

STATE OF MAINE DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION 35 STATE HOUSE STATION AUGUSTA, MAINE 04333-0035

Anne L. Head Commissioner

Testimony of Anne L. Head, Commissioner Department of Professional and Financial Regulation

February 15, 2023

Before the Joint Standing Committee on Appropriations and Financial Affairs and the Joint Standing Committee on Innovation, Development, Economic Advancement and Business

LD 258 "An Act Making Unified Appropriations and Allocations from the General Fund and Other Funds for the Expenditures of State Government and Changing Certain provisions of the Law Necessary to the Proper Operations of State Government for the Fiscal Years Ending June 30, 2023, June 30, 2024 and June 30, 2025"

Good morning, Senator Rotundo, Representative Sachs, and Members of the Joint Standing Committee on Appropriations and Financial Affairs; Senator Curry, Representative Roberts and members of the Joint Standing Committee on Innovation, Development, Economic Advancement and Business. My name is Anne L. Head, and I am the Commissioner of the Department of Professional and Financial Regulation. I am here today to testify in support of the biennial budget bill, LD 258.

This budget advances the Governor's guiding belief that to build a stronger, more prosperous state where opportunity is available to all, then we must invest in the infrastructure that supports the people of Maine, our greatest asset.

That is why this budget proposal strengthens the very things that Maine people rely on every day to succeed, building on the strong success of the currently enacted budget and the last legislative session to tackle Maine's greatest challenges.

We have governed cautiously and in a fiscally prudent way over the past four years, making sure that – even in the hardest of times during the pandemic – we lived within our means. This budget proposal continues that practice.

We look forward to the discussions over the next several months as we outline each initiative and impact of the proposed investments.

I am pleased to present information to both committees regarding the Governor's biennial budget request for the Department of Professional and Financial Regulation's Administrative Services Division, Office of Professional and Occupational Regulation and the Board of Licensure for Professional Engineers, one of six licensing programs affiliated with the Department. The term "affiliated" indicates that these licensing programs have a

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statutory connection to the Department through the state budget process. Affiliated boards have their own staff, and each board develops its own budget under the general oversight of the Department.

In addition to the three programs I will be discussing today, there are four financial services agencies within the Department and five affiliated boards under the oversight of the Joint Standing Committee of Health Coverage, Insurance and Financial Services. These are the Bureau of Insurance, the Bureau of Financial Institutions, the Bureau of Consumer Credit Protection, the Office of Securities, the Board of Licensure in Medicine, Board of Nursing, Board of Optometry, Board of Dental Practice and Board of Osteopathic Licensure. Budget information on these programs will be presented on March 1st.

As a whole, the Department of Professional and Financial Regulation is charged with the important role of protecting the health, safety and welfare of citizens of Maine through the regulation of State-chartered financial institutions, the insurance industry, grantors of consumer credit, the securities industry, and numerous professions and occupations providing services to the public through licensing, examination, and auditing activities; by conducting programs aimed at increasing voluntary compliance with State laws; by investigating possible violations of law; and by undertaking enforcement actions. By encouraging the development of sound ethical businesses which serve the needs of Maine citizens, the Department fosters a healthy business environment through competent, impartial and efficient regulation.

It is important to note, that our budget contains no General Fund appropriations or initiatives. The Department is funded exclusively with dedicated revenue and relies on Other Special Revenue Funds as the sole source of revenue to fund Department operations.

Administrative Services Division - 0094

I will begin with the Administrative Services Division on pages A-557 and A-558. The Division, which includes the Commissioner's Office, provides overall management and administrative oversight for the Department's five regulatory agencies and six affiliated boards in the areas of policy development, legislative support, technology services, accounting, budgeting, facility management, personnel and information management, centralizing functions to ensure efficient operations and compliance with statutory and public service responsibilities. The Division is supported by Other Special Revenue Funds transferred from the agencies and boards within and affiliated with the Department.

The baseline budget request for this program provides funding to continue administrative support for Department. This program has five initiatives.

The 1st initiative reflects a net increase in funding for services provided by MaineIT. Please note that this account procures technology for all agencies within the Department, so the increase of \$87,705 in FY24 and \$87,892 in FY25 is the estimated increase in cost for the Administrative Service Division as well as the 5 other programs within the Department. Estimated cost of services provided by MaineIT is based on current usage and FY24/25 MaineIT rates.

The 2nd initiative increases funding for technology services not procured through MaineIT by \$502,970 annually. This program is responsible for the support and maintenance of the Agency License Management System (ALMS) software program. The ALMS software is currently used by several of the State's regulatory agencies for licensing, complaint management and reporting. Increasing the existing contract will accommodate changes needed to address the software's increased usage across multiple agencies, add enhancements required to implement new legislation, and to convert the software to a web-based user interface.

The 3rd initiative increases funding for services by the financial and human resources service center within the Department of Administrative and Financial Services by \$131,979 annually. As with services provided with MaineIT, this estimate represents the increased cost for all 6 programs within the Department. Estimated costs for service center support is based on estimates provided by DAFS.

The 4th initiative establishes and provides funding for a one Public Service Manager I position to serve as a communication liaison and training coordinator for ALMS users; understanding the business needs and processes of each of the ALMS programs to provide guidance on the most effective use of the tools and functionality available; providing training and training documentation; and facilitating streamlined and efficient use of the ALMS application. The estimated cost of the position including Personal Services and All Other is \$107,242 in FY2024 and \$113,062 in FY2025.

The final initiative at the top of page A-558 increases funding by \$20,532 in FY24 and \$20,525 in FY25 for the proposed reorganization of the Assistant to the Commissioner position to a Deputy Commissioner. The position acts on behalf of the Commissioner in the performance of statutory responsibilities by performing delegated functions and accepting delegated responsibilities. This proposed reorganization aligns the position compensation with the duties and responsibilities of the position.

ADMINISTRATIVE SERVICES - PROF & FIN REG 0094

What the Budget purchases:

The Commissioner's Office, through its Administrative Services Division, provides support services to all agencies in the department in the areas of budgeting, accounting, procurement, security, media, legislative support, reception, facility management and technology services.

		<u>Actual</u>	Current	Budgeted	<u>Budgeted</u>
		2021-22	2022-23	2023-24	2024-25
³rogram Su	mmary - FEDERAL EXPENDITURES FUND				
All O	ther	10,030	10,030	10,030	10,030
	Tot	al 10,030	10,030	10,030	10,030
²rogram Su	mmary - OTHER SPECIAL REVENUE FUNDS				
Posit	tions - LEGISLATIVE COUNT	10.000	10.000	10.000	10.000
Perso	onal Services	1,201,832	1,216,012	1,266,515	1,281,248
All O	ther	3,931,007	3,936,958	3,936,958	3,936,958
	Tot	al 5,132,839	5,152,970	5,203,473	5,218,206
				2023-24	2024-25
	Provides funding for statewide technology services provided by the D	Department of Administrative	and Financial		
	Services, Office of Information Technology.				
OTH All O	IER SPECIAL REVENUE FUNDS Other			87,705	87,792
			Total	87,705	87,792
				2023-24	2024-25
nitiative:	Provides additional funding for an external technology services contr	act and related STA-CAP ch	arges.		
отн	ER SPECIAL REVENUE FUNDS				
All O	ther			502,970	502,970
			Total	502,970	502,970
				2023-24	2024-25
nitiative:	Provides funding for the Department's share of the cost for the final				2024-23
	within the Department of Administrative and Financial Services.	ncial and human resources s	service center		2024-25
		ncial and human resources s	service center		2024-25
	within the Department of Administrative and Financial Services. ER SPECIAL REVENUE FUNDS	ncial and human resources s	service center	131,979	131,979
ОТН	within the Department of Administrative and Financial Services. ER SPECIAL REVENUE FUNDS	ncial and human resources s	service center Total	131,979 131,979	
отн	within the Department of Administrative and Financial Services. ER SPECIAL REVENUE FUNDS	ncial and human resources s	_		131,979
OTH All O	within the Department of Administrative and Financial Services. ER SPECIAL REVENUE FUNDS	ess processes with additions	Total Total training and	131,979	131,979 131,979
OTH All O	within the Department of Administrative and Financial Services. ER SPECIAL REVENUE FUNDS ther Establishes one Public Service Manager I position to improve busin testing support for agency licensing management system users a	ess processes with additions	Total Total training and	131,979	131,979 131,979
OTH All O sitiative:	within the Department of Administrative and Financial Services. ER SPECIAL REVENUE FUNDS ther Establishes one Public Service Manager I position to improve busin testing support for agency licensing management system users a costs.	ess processes with additions	Total Total training and	131,979	131,979 131,979
OTH All O nitiative: OTH Posit	within the Department of Administrative and Financial Services. ER SPECIAL REVENUE FUNDS ther Establishes one Public Service Manager I position to improve busin testing support for agency licensing management system users a costs. ER SPECIAL REVENUE FUNDS	ess processes with additions	Total Total training and	131,979 2023-24	131,979 131,979 2024-25
OTH All O nitiative: OTH Posit	within the Department of Administrative and Financial Services. ER SPECIAL REVENUE FUNDS Ither Establishes one Public Service Manager I position to improve busin testing support for agency licensing management system users a costs. ER SPECIAL REVENUE FUNDS tions - LEGISLATIVE COUNT onal Services	ess processes with additions	Total Total training and	131,979 2023-24	131,979 131,979 2024-25

Professional and Financial Regulation, Department of

				2023-24	2024-25
tive: Provides funding for the proposed reorganiza Executive Director of Operations position and pr			osition to an		
OTHER SPECIAL REVENUE FUNDS					
Personal Services				20,411	20,404
All Other				121	121
			Total	20,532	20,525
		Actual	Current	Budgeted	Budgeted
		2021-22	2022-23	2023-24	2024-25
sed Program Summary - FEDERAL EXPENDITURES	FUND				
All Other		10,030	10,030	10,030	10,030
	Total	10,030	10,030	10,030	10,030
sed Program Summary - OTHER SPECIAL REVENUE	FUNDS				
Positions - LEGISLATIVE COUNT		10.000	10.000	11.000	11.000
Personal Services		1,201,832	1,216,012	1,393,535	1,414,046
All Other		3,931,007	3,936,958	4,660,366	4,660,488
	Total	5,132,839	5,152,970	6,053,901	6,074,534

Board of Licensure for Professional Engineers - 0369

The next program I will be discussing is the Board of Licensure for Professional Engineers on page A-561. The Board protects the public safety and welfare of the people of Maine through the licensing of qualified applicants for an engineer license and regulation of the practice of engineering. The Board is supported solely by Other Special Revenue Funds generated from licensing fees. The Board consists of seven members appointed by the Governor and employs an executive director and one administrative staff member.

The Board elects a Chair from its members. Brent Bridges P.E. is the current Board Chair.

The baseline budget request for this program continues funding for administrative operations such as staff, complaint investigation, and board member travel and compensation.

The Board has three initiatives.

The 1st initiative increases allocation by \$12,230 annually for professional services. The Board anticipates additional funding will be needed for expenses related to hearings.

The next initiative increases funding for services provided by the Office of the Attorney General by \$1,442 in FY24 and \$2,120 in FY25. Cost for legal services is based on estimates provided by the AG's Office.

The 3rd initiative increases allocation by \$21,403 in FY24 only. The Professional Engineers Board operates with a biennial license cycle, requiring additional allocation in even fiscal years for expenses associated with processing license renewals. This is a recurring initiative as the baseline budget is calculated from the odd fiscal year.

ENGINEERS - STATE BOARD OF LICENSURE FOR PROFESSIONAL 0369

What the Budget purchases:

The Maine State Board of Licensure for Professional Engineers was established in 1935 to safeguard the life, health and property of Maine citizens by regulating of the practice of engineering through the establishment and maintenance of professional standards. The Board is authorized to evaluate the qualifications and supervise the examination of applicants for certification as Engineer-Interns and licensure as Professional Engineers; to renew professional engineering licensure every two years upon compliance with requirements and payment of the required fee; to publish and distribute a roster of all professional engineers; to make an annual report; to make rules and regulations consistent with the statutes relating to professional engineering; to enforce the statutes, rules and regulations through investigation of alleged violations; and to conduct hearings as necessary.

		<u>Actual</u>	Current	Budgeted	Budgeted
		2021-22	2022-23	2023-24	2024-25
gram Summary - OTHER SPECIAL REVENUE FUNDS					
Positions - LEGISLATIVE COUNT		2.000	2.000	2.000	2.000
Personal Services		217,099	220,613	231,646	235,197
All Other		106,086	87,301	87,301	87,301
	Total	323,185	307,914	318,947	322,498
				2023-24	2024-25
ative: Provides funding for increased professional services contra	cts and related S	TA-CAP charges.			
OTHER SPECIAL REVENUE FUNDS					
All Other				12,231	12,230
			Total	12,231	12,230
				2023-24	2024-25
ative: Provides funding for cost increases for services provided by	the Office of the	Attornev General.		avav a-	
		,			
OTHER SPECIAL REVENUE FUNDS				4.440	0.400
All Other				1,442	2,120
			Total	1,442	2,120
				2023-24	2024-25
ative: Provides funding for credit card fees and portal fees related charges.	to online licensi	ng services and relat	ted STA-CAP		
OTHER SPECIAL REVENUE FUNDS					
All Other				24 402	
All Other				21,403	
All Other			Total	21,403	0
All Other		<u>Actual</u>	Total <u>Current</u>		0 <u>Budgeted</u>
All Other		<u>Actual</u> 2021-22		21,403	_
ised Program Summary - OTHER SPECIAL REVENUE FUNDS			Current	21,403 Budgeted	Budgeted
			Current	21,403 Budgeted	Budgeted
ised Program Summary - OTHER SPECIAL REVENUE FUNDS		2021-22	<u>Current</u> 2022-23	21,403 <u>Budgeted</u> 2023-24	<u>Budgeted</u> 2024-25
ised Program Summary - OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT		2021-22	<u>Current</u> 2022-23 2.000	21,403 <u>Budgeted</u> 2023-24 2.000	Budgeted 2024-25 2.000

Office of Professional and Occupational Regulation - 0352

The Office of Professional and Occupational Regulation (OPOR), on page A-567 and A-568, is an umbrella administrative agency for 37 professional and occupational regulatory programs. The Office protects the public against unqualified, incompetent and unethical practitioners through licensure, establishment of practice standards, inspection, complaint investigation and, when warranted, imposition of professional discipline. Full-time staff and board members appointed by the Governor implement license qualifications determined by the Legislature, set standards of practice and ethical behavior, and assist in conducting disciplinary proceedings. The program is funded with Other Special Revenue Funds, primarily from license fees.

The Other Special Revenue baseline budget request for this program is a consolidation of the budget requests for the 37 individual programs and provides allocation for shared administrative operations such as administrative staff, complaint investigation and rent, as well as providing funding for program-specific needs such as inspection staff and rulemaking.

The program also has \$200,000 baseline allocation in FY2024 for Federal Expenditures Fund ARPA funds. The Office received funding to support Governor Mill's 10-year Economic Development plan expanding Maine's workforce. Funds will be used to assist individuals seeking work in Maine to obtain their highest level of licensure.

The program has three initiatives relating to the Other Special Revenue Fund budget.

The 1st initiative transfers positions and allocation from the Board of Dental Practice to OPOR, reflecting a proposed change in status of the Board of Dental Practice from a program affiliated with the Department to a component program of the Office of Professional and Occupational Regulation. The Department can better support board function through this status change without impacting the Board's statutory authority or processes. The proposed restructuring will put the Board in an improved financial and staff resource position, enabling both the Board and OPOR to realize efficiencies through shared resources. Increases to position count and allocation in OPOR will be offset with decreases to the Board of Dental Practice. Both the Dental budget (page A-560) and proposed language (Part NNN) are scheduled for public hearing on March 1st, to be held in conjunction with the Joint Standing Committee on Health Coverage, Insurance and Financial Services, and a copy is attached for your reference.

The 2nd initiative increases funding for vehicle leased through DAFS Central Fleet Management by \$55,668 in FY2024 and \$67,848 in FY2025. Estimated cost is based on rates provide by Central Fleet Management.

The 3rd and final initiative for this program is requesting to establish headcount and make permanent one Public Service Executive II position previously established by Financial Order. This is a senior policy position that will provide advanced legal analysis skills to the Office of Professional and Occupational Regulation and advise the director on emerging policy issues. The position will enable the Office to meet higher demand for licensed behavioral and physical health practitioners and licensed trade professionals. This position is especially important due to the heightened focus on the Office's ability to manage all aspects of professional and occupational licensing for Maine and increased pressure on the agency to expedite the licensing process to expand Maine's workforce. There is also greater focus on legal licensing issues that emerge as states look for ways to encourage workers from other states and countries to join Maine's workforce. The estimated cost of the position including Personal Services and All Other is \$142,115 in FY24 and \$149,864 in FY25.

OFFICE OF PROFESSIONAL AND OCCUPATIONAL REGULATION 0352

What the Budget purchases:

The Office of Professional and Occupational Regulation is an umbrella administrative agency that regulates professions and occupations through licensure, enforcement of practice laws and professional standards and inspections of facilities and equipment. An administrative complaint process provides a mechanism for resolution of consumer complaints.

			Actual	Current	Budgeted	Budgeted
			2021-22	2022-23	2023-24	2024-25
ogram S	iummary - OTHER SPECIAL REVENUE FUNDS					
-	•		50,000	ED 000	E7 000	E7 000
	sitions - LEGISLATIVE COUNT		58.000	58.000	57.000 5.539.004	57.000
	rsonal Services		5,213,944	5,396,859	5,538,001	5,692,880 2,661,774
Ali	Other		2,553,936	2,661,774	2,661,774	2,001,774
		Total	7,767,880	8,058,633	8,199,775	8,354,654
ogram S	Summary - FEDERAL EXPENDITURES FUND-ARP STATE FIS	SCAL RECOV	/ERY			
All	Other				200,000	500
		Total	0	0	200,000	500
				L. # - Off	2023-24	2024-25
tiative:	Transfers Personal Services and All Other allocation from the of Professional and Occupational Regulation program with	nin the same	fund pursuant to t	he proposed		
	amendment to Maine Revised Statutes, Title 10, section 80 Practice from a program affiliated with the Department to a continuous program affiliated with the Department to the program affiliated with the Department to a continuous program affiliated with the Department to the program affiliated with the Department to a continuous program affiliated with the Department affiliated with the Departme	01. subsectio	n 38 moving the Bo	ard of Dental		
	and Occupational Regulation.					
от	HER SPECIAL REVENUE FUNDS					
Pos	sitions - LEGISLATIVE COUNT				4.000	4.000
	rsonal Services				416,924	425,393
All Other	Other				195,907	195,907
				Total	612,831	621,300
				 Total		
			accepted by the D		612,831 2023-24	621,300 2024-25
itlative:	Provides funding for statewide Central Fleet Manageme Administrative and Financial Services.	nt services	provided by the De			
	Provides funding for statewide Central Fleet Manageme Administrative and Financial Services. THER SPECIAL REVENUE FUNDS	nt services	provided by the De			
от	Administrative and Financial Services.	nt services	provided by the Da			
от	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS	nt services	provided by the Da		2023-24	2024-25
	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS	nt services	provided by the Da	epartment of	2023-24 55,668	2024-25 67,848
от	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s	enior policy s	position to provide a	epartment of Total	2023-24 55,668 55,668	2024-25 67,848 67,848
OT All	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other	enior policy r Regulation a	position to provide a and advise the directo	epartment of Total	2023-24 55,668 55,668	2024-25 67,848 67,848
OT All itiative:	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s analysis skills to the Office of Professional and Occupational	enior policy r Regulation a	position to provide a and advise the directo	epartment of Total	2023-24 55,668 55,668	2024-25 67,848 67,848 2024-25
OT All itiative:	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s analysis skills to the Office of Professional and Occupational public policy issues. This initiative also provides funding for re	enior policy r Regulation a	position to provide a and advise the directo	epartment of Total	2023-24 55,668 55,668 2023-24	2024-25 67,848 67,848 2024-25
OT All itiative: OT Po	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s analysis skills to the Office of Professional and Occupational public policy issues. This initiative also provides funding for rether SPECIAL REVENUE FUNDS	enior policy r Regulation a	position to provide a and advise the directo	epartment of Total	2023-24 55,668 55,668 2023-24 1.000 140,413	2024-25 67,848 67,848 2024-25 1.000 148,069
OT All tiative: OT Po Pe	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s analysis skills to the Office of Professional and Occupational public policy issues. This initiative also provides funding for re THER SPECIAL REVENUE FUNDS sitions - LEGISLATIVE COUNT	enior policy r Regulation a	position to provide a and advise the directo	epartment of Total	2023-24 55,668 55,668 2023-24	2024-25 67,848 67,848 2024-25
OT All itiative: OT Po Pe	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s analysis skills to the Office of Professional and Occupational public policy issues. This initiative also provides funding for retrieve SPECIAL REVENUE FUNDS sitions - LEGISLATIVE COUNT	enior policy r Regulation a	position to provide a and advise the directo	epartment of Total	2023-24 55,668 55,668 2023-24 1.000 140,413	2024-25 67,848 67,848 2024-25 1.000 148,069
OT All itiative: OT Po Pe	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s analysis skills to the Office of Professional and Occupational public policy issues. This initiative also provides funding for retrieve SPECIAL REVENUE FUNDS sitions - LEGISLATIVE COUNT	enior policy r Regulation a	position to provide a and advise the directo	Total dvanced legal r on emerging	2023-24 55,668 55,668 2023-24 1.000 140,413 1,702	2024-25 67,848 67,848 2024-25 1.000 148,069 1,795
OT All itiative: OT Po Pe	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s analysis skills to the Office of Professional and Occupational public policy issues. This initiative also provides funding for retrieve SPECIAL REVENUE FUNDS sitions - LEGISLATIVE COUNT	enior policy r Regulation a	position to provide and advise the director costs.	Total Total	2023-24 55,668 55,668 2023-24 1.000 140,413 1,702 142,115	2024-25 67,848 67,848 2024-25 1.000 148,069 1,795 149,864
OT All tiative: OT Po Pe All	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s analysis skills to the Office of Professional and Occupational public policy issues. This initiative also provides funding for retrieve SPECIAL REVENUE FUNDS sitions - LEGISLATIVE COUNT	enior policy r Regulation a	position to provide and advise the director costs.	Total Total Total Total Total Total	2023-24 55,668 55,668 2023-24 1.000 140,413 1,702 142,115 Budgeted	2024-25 67,848 67,848 2024-25 1.000 148,069 1,795 149,864 Budgeted
OT All tiative: OT Po Pe All	Administrative and Financial Services. THER SPECIAL REVENUE FUNDS Other Establishes one Public Service Executive II position as a s analysis skills to the Office of Professional and Occupational public policy issues. This initiative also provides funding for retrieved the services of the Professional Services Other	enior policy r Regulation a	position to provide and advise the director costs.	Total Total Total Total Total Total	2023-24 55,668 55,668 2023-24 1.000 140,413 1,702 142,115 Budgeted	2024-25 67,848 67,848 2024-25 1.000 148,069 1,795 149,864 Budgeted

Professional and Financial Regulation, Department of

		<u>Actual</u>	Current	Budgeted	Budgeted
		2021-22	2022-23	2023-24	2024-25
Revised Program Summary - OTHER SPECIAL REVENUE FUND	s				
All Other		2,553,936	2,661,774	2,915,051	2,927,324
	Total	7,767,880	8,058,633	9,010,389	9,193,666
Revised Program Summary - FEDERAL EXPENDITURES FUND	ARP STATE FISCA	L RECOVERY			
All Other				200,000	500
	Total	0	0	200,000	500

DENTAL PRACTICE - BOARD OF 0384

What the Budget purchases:

The Board of Dental Practice was originally established in 1891 to regulate the practice of dentistry. The board licenses qualified dentists, dental hygienists, dental radiographers, expanded function dental assistants and denturists. The board issues permits to qualified licensees to administer general anesthesia and moderate sedation as well as local anesthesia and nitrous oxide permits. The board investigates all complaints alleging violations/noncompliance of related rules and statutes, and imposes discipline when warranted.

		<u>Actual</u>	Current	Budgeted	Budgeted
		2021-22	2022-23	2023-24	2024-25
gram Summary - OTHER SPECIAL REVENUE FUNDS					
Positions - LEGISLATIVE COUNT		4.000	4.000	4.000	4.000
Personal Services		393,520	402,132	416,924	425,393
All Other		193,149	195,907	195,907	195,907
	Total	586,669	598,039	612,831	621,300
				2023-24	2024-25
Practice from a program affiliated with the Departme		•	1 101000101101		
Practice from a program affiliated with the Department and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS		S	, release ha		
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT		•	, rossasional	-4.000	-4.000
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT Personal Services		5		(416,924)	(425,393)
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT		-	_	(416,924) (195,907)	(425,393) (195,907)
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT Personal Services		-	Total	(416,924)	(425,393)
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT Personal Services		<u>Actual</u>	_	(416,924) (195,907)	(425,393) (195,907) (621,300)
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT Personal Services				(416,924) (195,907) (612,831)	(425,393) (195,907)
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT Personal Services	NDS	<u>Actual</u>	Total <u>Current</u>	(416,924) (195,907) (612,831) Budgeted	(425,393) (195,907) (621,300) Budgeted
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT Personal Services All Other	NDS	<u>Actual</u>	Total <u>Current</u>	(416,924) (195,907) (612,831) Budgeted	(425,393) (195,907) (621,300) Budgeted
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT Personal Services All Other	NDS	<u>Actual</u> 2021-22		(416,924) (195,907) (612,831) Budgeted	(425,393) (195,907) (621,300) Budgeted
and Occupational Regulation. OTHER SPECIAL REVENUE FUNDS Positions - LEGISLATIVE COUNT Personal Services All Other ised Program Summary - OTHER SPECIAL REVENUE FUR Positions - LEGISLATIVE COUNT	NDS	<u>Actual</u> 2021-22 4.000	Total Current 2022-23	(416,924) (195,907) (612,831) Budgeted	(425,393) (195,907) (621,300) Budgeted

PART NNN

Sec. NNN-1. 10 MRSA §8001, sub-§38 is amended to read:

MM. Board of Speech, Audiology and Hearing; and

NN. Maine Fuel Board-; and

OO. Board of Dental Practice.

Sec. NNN-2. 10 MRSA §8001-A, sub-§3 is repealed.

Sec. NNN-3. 32 MRSA §18302, sub-§24 is repealed.

Sec. NNN-4. 32 MRSA §18302, sub-§38 is enacted to read:

38. Director. "Director" means the Director of the Office of Professional and Occupational Regulation within the Department of Professional and Financial Regulation.

Sec. NNN-5. 32 MRSA §18304, sub-§4 is repealed.

Sec. NNN-6. 32 MRSA §18322, sub-§3 is repealed.

Sec. NNN-7. 32 MRSA §18323, sub-§1 is repealed.

Sec. NNN-8. 32 MRSA §18323, sub-§2 is repealed.

Sec. NNN-9. 32 MRSA §18323, sub-§3 is repealed.

Sec. NNN-10. 32 MRSA §18323, sub-§4 is repealed.

Sec. NNN-11. 32 MRSA §18323, sub-§5 is repealed.

Sec. NNN-12. 32 MRSA §18323, sub-§6 is amended to read:

6. Executive director; duties. The Commissioner, with the advice of the Board of Dental Practice and subject to the Civil Service Law, shall appoint an executive director The power to appoint an executive director who serves at the pleasure of the board and who shall assist the board in carrying out its duties and responsibilities under this chapter. The executive director is responsible for the management of the board's affairs, including the authority to employ and prescribe the duties of personnel within the guidelines, policies and rules established by the board;

Sec. NNN-13. 32 MRSA §18323, sub-§7 is repealed.

Sec. NNN-14. 32 MRSA §18323, sub-§10 is enacted to read:

10. Subpoena authority. In addition to the provisions of Maine Revised Statutes, Title 10, section 8003, sub-section 5-A, the board may issue subpoenas in accordance with the terms of Title 5, section 9060, except that the authority applies to any state of an investigation and is not limited to an adjudicatory proceeding.

Sec. NNN-15. 32 MRSA §18323, sub-§11 is enacted to read:

11. Assessment of costs. When there is a finding of a violation, the board may assess the licensee for all or part of the actual expenses incurred by the board or its agents for investigations and enforcement duties performed.

"Actual expenses" include, but are not limited to, travel expenses and the proportionate part of the salaries and other expenses of investigators or inspectors, hourly costs of hearing officers, costs associated with record retrieval and the costs of transcribing or reproducing the administrative record.

The board, as soon as feasible after finding a violation, shall give the licensee notice of the assessment. The licensee shall pay the assessment in the time specified by the board, which may not be less than 30 days.

Sec. NNN-16. 32 MRSA §18325, sub-§1 is amended to read:

1. Disciplinary action. In addition to the provisions of Maine Revised Statutes, Title 10, section 8003, sub-section5-A, 7the board may suspend, revoke, refuse to issue or renew a license pursuant to Title 5, section 10004. The following are grounds for an action to refuse to issue, modify, suspend, revoke or refuse to renew the license of a person licensed under this chapter:

Sec. NNN-17. 32 MRSA §18325, sub-§1-A is repealed.

Sec. NNN-18. 32 MRSA §18325, sub-§2 is amended to read:

2. Judicial review. Notwithstanding Title 10, section 8003, subsection 5<u>-A</u>, any nonconsensual revocation pursuant to Title 10, section 8003, subsection 5<u>-A</u> of a license or authority issued by the board may be imposed only after a hearing conforming to the requirements of Title 5, chapter 375, subchapter 4 and is subject to judicial review exclusively in the Superior Court in accordance with Title 5, chapter 375, subchapter 7.

Sec. NNN-19. 32 MRSA §18326 is repealed.

Sec. NNN-20. 32 MRSA §18327 is repealed.

Sec. NNN-21. 32 MRSA §18341, sub-§1 is amended to read:

1. Application. An applicant seeking an initial or a renewed license must submit an application with the fee established under section 18323 by the Director and any other materials required by the board.

Sec. NNN-22. 32 MRSA §18347-A is enacted to read:

Notwithstanding any other provision of law, the board may issue a temporary license for a period of 6 months and waive all licensing requirements, except for fees, to any applicant upon a showing of current, valid licensure in that profession in another state.

Sec. NNN-23. 32 MRSA §18349, sub-§2 is amended to read:

2. Late renewals. Licenses may be renewed up to 90 days after the date of expiration if the applicant meets the requirements of subsection 1 and pays a late fee established by the board <u>Director pursuant to section 18323</u>, subsection 3.

Sec. NNN-24. 32 MRSA §18349, sub-§3 is amended to read:

3. Reinstatement. A person who submits an application for reinstatement more than 90 days after the license expiration date is subject to all requirements governing new applicants under this chapter, except that the board may, giving due consideration to the protection of the public, waive examination if that renewal application is received, together with the penalty fee established by the board <u>Director pursuant to section 18323</u>, subsection 3, within 2 years from the date of the license expiration.

Sec. NNN-25. 32 MRSA §18351 is amended to read:

A licensee who wants to retain licensure while not practicing may apply for an inactive status license. The fee for inactive status licensure is set under section 18323, subsection 3. During inactive status, the licensee must renew the license and pay the renewal fee set under section 18323, subsection 3 by the Director, but is not required to meet the continuing education requirements under section 18350. The board shall adopt rules by which an inactive status license may be reinstated.

Sec. NNN-26. 32 MRSA §18352 is repealed.

Sec. NNN-27. Transition provisions.

- 1. All licenses, permits and registrations issued by the Maine Board of Dental Practice as an affiliated board that are in effect become, on the effective date of this Act, licenses, permits and registrations issued by the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
- 2. Except to the extent that they conflict with the language of this Act, all rules adopted by the Maine Board of Dental Practice as an affiliated board that are in effect become, on the effective date of this Act, rules adopted by the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
- 3. Except to the extent that they conflict with the language of this Act, all procedures and policies adopted by the Maine Board of Dental Practice as an affiliated board become, on the effective date of this Act, procedures and policies adopted by the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
- 4. All contracts and agreements in effect immediately prior to the effective date of this Act with regarding to the Maine Board of Dental Practice as an affiliated board become, on the effective date of this Act, contracts and agreements of the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
- 5. Any positions authorized and allocated subject to the personnel laws to the Maine Board of Dental Practice as an affiliated board become, on the effective date of this Act, positions authorized and allocated by the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.

- 6. All records, property and equipment previously belonging to or allocated for the use of the Maine Board of Dental Practice as an affiliated board become, on the effective date of this Act, the property of the Maine Board of Dental Practice as a licensure program within the Office of Professional and Occupational Regulation.
- 7. All forms, licenses, letterheads and similar items bearing the name of the Maine Board of Dental Practice as an affiliated board may be used until existing supplies of those items are exhausted.

PART NNN SUMMARY

This Part amends provisions of Title 10, Chapter 901 "Department of Professional and Financial Regulation" by moving the Board of Dental Professions identified in statute as an affiliated board into a licensure program within the Office of Professional and Occupational Regulation. This bill also amends several provisions of Title 32, Chapter 143 "Dental Professions" to make consistent statutory terms as part of the organizational restructuring.

Manufactured Housing Board - 0351

The last program I will be presenting is the Manufactured Housing Board, on the bottom half of page A-565, one of OPOR's professional licensing boards. This program receives a small Federal Expenditures Fund All Other allocation, allocating federal funds to enforce federal manufacturing and housing installation specifications for manufactured homes.

There are no new initiatives for this program.

hat the Budget purchases: ne Manufactured Housing Board protects the public by licensing and re	mulatina manufa	chirere and dealers	of manufactured hou	eina daina husiness	in Maine. The board enforce
the manufactured routing board protects the public by literating and in- deral manufacturing and installation spedifications for this kind of hous anufacturers and dealers. The board also administers the state warrar evelopment agency for the State of Maine and is authorized to discipline	ing, inspects inst ity law applicable	allations of manufact to manufactured ho	ured housing units a	and assists consume	rs in resolving complaints w
		Actual	Current	Budgeted	Budgeted
		2021-22	2022-23	2023-24	2024-25
Program Summary - FEDERAL EXPENDITURES FUND					
All Other		22,486	22,486	22,486	22,486
	Total	22,486	22,486	22,486	22,486
				2023-24	2024-25
Initiative: NONE					
		<u>Actual</u>	Current	Budgeted	<u>Budgeted</u>
		2021-22	2022-23	2023-24	2024-25
Revised Program Summary - FEDERAL EXPENDITURES FUND					
All Other		22,486	22,486	22,486	22,486
	Total	22,486	22,486	22,486	22,486

That concludes my testimony in support of the Governor's Biennial Budget Request. I thank you for your time and consideration, and I will be happy to answer any questions.