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Legislative Document

No. 1577

H.P. 1070

House of Representatives, January 28, 2016

An Act To Increase the Availability of Mental Health Services

Reference to the Committee on Health and Human Services suggested and ordered printed.

R(+ B. Hunt

ROBERT B. HUNT Clerk

Presented by Representative SANDERSON of Chelsea. (GOVERNOR'S BILL) Cosponsored by Senator CYRWAY of Kennebec and Representatives: ESPLING of New Gloucester, FREDETTE of Newport, LONG of Sherman, MAKER of Calais, PICCHIOTTI of Fairfield, POULIOT of Augusta, TIMBERLAKE of Turner, Senator: ROSEN of Hancock.

1 Be it enacted by the People of the State of Maine as follows:

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Sec. 1. 15 MRSA §101-D, sub-§5, ¶A, as amended by PL 2013, c. 434, §1 and affected by §15, is further amended to read:

4 A. Commit the defendant to the custody of the Commissioner of Health and Human 5 Services for placement in an appropriate program for observation, care and treatment 6 of people with mental illness or persons with intellectual disabilities or autism. An 7 appropriate program may be in an institution for the care and treatment of people 8 with mental illness, an intermediate care facility for persons who have intellectual 9 disabilities or autism, a crisis stabilization unit, a nursing home, a residential care 10 facility, an assisted living facility, a hospice, a hospital, an intensive outpatient 11 treatment program or any program specifically approved by the court. An appropriate program may be in a mental health unit of a correctional facility if, based 12 upon a consensus recommendation of a panel, the Commissioner of Health and 13 Human Services or the commissioner's designee determines that there is a therapeutic 14 treatment advantage to placing the person in a mental health unit of a correctional 15 facility. Placement of a person in a mental health unit of a correctional facility must 16 be reviewed by the Commissioner of Health and Human Services or the 17 18 commissioner's designee at least every 6 months and may not continue beyond 6 19 months unless, based upon a subsequent review and consensus recommendation of a 20 panel, the Commissioner of Health and Human Services or the commissioner's 21 designee determines that there is a therapeutic treatment advantage to the continued 22 placement of the person in a mental health unit of a correctional facility. At the end of 30 days or sooner, and again in the event of recommitment, at the end of 60 days 23 and 180 days, the State Forensic Service or other appropriate office of the 24 25 Department of Health and Human Services shall forward a report to the 26 Commissioner of Health and Human Services relative to the defendant's competence 27 to stand trial and its reasons. The Commissioner of Health and Human Services shall 28 without delay file the report with the court having jurisdiction of the case. The court 29 shall hold a hearing on the question of the defendant's competence to stand trial and 30 receive all relevant testimony bearing on the question. If the State Forensic Service's 31 report or the report of another appropriate office of the Department of Health and 32 Human Services to the court states that the defendant is either now competent or not restorable, the court shall within 30 days hold a hearing. If the court determines that 33 34 the defendant is not competent to stand trial, but there does exist a substantial 35 probability that the defendant will be competent to stand trial in the foreseeable future, the court shall recommit the defendant to the custody of the Commissioner of 36 37 Health and Human Services for placement in an appropriate program for observation, 38 care and treatment of people with mental illness or persons with intellectual 39 disabilities or autism. An appropriate program may be in an institution for the care 40 and treatment of people with mental illness, an intermediate care facility for persons 41 who have intellectual disabilities or autism, a crisis stabilization unit, a nursing home, a residential care facility, an assisted living facility, a hospice, a hospital, an intensive 42 43 outpatient treatment program or any program specifically approved by the court. An 44 appropriate program may be in a mental health unit of a correctional facility if, based upon a consensus recommendation of a panel, the Commissioner of Health and 45 Human Services or the commissioner's designee determines that there is a therapeutic 46

1 treatment advantage to placing the person in a mental health unit of a correctional 2 facility. Placement of a person in a mental health unit of a correctional facility must be reviewed by the Commissioner of Health and Human Services or the 3 4 commissioner's designee at least every 6 months and may not continue beyond 6 5 months unless, based upon a subsequent review and consensus recommendation of a 6 panel, the Commissioner of Health and Human Services or the commissioner's 7 designee determines that there is a therapeutic treatment advantage to the continued placement of the person in a mental health unit of a correctional facility. When a 8 9 person who has been evaluated on behalf of the court by the State Forensic Service or 10 other appropriate office of the Department of Health and Human Services is 11 committed into the custody of the Commissioner of Health and Human Services under this paragraph, the court shall order that the State Forensic Service or other 12 13 appropriate office of the Department of Health and Human Services share any 14 information that it has collected or generated with respect to the person with the 15 institution or residential program in which the person is placed. If the defendant is charged with an offense under Title 17-A, chapter 9, 11 or 13 or Title 17-A, section 16 17 506-A. 802 or 803-A and the court determines that the defendant is not competent to 18 stand trial and there does not exist a substantial probability that the defendant can be 19 competent in the foreseeable future, the court shall dismiss all charges against the 20 defendant and, unless the defendant is subject to an undischarged term of 21 imprisonment, order the Commissioner of Health and Human Services to commence 22 proceedings pursuant to Title 34-B, chapter 3, subchapter 4. If the defendant is 23 charged with an offense other than an offense under Title 17-A, chapter 9, 11 or 13 or 24 Title 17-A, section 506-A, 802 or 803-A and the court determines that the defendant 25 is not competent to stand trial and there does not exist a substantial probability that the defendant can be competent in the foreseeable future, the court shall dismiss all 26 27 charges against the defendant and, unless the defendant is subject to an undischarged 28 term of imprisonment, notify the appropriate authorities who may institute civil commitment proceedings for the individual. If the defendant is subject to an 29 30 undischarged term of imprisonment, the court shall order the defendant into 31 execution of that sentence and the correctional facility to which the defendant must 32 be transported shall execute the court's order. As used in this paragraph, "panel" 33 means a panel consisting of at least 3 psychiatrists or psychologists, in any 34 combination, each of whom is not currently involved in the person's diagnosis and 35 treatment; or

36 Sec. 2. 15 MRSA §103, as amended by PL 2013, c. 424, Pt. B, §3, is further 37 amended to read:

\$103. Commitment following acceptance of negotiated insanity plea or following verdict or finding of insanity

When a court accepts a negotiated plea of not criminally responsible by reason of insanity or when a defendant is found not criminally responsible by reason of insanity by jury verdict or court finding, the judgment must so state. In those cases the court shall order the person committed to the custody of the Commissioner of Health and Human Services to be placed in an appropriate institution for the care and treatment of persons with mental illness or in an appropriate residential program that provides care and

1 treatment for persons who have intellectual disabilities or autism for care and treatment. 2 An appropriate institution may be a mental health unit of a correctional facility if, based 3 upon a consensus recommendation of a panel, the Commissioner of Health and Human Services or the commissioner's designee determines that there is a therapeutic treatment 4 5 advantage to placing the person in a mental health unit of a correctional facility. 6 Placement of a person in a mental health unit of a correctional facility must be reviewed 7 by the Commissioner of Health and Human Services or the commissioner's designee at least every 6 months and may not continue beyond 6 months unless, based upon a 8 9 subsequent review and consensus recommendation of a panel, the Commissioner of 10 Health and Human Services or the commissioner's designee determines that there is a therapeutic treatment advantage to the continued placement of the person in a mental 11 health unit of a correctional facility. Upon placement in the appropriate institution or 12 residential program and in the event of transfer from one institution or residential 13 14 program to another of persons committed under this section, notice of the placement or transfer must be given by the commissioner to the committing court. 15

When a person who has been evaluated on behalf of a court by the State Forensic Service is committed into the custody of the Commissioner of Health and Human Services pursuant to this section, the court shall order that the State Forensic Service share any information it has collected or generated with respect to the person with the institution or residential program in which the person is placed.

- As used in this section, "not criminally responsible by reason of insanity" has the same meaning as in Title 17-A, section 39 and includes any comparable plea, finding or verdict in this State under former section 102; under a former version of Title 17-A, section 39; under former Title 17-A, section 58; or under former section 17-B, chapter 149, section 17-B of the Revised Statutes of 1954. As used in this section, "panel" means a panel consisting of at least 3 psychiatrists or psychologists, in any combination, each of whom is not currently involved in the person's diagnosis and treatment.
- 28 Sec. 3. 34-A MRSA §3069-A, sub-§1, as enacted by PL 2013, c. 434, §5, is 29 amended to read:
- 30 1. Eligible inmates. The commissioner may transfer from a jail to a correctional 31 facility an adult inmate who the chief administrative officer of the Riverview Psychiatric 32 Center confirms is eligible for admission to a state mental health institute under Title 33 34-B, section 3863, but for whom no suitable bed is available, for the purpose of 34 providing to the inmate mental health services in a mental health unit of a correctional facility that provides intensive mental health care and treatment. The commissioner may 35 36 not transfer pursuant to this section a person who has been found not criminally responsible by reason of insanity. The commissioner may return an inmate transferred 37 38 pursuant to this subsection back to the sending facility.
- For purposes of this subsection, "intensive mental health care and treatment" has the samemeaning as in section 3049, subsection 1.
- 41 Sec. 4. 34-A MRSA §3069-B, sub-§1, as enacted by PL 2013, c. 434, §6, is 42 amended to read:

- 1 **1. Acceptance of placement.** The commissioner may accept the placement of an adult defendant in a mental health unit of a correctional facility that provides intensive mental health care and treatment for observation, care and treatment whom a court commits to the custody of the Commissioner of Health and Human Services under Title 15, section 101-D, subsection 4 or 103 if, in addition to the findings required under Title 5, section 101-D, subsection 4 or the requirements of section 103, as may be applicable, 7 the court, after hearing, finds by clear and convincing evidence that:
- 8 A. The defendant is a person with mental illness and, as a result of the defendant's 9 mental illness, the defendant poses a likelihood of serious harm to others;
- 10B. There is not sufficient security at a state mental health institute to address the11likelihood of serious harm; and
- 12 C. There is no other less restrictive alternative to placement in a mental health unit of 13 a correctional facility.
- The commissioner may not accept the placement of a person who has been found not
 criminally responsible by reason of insanity.
- For purposes of this subsection, "intensive mental health care and treatment" has the same
 meaning as in section 3049, subsection 1.
 - SUMMARY

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19 This bill provides that, under certain circumstances, the Commissioner of Health and 20 Human Services or the commissioner's designee may determine that a mental health unit 21 at a correctional facility is an appropriate institution or program for the placement of 22 persons who have been determined to be incompetent to stand trial or not criminally 23 responsible by reason of insanity.