

Reports on the Review of
Quasi-independent Agencies
by Joint Standing Committees

Submitted to the GOC
as of 3/4/2022

(3 MRSA section §12023, sub§3)

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STATE OF MAINE
ONE HUNDRED AND THIRTIETH LEGISLATURE
COMMITTEE ON EDUCATION AND CULTURAL AFFAIRS

February 15, 2022

TO: Senator Nate Libby, Senate Chair
Representative Holly Stover, House Chair
Government Oversight Committee

FROM: Senator Joseph Rafferty, Senate Chair *JB (HAR)*
Representative Michael F. Brennan, House Chair *MFB (HAR)*
Joint Standing Committee on Education and Cultural Affairs

SUBJ: Quasi-Independent State Entity Reviews of the Child Development Services System,
Maine Community College System, Maine Health and Higher Educational Facilities
Authority, Maine Maritime Academy, and University of Maine System

We are pleased to report the results of the Joint Standing Committee on Education and Cultural Affairs review of Child Development Services, Maine Community College System, Maine Health and Higher Educational Facilities Authority, Maine Maritime Academy, and University of Maine System pursuant to the laws governing quasi-independent state entities in Title 5, chapter 379, subchapter 3 of the Maine Revised Statutes.

As required by Title 5, section 12023, subsection 3, the committee met to review each quasi-independent entity's annual report to the Legislature for the previous two calendar years to assess whether policies adopted by each entity are consistent with the requirements of Title 5, section 12022, subsections 3 to 5, and whether reported waivers of competitive procurement and contributions are in compliance with adopted policies.

After review, the committee is pleased to report that the committee did not find any inconsistencies between the entities' policies and procedures and that the committee did not find any of the entities' reported waivers of competitive procurement and reported contributions to be out of compliance with the policies and procedures.

Thank you for your opportunity to report to you on these matters.

cc: Members, Joint Standing Committee on Education and Cultural Affairs
Dr. Roberta Lucas, State Director, Child Development Services
David Daigler, President, Maine Community College System
Terry Hayes, Executive Director, Maine Health and Higher Educational Facilities Authority
Dr. William J. Brennan, President, Maine Maritime Academy
Dannel P. Malloy, Chancellor, University of Maine System
Lucia Nixon, Director, OPEGA
Danielle Fox, Director, OPLA

SENATE

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STATE OF MAINE
ONE HUNDRED AND THIRTIETH LEGISLATURE
COMMITTEE ON INNOVATION, DEVELOPMENT, ECONOMIC ADVANCEMENT AND BUSINESS

March 2, 2022

TO: Senator Nate Libby, Senate Chair
Representative Holly Stover, House Chair
Government Oversight Committee

FROM: Senator Chip Curry, Senate Chair
Representative Tiffany Roberts, House Chair
Joint Standing Committee on Innovation, Development, Economic Advancement
and Business

SUBJ: Quasi-Independent State Entity Reviews of the Finance Authority of Maine,
Small Enterprise Growth Board/Maine Venture Fund, Maine Technology
Institute, Washington County Development Authority, Midcoast Regional
Redevelopment Authority, and Loring Development Authority

We are pleased to report the results of the Joint Standing Committee on Innovation, Development, Economic Advancement and Business review of Finance Authority of Maine, Small Enterprise Growth Board (Maine Venture Fund), Maine Technology Institute, Washington County Development Authority, Midcoast Regional Redevelopment Authority and Loring Development Authority pursuant to the laws governing quasi-independent state entities in Title 5, chapter 379, subchapter 3 of the Maine Revised Statutes.

As required by Title 5, section 12023, subsection 3, the committee met to review each quasi-independent entity's annual report to the Legislature for the previous two calendar years to assess whether policies adopted by each entity are consistent with the requirements of Title 5, section 12022, subsections 3 to 5, and whether reported waivers of competitive procurement and contributions are in compliance with adopted policies.

After review, the committee is pleased to report that the committee did not find any inconsistencies between the entities' policies and procedures and that the committee did not find any of the entities' reported waivers of competitive procurement and reported contributions to be out of compliance with the policies and procedures.

Thank you for your opportunity to report to you on these matters.

cc: Members, Joint Standing Committee on Innovation, Development, Economic
Advancement and Business
Carlos R. Mello, Acting Chief Executive Officer, Finance Authority of Maine

Terri Wark, Operations Manager, Small Enterprise Growth Board (Maine Venture Fund)
Brian Whitney, President, Maine Technology Institute
Charles Rudelitch, Esq., Executive Director, Sunrise County Economic Council
Kristine Logan, Executive Director, Midcoast Regional Redevelopment Authority
Carl Flora, President and CEO, Loring Commerce Center
Lucia Nixon, Director, OPEGA
Danielle Fox, Director, OPLA

SENATE

ANNE M. CARNEY, DISTRICT 29, CHAIR
HEATHER B. SANBORN, DISTRICT 28
LISA M. KEIM, DISTRICT 18

MARGARET REINSCH, SENIOR LEGISLATIVE ANALYST
JANET STOCCO, LEGISLATIVE ANALYST
SUSAN PINETTE, COMMITTEE CLERK



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STATE OF MAINE
ONE HUNDRED AND THIRTIETH LEGISLATURE
COMMITTEE ON JUDICIARY

TO: Senator Nate Libby, Senate Chair
Representative Holly Stover, House Chair
Government Oversight Committee

FROM: Senator Anne Carney, Senate Chair *AC*
Representative Thom Harnett, House Chair *TH*
Joint Standing Committee on Judiciary

DATE: February 28, 2022

Re: Quasi-Independent State Entity Review of the Maine Human Rights
Commission

We are pleased to report the results of the Joint Standing Committee on Judiciary's review of the Maine Human Rights Commission pursuant to the laws governing quasi-independent state entities in Title 5, chapter 379, subchapter 3 of the Maine Revised Statutes.

The Maine Human Rights Commission provided copies of its written policies and procedures governing procurement; contributions; and travel, meals and entertainment to the Committee for its review. As required by section 12023, subsection 3 of Title 5, the Committee met on February 24, 2022 to review those written policies and procedures to determine whether they are consistent with the expectations established in section 12022, subsections 3 to 5. In addition, the Committee reviewed the reports submitted by the Maine Human Rights Commission under section 12023, subsection 2 within the past two calendar years to determine whether all reported waivers of competitive procurement and reported contributions were in compliance with Commission's policies and procedures, including proper justification and documentation.

Based on our review, the Committee finds that:

- The Maine Human Rights Commission has adopted its own written policy and procedures with respect to procurements, which are consistent with the expectations established in section 12022, subsection 3.
- The Maine Human Rights Commission has adopted its own written policy and procedures governing use of the entity's resources for contributions as required by section 12022, subsection 4.

- The Maine Human Rights Commission has adopted its own written internal policies and procedures governing travel-related costs as required by section 12022, subsection 5.
- The Maine Human Rights Commission reported one waiver of competitive procurement exceeding \$10,000 in the reports it submitted pursuant to section 12023, subsection 2 in the past two calendar years.

cc: Members, Joint Standing Committee on Judiciary
Members, Government Oversight Committee
Amy Sneirson, Executive Director, Maine Human Rights Commission
Lucia Nixon, Director, OPEGA
Danielle D. Fox, Director OPLA

SENATE

MATTHEA DAUGHTRY, DISTRICT 24, CHAIR
CRAIG V. HICKMAN, DISTRICT 14
STACEY K. GUERIN, DISTRICT 10

STEVE LANGLIN, LEGISLATIVE ANALYST
JUSTIN PURVIS, COMMITTEE CLERK



HOUSE

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STATE OF MAINE
ONE HUNDRED AND THIRTIETH LEGISLATURE
COMMITTEE ON LABOR AND HOUSING

MEMORANDUM

TO: Senator Nate Libby, Senate Chair
Representative Holly Stover, House Chair
Government Oversight Committee

FROM: Senator Matthea Daughtry, Senate Chair *M.D. (SL)*
Representative Michael Sylvester, House Chair *M.S. (SL)*
Joint Standing Committee on Labor and Housing

DATE: March 2, 2022

RE: Review of the Maine State Housing Authority, Workers' Compensation Board and Maine Public Employees Retirement System pursuant to 5 MRSA §12023, sub-§3

We are pleased to report the results of the Joint Standing Committee on Labor and Housing's review of Maine Public Employees Retirement System (MainePERS), Maine State Housing Authority (MaineHousing) and the Workers' Compensation Board (WCB) pursuant to the laws governing quasi-independent state entities in Title 5, chapter 379, subchapter 3 of the Maine Revised Statutes.

As required by Title 5, section 12023, subsection 3, the committee met to review each quasi-independent entity's annual report to the Legislature for the previous two calendar years to assess whether policies adopted by each entity are consistent with the requirements of Title 5, section 12022, subsections 3 to 5, and whether reported waivers of competitive procurement and contributions comply with adopted policies.

After review, the committee is pleased to report that the committee did not find any inconsistencies between the entities' policies and procedures and that the committee did not find any of the entities' reported waivers of competitive procurement and reported contributions to be out of compliance with the policies and procedures.

Thank you for your opportunity to report to you on these matters.

cc: Members, Government Oversight Committee
Members, Joint Standing Committee on Labor and Housing
Lucia Nixon, Director, Office of Program Evaluation and Government Accountability
Danielle D. Fox, Director, Office of Policy and Legal Analysis
Dan Brennan, Director, Maine State Housing Authority
Peter Merrill, Deputy Director, Maine State Housing Authority
Linda Grotton, Director of Internal Audit, Maine State Housing Authority
John Rohde, Executive Director, Maine Workers' Compensation Board
Rebecca M. Wyke, Chief Executive Officer, Maine Public Employees Retirement System
Michael Colleran, General Counsel, Maine Public Employees Retirement System
Kathy Morin, Manager of Actuarial and Legislative Affairs, Maine Public Employees Retirement System

SENATE

JOSEPH BALDACCI, DISTRICT 9, CHAIR
NED CLAXTON, DISTRICT 20
KIMBERLY C. ROSEN, DISTRICT 8

LYNNE CASWELL, LEGISLATIVE ANALYST
CHERYL MCGOWAN, COMMITTEE CLERK



HOUSE

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SEAN C. PAULHUS, BATH
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FRANCES M. HEAD, BETHEL
KATHY L. DOWNES, BUCKSPORT
RANDALL ADAM GREENWOOD, WALES
WALTER N. RISEMAN, HARRISON

STATE OF MAINE
ONE HUNDRED AND THIRTIETH LEGISLATURE
COMMITTEE ON STATE AND LOCAL GOVERNMENT

TO: Senator Nate Libby, Senate Chair
Representative Holly Stover, House Chair
Joint Standing Committee on Government Oversight

FROM: Senator Joseph Baldacci, Senate Chair
Representative Ann Higgins Matlack, House Chair
Joint Standing Committee on State and Local Government

DATE: March 10, 2022

RE: Quasi-Independent State Entity Review of the Maine Municipal Bond Bank (MMBB)

The Joint Standing Committee on State and Local Government's conducted a review of the Maine Municipal Bond Bank ("MMBB") during this Second Regular Session of the 130th Legislature as required by Title 5, chapter 379, subchapter 3 of the Maine Revised Statutes.

The committee is pleased to report the following:

1. The policies and procedures adopted by MMBB are consistent with the expectations established in Title 5 section 12022, subsections 3 to 5;
2. All reported waivers of competitive procurement during the period under review were in compliance with MMBB adopted policies and procedures; and
3. All reported contributions during the period under review were made in compliance with MMBB adopted policies and procedures.

Sincerely,

Handwritten signature of Joseph Baldacci in blue ink.

Joseph Baldacci, Senate Chair

Handwritten signature of Ann Higgins Matlack in blue ink.

Ann Higgins Matlack, House Chair

cc: Members, Joint Standing Committee on State and Local Government
Members, Government Oversight Committee
Terry Hayes, Executive Director, Maine Municipal Bond Bank
Lucia Nixon, Director, OPEGA
Danielle Fox, Director, OPLA

SENATE

JOSEPH BALDACCI, DISTRICT 9, CHAIR
NED CLAXTON, DISTRICT 20
KIMBERLY C. ROSEN, DISTRICT 8

LYNNE CASWELL, LEGISLATIVE ANALYST
CHERYL MCGOWAN, COMMITTEE CLERK



HOUSE

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WILLIAM R. TUELL, EAST MACHIAS
FRANCES M. HEAD, BETHEL
KATHY L. DOWNES, BUCKSPORT
RANDALL ADAM GREENWOOD, WALES
WALTER N. RISEMAN, HARRISON

STATE OF MAINE
ONE HUNDRED AND THIRTIETH LEGISLATURE
COMMITTEE ON STATE AND LOCAL GOVERNMENT

TO: Senator Nate Libby, Senate Chair
Representative Holly Stover, House Chair
Joint Standing Committee on Government Oversight

FROM: Senator Joseph Baldacci, Senate Chair
Representative Ann Higgins Matlack, House Chair
Joint Standing Committee on State and Local Government

DATE: March 10, 2022

RE: Quasi-Independent State Entity Review of the Maine Governmental Facilities Authority

The Joint Standing Committee on State and Local Government’s conducted a review of the Maine Governmental Facilities Authority (“MGFA”) during this Second Regular Session of the 130th Legislature as required by Title 5, chapter 379, subchapter 3 of the Maine Revised Statutes.

The committee is pleased to report the following:

1. The policies and procedures adopted by MGFA are consistent with the expectations established in Title 5 section 12022, subsections 3 to 5;
2. All reported waivers of competitive procurement during the period under review were in compliance with MGFA adopted policies and procedures; and
3. All reported contributions during the period under review were made in compliance with MGFA adopted policies and procedures.

Sincerely,

Joseph Baldacci, Senate Chair

Ann Higgins Matlack, House Chair

cc: Members, Joint Standing Committee on State and Local Government
Members, Government Oversight Committee
Terry Hayes, Executive Director, Maine Governmental Facilities Authority
Lucia Nixon, Director, OPEGA
Danielle Fox, Director, OPLA