Department of the Secretary of State

Bureau of Corporations, Elections and Commissions

Shenna Bellows Secretary of State Julie L. Flynn

Deputy Secretary of State

February 1, 2022

Suzanne Gresser, Executive Director Maine State Legislative Council 115 State House Station Augusta, ME 04333-0115

Dear Ms. Gresser,

Maine Revised Statutes Title 5 §8053-A sub-§5, provides that by February 1st of each year, the Secretary of State shall provide the Executive Director of the Legislative Council with annotated lists, by agency, of all rules adopted by each agency in the previous calendar year. I am pleased to present the report for 2021.

The list must include, for each rule adopted, the following information:

- A) The statutory authority for the rule and the rule chapter number and title;
- B) The principal reason or purpose for the rule;
- C) A written statement explaining the factual and policy basis for each rule;
- D) Whether the rule was routine technical or major substantive;
- E) If the rule was adopted as an emergency; and
- F) The fiscal impact of the rule.

In 2021, there were 262 rule adoption filings, 6 more than 2020. Following is a list of the agencies with the number of adopted rule filings:

Umbrella number	Agency	Total Adopt	Routine Technical	Major Substantive	Emergency	Non Emergency
01	Dept. of Agriculture, Conservation and Forestry	32	31	1	12	20
02	Dept. of Professional and Financial Regulation	27	27	0	0	27
03	Dept. of Corrections	5	5	0	1	4
05	Dept. of Education	1	1	0	0	1
06	Dept. of Environmental Protection	16	16	0	0	16
09	Dept. of Inland Fisheries and Wildlife	12	12	0	0	12
10, 14	Dept. of Health and Human Services	45	35	10	12	33
12	Dept. of Labor	5	5	0	0	5
13	Dept. of Marine Resources	25	25	0	9	16

Umbrella number	Agency	Total Adopt	Routine Technical	Major Substantive	Emergency	Non Emergency
15	Dept. of Defense, Veterans and Emergency Management	1	1	0	0	1
16	Dept. of Public Safety	56	45	11	7	49
17	Dept. of Transportation	1	1	0	0	1
18	Dept. of Administrative and Financial Services	12	12	0	0	12
19	Dept. of Economic and Community Development	2	2	0	0	2
65	Public Utilities Commission	7	6	1	11	6
90-590	Maine Health Data Organization	5	4	1	0	5
94-411	Maine Public Employees Retirement System	4	4	0	0	4
94-457	Finance Authority of Maine	1	1	0	0	1
94-649	Maine Commission on Indigent Legal Services	2	1	1	1	1
99-346	Maine State Housing Authority	1	1	0	0	1
99-639	ConnectMaine Authority	2	2	0	0	2
	Totals for 2021	262	237	25	43	219

The report is presented in multiple files:

- 1) An Excel spreadsheet, sorted by agency, that includes for each rule -- the agency name and umbrella unit and the rule chapter number, title, statutory authority, effective date, the rule type (i.e., routine technical or major substantive) and whether the rule is an emergency rule. The internal log number used by the APA office is also included so that this Bureau can easily find the complete filing if requested; and
- 2) A Word document for each agency that includes the above information for each rule, as well as the principal reason or purpose for adopting the rule, the basis statement and the fiscal impact of the rule. This information was extracted from the documentation that is required to be filed with our office for each adoption.

If further information is requested for any rule, this office can provide the complete filing which includes additional information including the dates and locations of any hearings held on the proposed rules and public comments received regarding the rule.

If you have any questions regarding the *Administrative Procedure Act*, please contact me at 626-8400 or Julie Flynn, Deputy Secretary of State, at the Bureau of Corporations, Elections and Commissions at 207-624-7650.

Sincerely,

Shenna Bellows Secretary of State

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