Assessment of costs. When there is a finding of a violation, the board may assess the licensee for all or part of the actual expenses incurred by the board or its agents for investigations and enforcement duties performed.

“Actual expenses” include, but are not limited to, travel expenses and the proportionate part of the salaries and other expenses of investigators or inspectors, hourly costs of hearing officers, costs associated with record retrieval and the costs of transcribing or reproducing the administrative record.

The board, as soon as feasible after finding a violation, shall give the licensee notice of the assessment. The licensee shall pay the assessment in the time specified by the board, which may not be less than 30 days.

Sec. NNN-16. 32 MRSA §18325, sub-§1 is amended to read:

1. Disciplinary action. In addition to the provisions of Maine Revised Statutes, Title 10, section 8003, sub-section 5-A, ~~The board may suspend, revoke, refuse to issue or renew a license pursuant to Title 5, section 10004.~~ The following are grounds for an action to refuse to issue, modify, suspend, revoke or refuse to renew the license of a person licensed under this chapter:

Sec. NNN-17. 32 MRSA §18325, sub-§1-A is repealed.

Sec. NNN-18. 32 MRSA §18325, sub-§2 is amended to read:

2. Judicial review. Notwithstanding Title 10, section 8003, subsection 5-A, any nonconsensual revocation pursuant to Title 10, section 8003, subsection 5-A of a license or authority issued by the board may be imposed only after a hearing conforming to the requirements of Title 5, chapter 375, subchapter 4 and is subject to judicial review exclusively in the Superior Court in accordance with Title 5, chapter 375, subchapter 7.

Sec. NNN-19. 32 MRSA §18326 is repealed.

Sec. NNN-20. 32 MRSA §18327 is repealed.

Sec. NNN-21. 32 MRSA §18341, sub-§1 is amended to read:

1. Application. An applicant seeking an initial or a renewed license must submit an application with the fee established ~~under section 18323 by the Director~~ and any other materials required by the board.

Sec. NNN-22. 32 MRSA §18347-A is enacted to read:

Notwithstanding any other provision of law, the board may issue a temporary license for a period of 6 months and waive all licensing requirements, except for fees, to any applicant upon a showing of current, valid licensure in that profession in another state.

Sec. NNN-23. 32 MRSA §18349, sub-§2 is amended to read:

2. Late renewals. Licenses may be renewed up to 90 days after the date of expiration if the applicant meets the requirements of subsection 1 and pays a late fee established by the board ~~Director pursuant to section 18323, subsection 3.~~

Sec. NNN-24. 32 MRSA §18349, sub-§3 is amended to read:

3. Reinstatement. A person who submits an application for reinstatement more than 90 days after the license expiration date is subject to all requirements governing new applicants under this chapter, except that the board may, giving due consideration to the protection of the public, waive examination if that renewal application is received, together with the penalty fee established by the ~~board~~ Director pursuant to section 18323, subsection 3, within 2 years from the date of the license expiration.

Sec. NNN-25. 32 MRSA §18351 is amended to read:

A licensee who wants to retain licensure while not practicing may apply for an inactive status license. ~~The fee for inactive status licensure is set under section 18323, subsection 3.~~ During inactive status, the licensee must renew the license and pay the renewal fee set ~~under section 18323, subsection 3~~ by the Director, but is not required to meet the continuing education requirements under section 18350. The board shall adopt rules by which an inactive status license may be reinstated.

Sec. NNN-26. 32 MRSA §18352 is repealed.

Sec. NNN-27. Transition provisions.

1. All licenses, permits and registrations issued by the Maine Board of Dental Practice as an affiliated board that are in effect become, on the effective date of this Act, licenses, permits and registrations issued by the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
2. Except to the extent that they conflict with the language of this Act, all rules adopted by the Maine Board of Dental Practice as an affiliated board that are in effect become, on the effective date of this Act, rules adopted by the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
3. Except to the extent that they conflict with the language of this Act, all procedures and policies adopted by the Maine Board of Dental Practice as an affiliated board become, on the effective date of this Act, procedures and policies adopted by the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
4. All contracts and agreements in effect immediately prior to the effective date of this Act with regarding to the Maine Board of Dental Practice as an affiliated board become, on the effective date of this Act, contracts and agreements of the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
5. Any positions authorized and allocated subject to the personnel laws to the Maine Board of Dental Practice as an affiliated board become, on the effective date of this Act, positions authorized and allocated by the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.

6. All records, property and equipment previously belonging to or allocated for the use of the Maine Board of Dental Practice as an affiliated board become, on the effective date of this Act, the property of the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
7. All forms, licenses, letterheads and similar items bearing the name of the Maine Board of Dental Practice as an affiliated board may be used until existing supplies of those items are exhausted.

PART NNN SUMMARY

This Part amends provisions of Title 10, Chapter 901 “Department of Professional and Financial Regulation” by moving the Board of Dental Professions identified in statute as an affiliated board into a licensure program within the Office of Professional and Occupational Regulation. This bill also amends several provisions of Title 32, Chapter 143 “Dental Professions” to make consistent statutory terms as part of the organizational restructuring.

OFFICE OF PROFESSIONAL AND OCCUPATIONAL REGULATION 0352

What the Budget purchases:

The Office of Professional and Occupational Regulation is an umbrella administrative agency that regulates professions and occupations through licensure, enforcement of practice laws and professional standards and inspections of facilities and equipment. An administrative complaint process provides a mechanism for resolution of consumer complaints.

| | <u>Actual</u> 2021-22 | <u>Current</u> 2022-23 | <u>Budgeted</u> 2023-24 | <u>Budgeted</u> 2024-25 |
|--|--------------------------|---------------------------|----------------------------|----------------------------|
| Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 58,000 | 58,000 | 57,000 | 57,000 |
| Personal Services | 5,213,944 | 5,396,859 | 5,538,001 | 5,692,880 |
| All Other | 2,553,936 | 2,661,774 | 2,661,774 | 2,661,774 |
| Total | 7,767,880 | 8,058,633 | 8,199,775 | 8,354,654 |

Program Summary - FEDERAL EXPENDITURES FUND-ARP STATE FISCAL RECOVERY

| | | | | |
|-----------|---|---|---------|-----|
| All Other | | | 200,000 | 500 |
| Total | 0 | 0 | 200,000 | 500 |

Initiative: Transfers Personal Services and All Other allocation from the Board of Dental Practice program to the Office of Professional and Occupational Regulation program within the same fund pursuant to the proposed amendment to Maine Revised Statutes, Title 10, section 8001, subsection 38 moving the Board of Dental Practice from a program affiliated with the Department to a component program of the Office of Professional and Occupational Regulation.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-------------------------------|--|---------|---------|
| Positions - LEGISLATIVE COUNT | | 4,000 | 4,000 |
| Personal Services | | 416,924 | 425,393 |
| All Other | | 195,907 | 195,907 |
| Total | | 612,831 | 621,300 |

2023-24 2024-25

2023-24 2024-25

Initiative: Provides funding for statewide Central Fleet Management services provided by the Department of Administrative and Financial Services.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|--------|--------|
| All Other | | 55,668 | 67,848 |
| Total | | 55,668 | 67,848 |

2023-24 2024-25

Initiative: Establishes one Public Service Executive II position as a senior policy position to provide advanced legal analysis skills to the Office of Professional and Occupational Regulation and advise the director on emerging public policy issues. This initiative also provides funding for related All Other costs.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-------------------------------|--|---------|---------|
| Positions - LEGISLATIVE COUNT | | 1,000 | 1,000 |
| Personal Services | | 140,413 | 148,069 |
| All Other | | 1,702 | 1,795 |
| Total | | 142,115 | 149,864 |

| <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|---------------|----------------|-----------------|-----------------|
| 2021-22 | 2022-23 | 2023-24 | 2024-25 |

Revised Program Summary - OTHER SPECIAL REVENUE FUNDS

| | | | | |
|-------------------------------|-----------|-----------|-----------|-----------|
| Positions - LEGISLATIVE COUNT | 58,000 | 58,000 | 62,000 | 62,000 |
| Personal Services | 5,213,944 | 5,396,859 | 6,095,338 | 6,266,342 |

Professional and Financial Regulation, Department of

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|---------------|----------------|-----------------|-----------------|
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |

Revised Program Summary - OTHER SPECIAL REVENUE FUNDS

| | | | | |
|-----------|-----------|-----------|-----------|-----------|
| All Other | 2,553,936 | 2,661,774 | 2,915,051 | 2,927,324 |
| Total | 7,767,880 | 8,058,633 | 9,010,389 | 9,193,666 |

Revised Program Summary - FEDERAL EXPENDITURES FUND-ARP STATE FISCAL RECOVERY

| | | | | |
|-----------|---|---|---------|-----|
| All Other | | | 200,000 | 500 |
| Total | 0 | 0 | 200,000 | 500 |

Bureau of Financial Institutions – 0093

The next program on page A-562, Bureau of Financial Institutions, supervises state-chartered financial institutions including banks, credit unions, and nondepository trust companies. The Bureau maintains and promotes safe and sound financial practices; strength, stability, and efficiency of financial institutions; security of deposit and share funds; reasonable and orderly competition; and development and expansion of financial services advantageous to the public welfare. The Bureau is divided into two functional units: Examination; and Research and Administration. The program is funded with Other Special Revenue Funds, primarily from assessments and examinations.

The Bureau of Financial Institutions is led by Superintendent Lloyd LaFountain III.

The baseline budget request for this program provides funding for continued regulation and oversight of financial institutions. The program has no new initiatives.

FINANCIAL INSTITUTIONS - BUREAU OF 0093

What the Budget purchases:

The Bureau of Financial Institutions supervises all financial institutions chartered by the State. The bureau examines institutions for safety and soundness and compliance with state laws and engages in enforcement actions such as issuance of regulatory orders to assure the strength and stability of the regulated industry. In its supervisory role, the bureau also promulgates regulations and acts on applications for new charters, branches, mergers and closely related activities. The bureau is also a resource for consumers of banking services and provides mediation services and advice to consumers who have questions or complaints involving a financial institution.

| | <u>Actual</u> 2021-22 | <u>Current</u> 2022-23 | <u>Budgeted</u> 2023-24 | <u>Budgeted</u> 2024-25 |
|--|--------------------------|---------------------------|----------------------------|----------------------------|
| Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 19,000 | 18,000 | 18,000 | 18,000 |
| Personal Services | 2,022,893 | 2,059,022 | 2,129,274 | 2,168,947 |
| All Other | 645,359 | 645,359 | 645,359 | 645,359 |
| Total | 2,668,252 | 2,704,381 | 2,774,633 | 2,814,306 |

Initiative: NONE

| | <u>Actual</u> 2021-22 | <u>Current</u> 2022-23 | <u>Budgeted</u> 2023-24 | <u>Budgeted</u> 2024-25 |
|--|--------------------------|---------------------------|----------------------------|----------------------------|
| Revised Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 19,000 | 18,000 | 18,000 | 18,000 |
| Personal Services | 2,022,893 | 2,059,022 | 2,129,274 | 2,168,947 |
| All Other | 645,359 | 645,359 | 645,359 | 645,359 |
| Total | 2,668,252 | 2,704,381 | 2,774,633 | 2,814,306 |

Bureau of Insurance – 0092

Next, on page A-563, the Bureau of Insurance is responsible for the regulation and oversight of the insurance industry in Maine. The primary responsibility of the Bureau is to regulate insurance companies and insurance professionals in the public interest. Duties and responsibilities include the examination of domestic insurers and limited oversight of foreign and alien insurers, the approval of all policies and contract forms used by insurers in the State, and the authorization of individual and group workers' compensation self-insurers. The Bureau ensures that all licensed companies are financially solvent and that all licensed entities and individuals comply with Maine law. The program is funded with Other Special Revenue Funds, primarily from licensing fees.

The Bureau of Insurance is led by Acting Superintendent Timothy Schott.

The baseline budget request for the Bureau of Insurance provides funding for the continued regulation and oversight of the insurance industry through licensing, registration, examination, complaint investigation, outreach, and education.

The program has no new initiatives.

INSURANCE - BUREAU OF 0092

What the Budget purchases:

The Bureau of Insurance, in a coordinated effort with other states, through the National Association of Insurance Commissioners (NAIC), regulates the business of insurance and provides consumer assistance in the State of Maine. Regulatory responsibilities include financial solvency regulation and consumer protection. These responsibilities are met through the enforcement of Maine law in regard to policy form and rate filing review, financial analysis and examination, consumer complaint resolution, market conduct examination and licensing of various insurance entities.

| | <u>Actual</u> 2021-22 | <u>Current</u> 2022-23 | <u>Budgeted</u> 2023-24 | <u>Budgeted</u> 2024-25 |
|--|--------------------------|---------------------------|----------------------------|----------------------------|
| Program Summary - FEDERAL EXPENDITURES FUND | | | | |
| All Other | 10,000 | 10,000 | 10,000 | 10,000 |
| Total | 10,000 | 10,000 | 10,000 | 10,000 |

Program Summary - OTHER SPECIAL REVENUE FUNDS

| | | | | |
|-------------------------------|------------|------------|------------|------------|
| Positions - LEGISLATIVE COUNT | 70,500 | 72,000 | 72,000 | 72,000 |
| Personal Services | 7,660,850 | 8,055,668 | 8,196,253 | 8,410,883 |
| All Other | 3,777,730 | 3,802,204 | 3,802,204 | 3,802,204 |
| Total | 11,438,580 | 11,857,872 | 11,998,457 | 12,213,087 |

Program Summary - FEDERAL EXPENDITURES FUND-ARP STATE FISCAL RECOVERY

| | | | | |
|-----------|------------|------------|--------|-----|
| All Other | 19,500,000 | 19,500,000 | 12,900 | 500 |
| Total | 19,500,000 | 19,500,000 | 12,900 | 500 |

| | | | 2023-24 | 2024-25 |
|------------------|--------------------------|---------------------------|----------------------------|----------------------------|
| Initiative: NONE | | | | |
| | <u>Actual</u> 2021-22 | <u>Current</u> 2022-23 | <u>Budgeted</u> 2023-24 | <u>Budgeted</u> 2024-25 |

Revised Program Summary - FEDERAL EXPENDITURES FUND

| | | | | |
|-----------|--------|--------|--------|--------|
| All Other | 10,000 | 10,000 | 10,000 | 10,000 |
| Total | 10,000 | 10,000 | 10,000 | 10,000 |

Revised Program Summary - OTHER SPECIAL REVENUE FUNDS

| | | | | |
|-------------------------------|------------|------------|------------|------------|
| Positions - LEGISLATIVE COUNT | 70,500 | 72,000 | 72,000 | 72,000 |
| Personal Services | 7,660,850 | 8,055,668 | 8,196,253 | 8,410,883 |
| All Other | 3,777,730 | 3,802,204 | 3,802,204 | 3,802,204 |
| Total | 11,438,580 | 11,857,872 | 11,998,457 | 12,213,087 |

Revised Program Summary - FEDERAL EXPENDITURES FUND-ARP STATE FISCAL RECOVERY

| | | | | |
|-----------|------------|------------|--------|-----|
| All Other | 19,500,000 | 19,500,000 | 12,900 | 500 |
| Total | 19,500,000 | 19,500,000 | 12,900 | 500 |

Board of Licensure in Medicine – 0376

The next program on pages A-564 and A-565, the Board of Licensure in Medicine is an affiliated licensing board, which as I mentioned earlier, has a limited statutory connection to the Department.

The Board safeguards the lives and health of Maine citizens by licensing qualified applicants and regulating the medical practice of those licensees. The Board is supported solely by Other Special Revenue Funds generated from licensing fees. The Board consists of 11 members appointed by the Governor and employs an executive director and administrative staff.

Dr. Maroulla S. Gleaton, M.D. is the current Board Chair.

The baseline budget request for this program continues funding for administrative operations such as staff, complaint investigation, board member travel and compensation, and contracting for professional services, including hearing officer and the Medical Professional Health Program.

The program has seven initiatives.

The 1st initiative increases funding for services provided by the Office of the Attorney General by \$31,955 in FY2024 and \$44,843 in FY2025. The cost of legal services is based on estimates provided by the AG's Office.

The 2nd initiative increases allocation by \$70,708 annually for professional services supporting the Maine Professionals Health Program, whose mission includes helping and monitoring licensees with substance use problems and contracting with evaluators and expert reviewers. As the number and complexity of reviews increase, the Board relies on MPHP for professional services related to hearings which have also increased in complexity and cost.

The 3rd initiative increases allocation for out-of-state travel by \$10,101 annually. Increased travel is required as the Board of Licensure in Medicine participates in more interstate compacts and meetings involving interstate cooperation.

The 4th initiative reflects a net increase in funding for services provided by MaineIT by \$20,997 in FY2024 and \$21,041 in FY25. The estimated cost of services provided by MaineIT is based on current usage and FY24/25 MaineIT rates.

The last initiative on page A-564 increases funding for the Physician III position by \$57,538 in FY24 and \$60,055 in FY25 to fund a full-time position. Currently, the position is at 60 hours bi-weekly. With a full-time position, the Board expects to be better able to attract and retain candidates to better serve the public. This position is heavily involved with the reviews and investigations of licensees which are increasing in number and complexity.

Moving to page A-565, the next initiative establishes and provides funding for one Office Specialist II position to assist with compact licensing, the monitoring of disciplined physicians, and helping to address patient safety issues in a timely manner. The estimated cost of the position including Personal Services and All Other is \$81,551 in FY24 and \$86,426 in FY25.

The final initiative for this program increases allocation by \$31,678 in FY24 and \$31,125 in FY25 to fund the Bureau of Human Resources (BHR) approved reclassification of the Assistant Executive Director position.

| |
|--|
| LICENSURE IN MEDICINE - BOARD OF 0376 |
|--|

What the Budget purchases:

The Board of Licensure in Medicine was established in 1896 to protect Maine citizens by regulating physicians who practice medicine. The board protects citizens by: 1) licensing physicians by determining qualifications, examining and certifying candidates; 2) approving training programs and renewing registration biennially for Physicians Assistants; 3) conducting education and outreach programs for licensees and the public; and 4) investigating allegations of incompetence, unprofessional conduct and noncompliance with the laws rules and standards relating to the practice of medicine, holding public hearings and educating, retraining and disciplining physicians and physician assistants as appropriate.

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|---------------|----------------|-----------------|-----------------|
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 10.000 | 10.000 | 10.000 | 10.000 |
| Positions - FTE COUNT | 0.847 | 0.847 | 0.770 | 0.770 |
| Personal Services | 1,038,013 | 1,066,027 | 1,087,159 | 1,117,355 |
| All Other | 782,191 | 793,504 | 793,504 | 793,504 |
| Total | 1,820,204 | 1,859,531 | 1,880,663 | 1,910,859 |

Initiative: Provides funding for cost increases for services provided by the Office of the Attorney General.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|--------|--------|
| All Other | | 31,955 | 44,843 |
| Total | | 31,955 | 44,843 |

Initiative: Provides funding for increased professional services contracts and related STA-CAP charges.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|--------|--------|
| All Other | | 70,708 | 70,708 |
| Total | | 70,708 | 70,708 |

Initiative: Provides funding for increased out-of-state travel and related STA-CAP charges.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|--------|--------|
| All Other | | 10,101 | 10,101 |
| Total | | 10,101 | 10,101 |

Initiative: Provides funding for statewide technology services provided by the Department of Administrative and Financial Services, Office of Information Technology.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|--------|--------|
| All Other | | 20,997 | 21,041 |
| Total | | 20,997 | 21,041 |

Initiative: Provides funding to increase the hours of one Physician III position from 60 hours to 80 hours biweekly and cover related All Other costs.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-------------------|--|--------|--------|
| Personal Services | | 57,538 | 60,055 |
| All Other | | 581 | 608 |
| Total | | 58,119 | 60,663 |

Professional and Financial Regulation, Department of

| | 2023-24 | 2024-25 |
|---|---------|---------|
| Initiative: Establishes one Office Specialist II position in the Board of Licensure in Medicine to help address patient safety issues in a timely manner. This initiative also provides funding for related All Other costs. | | |
| OTHER SPECIAL REVENUE FUNDS | | |
| Positions - LEGISLATIVE COUNT | 1,000 | 1,000 |
| Personal Services | 80,735 | 85,561 |
| All Other | 816 | 865 |
| Total | 81,551 | 86,426 |

| | 2023-24 | 2024-25 |
|--|---------|---------|
| Initiative: Provides funding for the proposed reorganization of one Assistant Executive Director Board Medicine position to a Public Service Coordinator II position in the Board of Licensure in Medicine. | | |
| OTHER SPECIAL REVENUE FUNDS | | |
| Personal Services | 31,361 | 31,125 |
| All Other | 317 | 314 |
| Total | 31,678 | 31,439 |

| | <u>Actual</u> 2021-22 | <u>Current</u> 2022-23 | <u>Budgeted</u> 2023-24 | <u>Budgeted</u> 2024-25 |
|--|--------------------------|---------------------------|----------------------------|----------------------------|
| Revised Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 10.000 | 10.000 | 11.000 | 11.000 |
| Positions - FTE COUNT | 0.847 | 0.847 | 0.770 | 0.770 |
| Personal Services | 1,038,013 | 1,066,027 | 1,256,793 | 1,294,096 |
| All Other | 782,191 | 793,504 | 928,979 | 941,984 |
| Total | 1,820,204 | 1,859,531 | 2,185,772 | 2,236,080 |

Board of Nursing – 0372

Next on page A-566 is the budget request for the Maine State Board of Nursing, another affiliated program. The Board protects the public through the regulation of nursing practice. The Board is supported solely by Other Special Revenue Funds generated from licensing fees. The Board consists of nine members appointed by the Governor and employs an executive director and administrative staff.

Valerie J. Fuller, D.N.P., is the current Board Chair.

The baseline budget request for this program continues funding for administrative operations such as staff, complaint investigation, board member travel and compensation, and contracting with the Medical Professional Health Program.

The 1st initiative increases allocation by \$38,586 in FY24 and \$15,365 in FY25 to fund the Bureau of Human Resources approved reclassification of two Office Associate II positions to two Office Specialist I positions and the reclassification of one Office Specialist I position to an Office Specialist II position. The reclassifications have an effective date of May 21, 2020, so the increase in FY24 includes the estimated cost of retroactive payments and interest due to incumbents.

The 2nd initiative increases funding for services provided by the Office of the Attorney General by \$13,500 in FY2024 and \$21,720 in FY2025. The cost for legal services is based on estimates provided by the AG's Office.

The 3rd initiative, not included in your material, but to be included with the Governor's change package, increases allocation by \$86,366 in FY24 and \$20,089 in FY25 for the BHR-approved reclassification of the existing Assistant Executive Director position. This reclassification is effective June 5, 2020, and the FY24 increase includes the estimated retroactive payments and interest due to the current and previous incumbents.

NURSING - BOARD OF 0372**What the Budget purchases:**

The Board of Nursing regulates nursing practice through licensure by exam or endorsement; the renewal of qualified applicants; the investigation of complaints of unsafe nursing practice or any violation of law related to nursing practice; and the adoption of rules and regulations governing licensure of nurses and other matters within its jurisdiction.

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|----------------|----------------|-----------------|-----------------|
| | <u>2021-22</u> | <u>2022-23</u> | <u>2023-24</u> | <u>2024-25</u> |
| Program Summary - FEDERAL EXPENDITURES FUND | | | | |
| All Other | 10,144 | 10,144 | 10,144 | 10,144 |
| Total | 10,144 | 10,144 | 10,144 | 10,144 |

Program Summary - OTHER SPECIAL REVENUE FUNDS

| | | | | |
|-------------------------------|-----------|-----------|-----------|-----------|
| Positions - LEGISLATIVE COUNT | 8,000 | 8,000 | 8,000 | 8,000 |
| Personal Services | 800,943 | 734,015 | 783,570 | 807,687 |
| All Other | 564,342 | 562,481 | 562,481 | 562,481 |
| Total | 1,365,285 | 1,296,496 | 1,346,051 | 1,370,168 |

Initiative: Provides funding for the approved reclassification of 2 Office Associate II positions to 2 Office Specialist I positions and the approved reorganization of one Office Specialist I position to an Office Specialist II position. This initiative also provides funding for related All Other costs. The approved reclassifications of 2 office Specialist I positions have an effective date May 21, 2020.

OTHER SPECIAL REVENUE FUNDS

| | | |
|-------------------|--------|--------|
| Personal Services | 38,025 | 15,141 |
| All Other | 561 | 224 |
| Total | 38,586 | 15,365 |

Initiative: Provides funding for cost increases for services provided by the Office of the Attorney General.

OTHER SPECIAL REVENUE FUNDS

| | | |
|-----------|--------|--------|
| All Other | 13,500 | 21,720 |
| Total | 13,500 | 21,720 |

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|----------------|----------------|-----------------|-----------------|
| | <u>2021-22</u> | <u>2022-23</u> | <u>2023-24</u> | <u>2024-25</u> |
| Revised Program Summary - FEDERAL EXPENDITURES FUND | | | | |
| All Other | 10,144 | 10,144 | 10,144 | 10,144 |
| Total | 10,144 | 10,144 | 10,144 | 10,144 |

Revised Program Summary - OTHER SPECIAL REVENUE FUNDS

| | | | | |
|-------------------------------|-----------|-----------|-----------|-----------|
| Positions - LEGISLATIVE COUNT | 8,000 | 8,000 | 8,000 | 8,000 |
| Personal Services | 800,943 | 734,015 | 821,595 | 822,828 |
| All Other | 564,342 | 562,481 | 576,542 | 584,425 |
| Total | 1,365,285 | 1,296,496 | 1,398,137 | 1,407,253 |

Office of Securities – 00943

The next program I will present is the Office of Securities on pages A-569 and A-570. The Office protects Maine investors through the administration and enforcement of the Maine Uniform Securities Act. The Office reviews applications to register securities for sale in Maine and filings for exemptions from registration; licenses broker-dealers, agents, investment advisers, and investment adviser representatives doing business in Maine; conducts on-site examinations of the operations of licensees; administers an investor education program; response to consumer complaints; investigates; and, when warranted by the circumstances, issues cease and desist and other administrative orders. The Office enforces the State Commodity Code and the Regulations of the Sale of Business Opportunities. The Office also manages the newly created Securities Restitution Assistance Fund program. The program is funded with Other Special Revenue Fund from license renewal fees and has a small Federal Expenditures Fund allocation.

The Office is led by Acting Securities Administrator Edward Moran.

The baseline budget request for this program provides funding to continue to protect Maine citizens against fraud and other abusive practices in connection with the sale of securities, through licensing, registration, and investigation as well as outreach and education.

This program has four initiatives. However, we are withdrawing the 1st initiative for increased funding for AG services.

The 2nd initiative establishes and provides funding for one Principal Securities Specialist position to manage investor education and outreach for the Office. The position will act as an investor education outreach specialist, focusing on financial literacy outreach and providing education to industry and individuals on concerns relating to elder financial exploitation. While investigation and enforcement are necessary components of the Office's mission, prevention is important to protect investors. Investor outreach raises the level of awareness of investors, aiding them in making informed decisions, giving them better understanding of and ability to manage risk and reduce their vulnerability to fraudulent schemes. The estimated cost of the position including Personal Services and All Other is \$111,210 in FY24 and \$116,280 in FY25.

The 3rd initiative increases allocation by \$2,547 in FY24 and \$2,668 in FY25 to increase the hours of one Principal Securities Specialist position from 78 hours bi-weekly to 80. The Office will be better able to attract and retain candidates with a full-time position.

The final initiative increases allocation by \$150,194 in FY24 and \$74,923 in FY25 to procure and implement a case management software application. The Office will seek an application for case workflow tracking of administrative and criminal cases, allowing for efficiencies in assignment, opening, and closing cases; and increasing the ability to maintain and report on key data. Higher allocation in FY24 is estimated to cover purchase and implementation as well as ongoing licensing and maintenance costs.

OFFICE OF SECURITIES 0943**What the Budget purchases:**

The Office of Securities administers and enforces the Maine Uniform Securities Act, the Maine Commodities Code and the Business Opportunity Act. The office reviews applications to register securities for sale in Maine; reviews filings for exemptions from registration; and licenses broker-dealers, sales representatives and investment advisors doing business in Maine. The office suspends or revokes such licenses and imposes fines for misconduct. The office responds to consumer complaints and investigates possible violations of the securities laws and may take administrative action or refer matters to the Attorney General for civil or criminal action. Through these actions the office may obtain restitution for investors harmed by the actions of licensees. The office may also take action either administratively or through a civil or criminal action which also could result in restitution for investors. The office conducts outreach for licensees and investors.

| | <u>Actual</u> 2021-22 | <u>Current</u> 2022-23 | <u>Budgeted</u> 2023-24 | <u>Budgeted</u> 2024-25 |
|--|--------------------------|---------------------------|----------------------------|----------------------------|
| Program Summary - FEDERAL EXPENDITURES FUND | | | | |
| All Other | 10,113 | 10,113 | 10,113 | 10,113 |
| Total | 10,113 | 10,113 | 10,113 | 10,113 |

Program Summary - OTHER SPECIAL REVENUE FUNDS

| | | | | |
|-------------------------------|-----------|-----------|-----------|-----------|
| Positions - LEGISLATIVE COUNT | 16,000 | 16,000 | 16,000 | 16,000 |
| Personal Services | 1,747,761 | 1,765,975 | 1,772,088 | 1,820,413 |
| All Other | 571,964 | 607,147 | 607,147 | 607,147 |
| Total | 2,319,725 | 2,373,122 | 2,379,235 | 2,427,560 |

2023-24 **2024-25**

Initiative: Provides funding for cost increases for services provided by the Office of the Attorney General.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|----------------|----------------|
| All Other | | 46,468 | 61,115 |
| Total | | 46,468 | 61,115 |
| | | 2023-24 | 2024-25 |

Initiative: Establishes one Principal Securities Specialist position to manage investor education and outreach for the Office of Securities and provides funding for related All Other costs.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-------------------------------|--|----------------|----------------|
| Positions - LEGISLATIVE COUNT | | 1,000 | 1,000 |
| Personal Services | | 101,406 | 106,925 |
| All Other | | 9,804 | 9,355 |
| Total | | 111,210 | 116,280 |
| | | 2023-24 | 2024-25 |

Initiative: Provides funding to increase the hours of one Principal Securities Specialist position from 78 hours to 80 hours biweekly and cover related All Other costs.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-------------------|--|----------------|----------------|
| Personal Services | | 2,521 | 2,668 |
| All Other | | 26 | 27 |
| Total | | 2,547 | 2,695 |
| | | 2023-24 | 2024-25 |

Initiative: Provides funding for a case management software system and related STA-CAP charges.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|---------|--------|
| All Other | | 150,194 | 74,923 |
| Total | | 150,194 | 74,923 |

Professional and Financial Regulation, Department of

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|---------------|----------------|-----------------|-----------------|
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Revised Program Summary - FEDERAL EXPENDITURES FUND | | | | |
| All Other | 10,113 | 10,113 | 10,113 | 10,113 |
| Total | 10,113 | 10,113 | 10,113 | 10,113 |
| Revised Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 16,000 | 16,000 | 17,000 | 17,000 |
| Personal Services | 1,747,761 | 1,765,975 | 1,876,015 | 1,930,006 |
| All Other | 571,964 | 607,147 | 813,639 | 752,567 |
| Total | 2,319,725 | 2,373,122 | 2,689,654 | 2,682,573 |

Board of Optometry – 0385

The next program, on page A-570, is the Board of Optometry. The Board protects the public through the regulation of the practice of optometry, including examination and licensing of qualified applicants and investigation of complaints and cases of non-compliance. The board is funded exclusively with Other Special Revenue Funds, primarily licensing fees. The Board consists of six members appointed by the Governor for terms of five years and employs a single administrative employee.

The Board elects a President from its members. Thomas Nadeau, O.D. is the current Board President.

The baseline budget request for this program continues funding for administrative operations such as staff, complaint investigation, board member travel and compensation, printing, and rulemaking.

The Board has two initiatives.

The 1st initiative increases funding for services provided by the Office of the Attorney General by \$1,146 in FY2024 and \$1,618 in FY2025. Cost for legal services is based on estimates provided by the AG's Office.

The final initiative increases allocation for out-of-state travel by \$1,968 annually to allow the Board to attend the Association of Regulatory Boards of Optometry (ARBO) annual meetings, a professional association.

OPTOMETRY - BOARD OF 0385**What the Budget purchases:**

The Board of Optometry regulates the practice of optometry. The board examines and licenses qualified applicants to practice optometric medicine. The board investigates allegations of noncompliance with the laws and rules relating to the practice of optometry, conducts hearings and imposes disciplinary actions.

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|---------------|----------------|-----------------|-----------------|
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 1,000 | 1,000 | 1,000 | 1,000 |
| Personal Services | 61,755 | 62,244 | 65,039 | 65,791 |
| All Other | 35,430 | 35,897 | 35,897 | 35,897 |
| Total | 97,185 | 98,141 | 100,936 | 101,688 |

2023-24 **2024-25**

Initiative: Provides funding for cost increases for services provided by the Office of the Attorney General.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|-------|-------|
| All Other | | 1,146 | 1,618 |
| Total | | 1,146 | 1,618 |

2023-24 **2024-25**

Initiative: Provides funding for increased out-of-state travel costs and related STA-CAP charges.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|-------|-------|
| All Other | | 1,968 | 1,969 |
| Total | | 1,968 | 1,969 |

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|---------------|----------------|-----------------|-----------------|
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Revised Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 1,000 | 1,000 | 1,000 | 1,000 |
| Personal Services | 61,755 | 62,244 | 65,039 | 65,791 |
| All Other | 35,430 | 35,897 | 39,011 | 39,484 |
| Total | 97,185 | 98,141 | 104,050 | 105,275 |

Board of Osteopathic Licensure – 0383

The next program on page A-571 is the last affiliated program I will be presenting. The Board of Osteopathic Licensure protects the public through the regulation of osteopathic medicine. The board is funded exclusively with Other Special Revenue Funds from licensing fees. The Board consists of 11 members appointed by the Governor and employs a single administrative employee.

The Board elects a Chair from its members. Melissa Michaud, PA-C, is the current Board Chair.

The baseline budget request for this program continues funding for administrative operations such as staff, complaint investigation, board member travel and compensation, and contracting with the Maine Medical Professionals Health Program.

The program has a single initiative increasing funding for services provided by the Office of the Attorney General by \$28,217 in FY2024 and \$34,321 in FY2025. Cost for legal services is based on estimates provided by the AG's Office.

OSTEOPATHIC LICENSURE - BOARD OF 0383**What the Budget purchases:**

Established in 1916, it is the duty of the board to regulate the practice of osteopathic medicine. The board licenses/registers applicants by determining the qualifications of those who have met statutory requirements. Licenses are renewed on a biennial basis. The board investigates complaints and noncompliance with statutes, rules and standards related to the practice of medicine, holding informal conferences and hearings and imposing discipline pursuant to statute.

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|---------------|----------------|-----------------|-----------------|
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 1,000 | 1,000 | 1,000 | 1,000 |
| Personal Services | 102,974 | 104,146 | 108,188 | 110,105 |
| All Other | 171,101 | 175,783 | 175,783 | 175,783 |
| Total | 274,075 | 279,929 | 283,971 | 285,888 |

2023-24 2024-25

Initiative: Provides funding for cost increases for services provided by the Office of the Attorney General.

OTHER SPECIAL REVENUE FUNDS

| | | | |
|-----------|--|--------|--------|
| All Other | | 28,217 | 34,321 |
| Total | | 28,217 | 34,321 |

| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
|--|---------------|----------------|-----------------|-----------------|
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Revised Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| Positions - LEGISLATIVE COUNT | 1,000 | 1,000 | 1,000 | 1,000 |
| Personal Services | 102,974 | 104,146 | 108,188 | 110,105 |
| All Other | 171,101 | 175,783 | 204,000 | 210,104 |
| Total | 274,075 | 279,929 | 312,188 | 320,209 |

Securities Restitution Assistance Fund – Z352

The final program on pages A-571 is the Securities Restitution Assistance Fund program. The Fund was established by PL2021, Chapter 576 to provide financial assistance to victims of securities violations that have been awarded restitution in a final order issued by the Securities Administrator or were awarded restitution in a final order in a legal action initiated by the administrator and that have not received the full amount of restitution ordered before the application for restitution assistance is due.

The baseline budget provides allocation for assistance payments to victims. The administrative duties associated with managing the fund are handled by the Office of Securities.

| SECURITIES RESTITUTION ASSISTANCE FUND Z352 | | | | |
|---|---------------|----------------|-----------------|-----------------|
| What the Budget purchases: | | | | |
| The Office of Securities administers and enforces the Maine Uniform Securities Act, the Maine Commodities Code, and the Business Opportunity Act. The office responds to consumer complaints; investigates possible violations of the securities laws and may take administrative action or refer matters to the Attorney General for civil or criminal action. Through these actions the Office may obtain restitution for investors harmed by the actions of licensees. The Securities Restitution Assistance Fund was established to provide financial assistance to victims of securities violations that have been awarded restitution in a final order issued by the Securities Administrator or were awarded restitution in a final order in a legal action initiated by the administrator and that have not received the full amount of restitution ordered before the application for restitution assistance is due. | | | | |
| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| All Other | | 882,000 | 532,000 | 532,000 |
| Total | 0 | 882,000 | 532,000 | 532,000 |
| | | | 2023-24 | 2024-25 |
| Initiative: NONE | | | | |
| | <u>Actual</u> | <u>Current</u> | <u>Budgeted</u> | <u>Budgeted</u> |
| | 2021-22 | 2022-23 | 2023-24 | 2024-25 |
| Revised Program Summary - OTHER SPECIAL REVENUE FUNDS | | | | |
| All Other | | 882,000 | 532,000 | 532,000 |
| Total | 0 | 882,000 | 532,000 | 532,000 |
| A - 571 | | | | |

That concludes my testimony in support of the Governor's Biennial Budget Request. I thank you for your time and consideration, and I will be happy to answer any questions.