

# **MAINECARE EXPENDITURE AND CASELOAD – ORIENTATION**

## **HHS COMMITTEE**

**PRESENTED BY LUKE LAZURE, SENIOR ANALYST  
OFFICE OF FISCAL AND PROGRAM REVIEW**

**FEBRUARY 23, 2021**

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# MAINECARE DOCUMENTS FOR REVIEW

- Part A – DHHS Monthly Files
  - E-mail with summary from OFPR
  - Medicaid Expenditures - weekly file – Week 27
  - Caseload through December 2020
- Part B – OFPR Quarterly Files
  - Medicaid Quarterly Expenditures through 1<sup>st</sup> Quarter of SFY 2021
  - Medicaid Quarterly Caseload through 1<sup>st</sup> Quarter of SFY 2021

NOTE: The Quarterly OFPR files can be found in the Other fiscal information section of the OFPR website at:

<http://legislature.maine.gov/ofpr/other-fiscal-information/9311>

# PART A – DHHS FILES

- E-mail with summary from OFPR
    - Quick summary of the important information from each document
    - Outlines increases or decreases
    - Identifies known contributing factors
  - Medicaid Expenditures – weekly file – Week 27
    - Has all MaineCare and related expenditures by account and fund
    - Large file with lots of columns and rows, but useful if you know where to look
    - Also has two other documents which are not used as often that break down info by cycle. We will not review those today
  - Medicaid Caseload – through December 2020
    - Has MaineCare caseload (members) broken into sub categories
    - Shows month over month change – in total and by percent
    - File shows 2 years of history, but file goes back to the beginning of SFY 2012
  - NOTE: These files are presented as created by DHHS, with only the e-mail summarizing the detail created by OFPR
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## OFPR E-MAIL SUMMARY

- Compares Caseload and Expenditures to recent history
- Identifies contributing factors, when known
- This summary is based on the files from DHHS, for more detailed information you will need to look at the Quarterly files from OFPR

Attached are the most recent, for December 2021, Department of Health and Human Services (DHHS) MaineCare Caseload and Expenditure Reports (Excel and PDF versions).

**Just like previous months I am including more information below, as we try and identify how COVID-19 is impacting Medicaid expenditures and caseload:**

First, since March, the average monthly caseload increase has been around 4,800 new members. The caseload increase for August and September of 2020 were the first monthly increases that were significantly below 4,500 members. However, the 3 months since have returned to the 4,800 member a month level. The current monthly average increase is up from the recent 6 month average before COVID-19 hit (Sept 2019 – Feb 2020) of 1,200 members a month. Through September around 40% of the new members were in the expansion group and get 90% federal match, while the majority of the remaining increase (there is also a slight increase in the populations that receive the CHIP FMAP) was in the traditional population which gets the regular FMAP. In the period since, October 2020 – December 2020, 50% percent of the increase has been in the expansion group, and that % was even higher over the last 2 months, 65%. This trend will be monitored. It should be noted that for the remainder of the federal emergency, the regular FMAP has been increased by 6.2%.

Second, I also looked at the expenditures through September of 2020. The average weekly cycle through the end of March 2020 was \$61.4 million. The average weekly cycle through the end of December 2020 has increased to \$65.2 million. This means that the average weekly cycle, since COVID-19 began, is up by \$3.8 million. That \$3.8 million increase is a 6.2% increase in the weekly cycle. This does not mean that COVID's fiscal impact on MaineCare is \$3.8 million a week, as other factors impact this increased cycle. This does however, paint the picture that expenditures are increasing, as is membership, in MaineCare. Without claims data, I cannot pinpoint claims that can be attributed to the increased membership in MaineCare since March. We will keep tabs on this increase as we move forward in SFY 2021.

# DHHS MEDICAID EXPENDITURE – THROUGH WEEK 27 – FULL FILE

## 2020-21 MaineCare and Related State-Funded Services - Expenditures To-Date vs. Budget Through Cycle 27 - Week Ending January 2, 2021

Program	2016-17 Expenditures	2017-18 Expenditures	2018-19 Expenditures	2019-20 Appropriation\ Allocation Thru 129th 1R	2019-20 Allotment	2019-20 Expenditures	2019-20 Unexpended Balance	2020-21 Appropriation\ Allocation Thru 129th 2R	2020-21 Allotment	YTD Expenditures as of 01/02/21 (Cycle 27 of 53)	2020-21 Average Allotment Per Week	2020-21 Average Expenditures Per Week	2020-21 Average Weekly Variance	Formula (Expenditure / (2020-21 Avg Alloc per WK * # of Weeks))
<b>CYCLE APPROPRIATIONS</b>														
<b>MaineCare Accounts</b>														
<b>General Fund</b>														
014701 Payments to Providers (MAP)	\$472,284,433	\$471,346,394	\$465,830,587	\$471,986,844	\$574,892,389	\$503,730,799	\$71,161,590	\$526,846,797	\$436,199,020	\$207,064,550	8,230,170	\$7,669,057	\$561,113	93%
014703 Accountable Communities Shared Savings	\$305,148	\$596,773	\$523,591	\$0	\$379,000	\$378,889	\$111	\$0	\$741,699	\$0	-	-	-	-
014801 Nursing Facilities	\$66,551,073	\$69,812,081	\$80,272,757	\$113,526,903	\$86,781,333	\$78,727,565	\$8,053,970	\$122,668,265	\$114,868,265	\$31,571,268	2,163,532	\$1,169,306	\$994,246	54%
020201 Drugs for Maine Elderly	\$4,116,263	\$4,822,675	\$4,034,112	\$4,461,418	\$3,834,190	\$2,332,293	\$1,521,897	\$4,376,637	\$4,376,637	\$935,686	82,578	\$34,653	\$47,923	42%
200901 State Boarding Homes	\$13,603,903	\$13,440,823	\$19,299,731	\$16,888,781	\$36,447,421	\$29,953,878	\$6,493,543	\$17,785,050	\$23,785,050	\$14,383,189	486,510	\$332,711	(\$46,201)	109%
220140 Community MH (Replaced 073214)	\$26,683,529	\$26,347,461	\$28,611,064	\$40,423,625	\$28,183,265	\$26,682,746	\$1,500,519	\$40,660,075	\$40,660,075	\$11,641,733	767,171	\$431,176	\$33,995	56%
220241 Substance Abuse (Replaced 084401)	\$4,641,509	\$4,313,496	\$4,317,109	\$5,643,201	\$6,432,790	\$3,447,822	\$2,984,968	\$5,681,926	\$5,681,926	\$1,465,960	107,206	\$54,293	\$52,911	51%
220780 Child MH (Replaced 073117)	\$33,545,542	\$31,596,343	\$35,877,910	\$34,754,726	\$36,071,371	\$31,013,824	\$5,057,747	\$34,933,811	\$34,933,811	\$15,481,760	659,129	\$573,399	\$85,730	87%
221050 Adult MR (Replaced 070512)	\$19,506,148	\$20,138,222	\$23,067,359	\$25,705,309	\$25,532,026	\$21,391,219	\$4,140,807	\$25,851,170	\$25,851,170	\$9,223,343	487,758	\$341,613	\$146,143	70%
221159 Developmental Services Waiver (Replaced 098716)	\$91,781,962	\$98,349,226	\$118,465,899	\$127,418,410	\$128,802,032	\$113,102,534	\$15,699,498	\$132,400,807	\$132,400,807	\$55,967,839	2,498,128	\$2,072,883	\$423,245	83%
221254 Develop. Services Waiver (Replaced 200601)	\$15,909,692	\$17,992,273	\$26,200,335	\$29,120,175	\$31,159,281	\$21,950,328	\$9,208,953	\$32,143,655	\$32,143,655	\$10,015,045	606,484	\$370,928	\$235,556	61%
221756 Other Related Cond. Waiver (Replaced Z15901)	\$1,207,129	\$1,223,278	\$1,512,720	\$2,983,953	\$3,716,734	\$1,322,317	\$2,394,417	\$3,474,273	\$3,474,273	\$637,871	65,552	\$23,625	\$41,927	36%
221858 Brain Injury Waiver (Replaced Z16001)	\$4,574,777	\$3,717,090	\$5,610,153	\$7,368,424	\$8,349,963	\$5,991,471	\$2,358,492	\$7,393,448	\$7,393,448	\$2,928,061	139,489	\$108,447	\$31,052	78%
Subtotal	\$756,711,110	\$766,696,335	\$813,646,327	\$880,281,769	\$970,602,197	\$840,025,685	\$130,576,512	\$954,215,914	\$864,309,836	\$361,316,523	16,293,737	\$13,382,094	\$2,911,643	82%
<b>Fund For a Healthy Maine</b>														
014701 MAP (Replaced 096001)	\$26,036,930	\$31,036,930	\$31,036,930	\$31,036,930	\$31,036,930	\$31,036,930	\$0	\$31,036,930	\$31,036,930	\$15,518,466	585,602	\$574,758	\$10,844	98%
020201 DEL (Replaced 201501)	\$5,938,292	\$5,886,988	\$5,872,623	\$6,082,095	\$6,283,112	\$5,789,102	\$494,010	\$6,082,095	\$6,219,890	\$2,416,952	117,356	\$89,517	\$27,839	76%
220241 Substance Abuse (Replaced 084401)	\$1,154,494	\$743,342	\$1,082,027	\$1,306,028	\$1,082,027	\$207,324	\$1,082,028	\$1,306,028	\$1,306,028	\$88,217	24,643	\$3,267	\$21,376	13%
Subtotal	\$33,129,717	\$37,667,260	\$38,001,580	\$38,425,053	\$38,425,053	\$37,093,556	\$1,331,497	\$38,425,053	\$38,362,879	\$18,023,633	727,601	\$667,542	\$60,059	92%
Total MaineCare State-Funded Activity	\$789,840,827	\$804,363,595	\$851,647,907	\$918,706,823	\$1,009,228,298	\$877,059,241	\$132,169,057	\$992,640,998	\$902,872,715	\$379,340,160	17,021,338	\$14,049,636	\$2,971,702	83%
<b>Non-Medicare Accounts (All General Fund)</b>														
013901 Child Welfare (OCFS)	\$1,934,537	\$1,621,745	\$2,473,264	N/M	N/M	\$1,602,556	N/M	N/M	N/M	\$734,836	N/M	\$27,216	N/M	N/M
200801 Mstrms/Child Health (CDC)	\$18,263	\$13,627	\$9,393	\$18,263	N/M	\$9,393	N/M	N/M	N/M	\$0	N/M	\$0	N/M	N/M
220607 Children w/Spec. Needs (OCFS) (Replaces 013607)	\$3,334,530	\$3,708,802	\$4,209,077	N/M	N/M	\$4,329,211	N/M	N/M	N/M	\$2,283,422	N/M	\$84,271	N/M	N/M
Subtotal	\$5,487,330	\$5,344,274	\$6,741,334	N/M	N/M	\$5,944,782	N/M	N/M	N/M	\$3,018,258	N/M	\$111,787	N/M	N/M
Total State-Funded Activity	\$795,328,177	\$809,707,869	\$858,389,641	N/M	N/M	\$883,004,023	N/M	N/M	N/M	\$382,358,418	N/M	\$14,161,423	N/M	N/M
Federal Fund Expenditures (014701, 014801)	\$1,651,359,302	\$1,764,153,343	\$1,882,266,851	N/M	N/M	\$2,129,225,289	N/M	N/M	N/M	\$1,219,521,862	N/M	\$45,167,476	N/M	N/M
TOTAL ACTIVITY IN CYCLE APPROPRIATIONS	\$2,446,687,679	\$2,573,861,217	\$2,740,626,492	N/M	N/M	\$3,012,279,312	N/M	N/M	N/M	\$1,601,860,280	N/M	\$59,338,889	N/M	N/M
<b>NON-CYCLE APPROPRIATIONS</b>														
<b>General Fund</b>														
<b>Disproportionate Share</b>														
22010 Riverview PC (Replaced 073310)	\$15,640,500	\$16,763,617	\$36,575,152	\$14,837,064	\$20,908,189	\$19,287,377	\$1,620,812	\$15,410,240	\$16,461,040	\$8,193,446	310,586	\$303,461	\$7,123	98%
222515 Dorothies Dix PC (Replaced 073415)	\$6,675,083	\$7,450,978	\$8,484,300	\$9,511,301	\$11,537,513	\$8,100,201	\$3,437,312	\$10,347,905	\$11,679,093	\$5,250,121	220,285	\$194,449	\$25,836	88%
<b>Other</b>														
221431 Traumatic Brain Injury (Replaced 204201)	\$120,964	\$120,964	\$120,964	\$122,650	\$122,650	\$122,650	\$0	\$123,262	\$123,262	\$61,632	2,326	\$2,283	\$43	98%
<b>Other Special Revenue</b>														
<b>Provider Taxes</b>														
014701 PNMI Tax	\$13,027,168	\$19,377,643	\$14,378,051	\$18,670,128	\$18,670,128	\$18,050,345	\$619,783	\$20,460,755	\$20,460,755	\$11,121,055	386,052	\$411,891	(\$25,839)	107%
014704 Hospital Tax	\$95,028,523	\$103,355,698	\$102,503,718	\$117,387,729	\$117,387,729	\$115,464,903	\$1,922,826	\$117,387,729	\$117,387,729	\$7,944,081	2,214,863	\$2,146,077	\$68,786	97%
014802 Nursing Facility Tax	\$36,235,099	\$33,684,021	\$34,780,296	\$38,302,936	\$38,302,936	\$38,302,936	\$0	\$40,797,852	\$40,797,852	\$19,828,200	769,771	\$734,378	\$35,393	93%
219753 Resid. Treatment Fac. Tax (Replaced 097801)	\$1,658,000	\$2,182,706	\$1,637,988	\$1,865,000	\$1,865,000	\$1,865,000	\$0	\$1,865,000	\$1,865,000	\$1,066,800	35,189	\$39,511	(\$4,322)	112%
220140 MH Community Supp. Tax (Replaced 073246)	\$3,235,559	\$3,016,008	\$3,499,276	\$3,862,566	\$3,862,566	\$3,385,115	\$477,451	\$3,941,883	\$3,941,883	\$1,592,411	74,375	\$58,978	\$15,397	79%
220144 MH Community Tax (Replaced 073244)	\$2,368,271	\$3,973,143	\$2,368,271	\$3,030,000	\$3,030,000	\$3,029,998	\$0	\$3,030,000	\$3,030,000	\$7,170	\$112,222	(\$55,052)	196%	
220241 OSA PNMI Tax (Replaced 084401)	\$623,716	\$1,047,966	\$623,716	\$800,000	\$800,000	\$671,674	\$128,326	\$800,000	\$800,000	\$261,413	15,094	\$9,682	\$5,412	64%
221050 MR Provider Tax (Replaced 070542)	\$582,286	\$975,224	\$582,286	\$750,000	\$750,000	\$749,999	\$1	\$750,000	\$750,000	\$443,500	14,151	\$16,500	(\$2,349)	117%
221052 Service Provider Tax (Replaced 070552)	\$17,849,509	\$24,617,280	\$23,900,943	\$29,314,548	\$29,314,548	\$25,584,707	\$3,729,841	\$30,736,471	\$30,736,471	\$12,509,933	579,933	\$463,331	\$116,602	80%
221057 Develop. Services Tax (Replaced 070557)	\$33,900	\$74,603	\$33,899	\$57,000	\$57,000	\$57,000	\$0	\$57,000	\$57,000	\$36,000	1,075	\$1,333	(\$228)	124%
221254 Develop. Svcs. Supports Tax (Replaced 200601)	\$86,000	\$145,480	\$85,999	\$105,000	\$105,000	\$105,000	\$0	\$105,000	\$105,000	\$80,300	1,981	\$2,974	(\$993)	150%
<b>Rebates</b>														
014705 Drug Rebates	\$47,379,086	\$67,379,832	\$69,177,697	\$60,000,000	\$60,000,000	\$60,000,000	\$0	\$60,000,000	\$60,000,000	\$28,305,000	1,132,075	\$1,048,333	\$83,742	93%
014708 DME Rebates	\$676,210	\$676,210	\$676,210	\$676,210	\$676,210	\$676,210	\$0	\$676,210	\$676,210	\$676,210	12,759	\$25,043	(\$12,286)	196%
<b>Other</b>														
014714 School Based Svcs.	\$15,000,000	\$15,591,126	\$15,000,000	\$17,000,000	\$17,000,000	\$17,000,000	\$0	\$17,000,000	\$17,000,000	\$5,334,814	320,755	\$197,586	\$123,169	62%
TOTAL NON-CYCLE APPROPRIATIONS	\$216,241,876	\$300,432,489	\$314,480,776	\$316,292,132	\$324,389,462	\$312,453,112	\$11,936,350	\$323,489,302	\$323,867,282	\$122,736,916	6,148,440	\$1,768,034	\$380,406	94%
<b>MAINECARE ADMINISTRATION (012901)</b>														
<b>General Fund</b>														
<b>Personal Services</b>														
All Other	\$24,163,884	\$20,738,484	\$24,981,175	\$23,334,952	\$28,889,103	\$20,670,236	\$8,218,867	\$23,197,659	\$29,322,166	\$9,755,979	253,248	\$361,333	\$191,915	65%
Subtotal	\$28,232,279	\$25,155,242	\$29,152,870	\$29,112,165	\$34,649,912	\$25,470,251	\$9,179,661	\$29,294,038	\$34,951,200	\$12,510,318	659,456	\$463,343	\$196,111	70%
Federal Fund Expenditures	\$78,292,121	\$67,889,959	\$78,544,322	N/M	N/M	\$59,597,794	N/M	N/M	N/M	\$32,053,233	N/M	\$1,187,157	N/M	N/M
TOTAL ADMINISTRATION	\$106,524,400	\$93,042,201	\$107,697,192	N/M	N/M	\$82,068,040	N/M	N/M	N/M	\$44,769,551	N/M	\$1,650,502	N/M	N/M
<b>GRAND TOTAL</b>	<b>\$2,809,453,955</b>	<b>\$2,967,338,917</b>	<b>\$3,162,834,460</b>			<b>\$3,409,750,472</b>				<b>\$1,802,180,747</b>				

# DHHS MEDICAID EXPENDITURE – THROUGH WEEK 27 – GENERAL FUND LAST 2 YEARS ONLY

MaineCare expenditures for the General Fund only by Account

**Green** Columns = SFY 2020 (last year)

**Brown** Columns = SFY 2021 (this year)

Appropriation = Amount Appropriated by legislature

Allotment = Amount available to spend (includes prior year carry forwards or current year decreases)

Expenditures = total amount spent (SFY 2020 – Full Year & SFY 2021 – Week 27)

Unexpended Balance = Unspent funds at the end of the SFY (SFY 2020 - \$130,576,512)

## Related State-Funded Services - Expenditures To-Date vs. Budget Through Cycle 27 - Week Ending January 2, 2021

Program	2019-20	2019-20	2019-20	2019-20	2020-21	2020-21	YTD Expenditures as of 01/02/21 (Cycle 27 of 53)	2020-21	2020-21	2020-21	Formula = [Expenditures / (2020-21 Avg Allot per Wk * # of Weeks)]
	Appropriation\ Allocation Thru 129th 1R	2019-20 Allotment	2019-20 Expenditures	2019-20 Unexpended Balance	Appropriation\ Allocation Thru 129th 2R	2020-21 Allotment		2020-21 Average Allotment Per Week	2020-21 Average Expenditures Per Week	2020-21 Average Weekly Variance	
<b>CYCLE APPROPRIATIONS MaineCare Accounts</b>											
General Fund											
014701 Payments to Providers (MAP)	\$471,986,844	\$574,892,389	\$503,730,799	\$71,161,590	\$526,846,797	\$436,199,020	\$207,064,550	8,230,170	\$7,669,057	\$561,113	93%
014703 Accountable Communities Shared Savings	\$0	\$379,000	\$378,889	\$111	\$0	\$741,699	\$0	-	-	-	-
014801 Nursing Facilities	\$113,526,903	\$86,781,535	\$78,727,565	\$8,053,970	\$122,668,265	\$114,668,265	\$31,571,268	2,163,552	\$1,169,306	\$994,246	54%
020201 Drugs for Maine Elderly	\$4,461,418	\$3,854,190	\$2,332,293	\$1,521,897	\$4,376,637	\$4,376,637	\$935,686	82,578	\$34,655	\$47,923	42%
Z00901 State Boarding Homes	\$16,888,781	\$36,447,421	\$29,953,878	\$6,493,543	\$17,785,050	\$25,785,050	\$14,383,189	486,510	\$532,711	(\$46,201)	109%
Z20140 Community MH (Replaced 073214)	\$40,423,625	\$28,183,265	\$26,682,746	\$1,500,519	\$40,660,075	\$40,660,075	\$11,641,753	767,171	\$431,176	\$335,995	56%
Z20241 Substance Abuse (Replaced 084401)	\$5,643,201	\$6,432,790	\$3,447,822	\$2,984,968	\$5,681,926	\$5,681,926	\$1,465,960	107,206	\$54,295	\$52,911	51%
Z20780 Child MH (Replaced 073117)	\$34,754,726	\$36,071,571	\$31,013,824	\$5,057,747	\$34,933,811	\$34,933,811	\$15,481,760	659,129	\$573,399	\$85,730	87%
Z21050 Adult MR (Replaced 070512)	\$25,705,309	\$25,532,026	\$21,391,219	\$4,140,807	\$25,851,170	\$25,851,170	\$9,223,543	487,758	\$341,613	\$146,145	70%
Z21159 Developmental Services Waiver (Replaced 098716)	\$127,418,410	\$128,802,032	\$113,102,534	\$15,699,498	\$132,400,807	\$132,400,807	\$55,967,839	2,498,128	\$2,072,883	\$425,245	83%
Z21254 Develop. Services Waiver (Replaced Z00601)	\$29,120,175	\$31,159,281	\$21,950,328	\$9,208,953	\$32,143,655	\$32,143,655	\$10,015,045	606,484	\$370,928	\$235,556	61%
Z21756 Other Related Cond. Waiver (Replaced Z15901)	\$2,983,953	\$3,716,734	\$1,322,317	\$2,394,417	\$3,474,273	\$3,474,273	\$637,871	65,552	\$23,625	\$41,927	36%
Z21858 Brain Injury Waiver (Replaced Z16001)	\$7,368,424	\$8,349,963	\$5,991,471	\$2,358,492	\$7,393,448	\$7,393,448	\$2,928,061	139,499	\$108,447	\$31,052	78%
Subtotal	\$880,281,769	\$970,602,197	\$840,025,685	\$130,576,512	\$954,215,914	\$864,309,836	\$361,316,525	16,293,737	\$13,382,094	\$2,911,643	82%

Payment to Providers (MAP) is the large account with most of the MaineCare activity

Available Allotment per week

Expenditures per week

Expenditures under/(over) allotment per week

# DHHS MEDICAID CASELOAD - THROUGH DECEMBER 2020

This is the Medicaid Expansion population that began in January 2019 that receive a 90% FMAP

MSP and DEL are NOT full MaineCare members, but have some limited benefits

Children's Health Insurance Program (CHIP) Members

All Traditional members

State Fiscal Year and Month the membership count is through

## MaineCare Caseload, Count of Members, SFY 2012 - SFY 2021

Maine Department of Health and Human Services Finance

SFY	MO	Traditional Medicaid 1	Medicaid Expansion 2	"Cub Care" 2	101% FPL To 150% FPL3	151% FPL To 200% FPL3	Childless Adult Waiver4	Medicare Savings Program Only and DEL	Medicaid Expansion	TOTAL	Change	% Change
2019	1/1/2019	210,820	7,395	4,729				33,445	2,922	259,311	3,369	1.32%
2019	2/1/2019	212,765	7,305	4,701				33,381	8,562	266,714	7,403	2.85%
2019	3/1/2019	213,256	7,167	4,639				33,235	12,210	270,507	3,793	1.42%
2019	4/1/2019	211,117	7,328	4,570				33,169	20,029	276,213	5,706	2.11%
2019	5/1/2019	213,466	7,617	4,576				33,358	25,085	284,102	7,889	2.86%
2019	6/1/2019	208,383	7,796	4,495				33,436	28,751	282,861	(1,241)	-0.44%
2020	7/1/2019	207,856	7,980	4,541				33,385	33,344	287,106	4,245	1.50%
2020	8/1/2019	205,439	8,290	4,532				33,406	38,841	290,508	3,402	1.18%
2020	9/1/2019	204,499	8,526	4,542				33,589	41,347	292,503	1,995	0.69%
2020	10/1/2019	202,958	8,776	4,602				33,800	44,275	294,411	1,908	0.65%
2020	11/1/2019	200,962	8,869	4,630				33,905	46,609	294,975	564	0.19%
2020	12/1/2019	199,290	8,838	4,719				33,914	48,660	295,421	446	0.15%
2020	1/1/2020	200,419	8,953	4,720				33,793	49,123	297,008	1,587	0.54%
2020	2/1/2020	200,339	9,136	4,731				34,122	49,504	297,832	824	0.28%
2020	3/1/2020	202,183	9,285	4,801				34,280	51,632	302,181	4,349	1.46%
2020	4/1/2020	206,340	9,249	4,921				34,221	54,534	309,265	7,084	2.34%
2020	5/1/2020	209,753	9,157	4,833				34,339	56,856	314,938	5,673	1.83%
2020	6/1/2020	212,307	9,158	4,781				34,521	58,734	319,501	4,563	1.45%
2021	7/1/2020	214,225	9,359	4,504				34,686	61,221	323,995	4,494	1.41%
2021	8/1/2020	215,858	9,340	4,562				34,905	63,225	327,890	3,895	1.20%
2021	9/1/2020	216,925	9,582	4,587				35,072	64,962	331,128	3,238	0.99%
2021	10/1/2020	218,748	9,883	4,693				35,268	67,114	335,706	4,578	1.38%
2021	11/1/2020	219,408	10,110	4,978				35,517	70,504	340,517	4,811	1.43%
2021	12/1/2020	220,818	10,332	5,297				35,504	73,864	345,815	5,298	1.56%

Optional Membership groups that Maine had at one point, but have since ended

Total membership for the month

Change from previous month

# PART B – OFPR QUARTERLY FILES

- Medicaid Expenditures – Quarterly file through 1<sup>st</sup> Quarter of SFY 2021
    - Written overview of the 1<sup>st</sup> quarter of the SFY compared to the 1<sup>st</sup> quarter of the previous SFY
    - Outlines increases/(decreases) and provides explanations when necessary
    - Tracks expenditures compared to allotted funds to identify any expected shortfalls in MaineCare
    - Table with all expenditures by expenditure categories
    - Also includes additional tables, charts and appendices, as necessary, to explain changes
  - Medicaid Caseload – Quarterly file through 1<sup>st</sup> Quarter of SFY 2021
    - Written overview of the 1<sup>st</sup> quarter of the SFY compared to previous months
    - Outlines increases/(decreases) and provides explanations when necessary
    - Multiple tables and charts breaking down the caseload data in different groupings
  - These files are created by OFPR, using the accounting system data (expenditures) and the DHHS file (caseload)
    - The accounting system does not have all the information from the claims system, so there is only a certain level of detail this file can provide
-



## OFPR Expenditure Review through 1st Quarter SFY 2021

### MaineCare Expenditure Detail through 1st Quarter of SFY 2021

MaineCare Expenditure Table 1 uses expenditure data from the State's financial warehouse (MFASIS) through 3 months of FY 2021 to compare MaineCare expenditures by expenditure category for the last three fiscal years. MaineCare "All Funds" spending through 3 months of FY 2021 increased by \$107.1 million from FY 2020 to FY 2021. As detailed in MaineCare Table 1, FY 2021 spending through 3 months increased in the hospital services, residential care, HCBS waivers, pharmacy, devices and supplies, medical professionals, other professionals and home health expenditure categories; and decreased in the dentistry and Medicare A, B, D Premiums expenditure categories. These increases were expected due to two items: 1) Medicaid expansion expenses increased by just under \$60 million, 2) Rate increases and program changes to multiple services during the 129th legislature effective July 1, 2019, which have a greater impact in SFY 2021 than in SFY 2020. See Exhibit A for a detailed breakdown.

FY 2021 MaineCare "All Funds" spending through 3 months increased by 14.9% over last year's spending. MaineCare Expenditure Table 1 also shows that aggregate General Fund expenditures through 3 months of FY 2021 decreased by 23.1% compared to FY 2020. This decrease was expected due to two large items: 1) In the 1<sup>st</sup> Quarter of SFY 2020 multiple journal entries, totaling \$58.5 million, were completed to transfer funds from the federal MaineCare account into a General Fund MaineCare account, causing an increase in General Fund expenditures in SFY 2020. This transfer was made due to multiple disallowances of Riverview Psychiatric Center Disproportionate Share funding and 2) Due to the COVID pandemic there is a temporary increase to the FMAP during the crisis of 6.2%. The current General Fund spending is in-line with the legislatively approved amount for FY 2021.

- **Total** expenditures **up** \$107.1 million
  - Medicaid Expansion expenditures up \$60 million
  - Rate increases and Program changes increased costs by an estimated \$47 million
- **General Fund** expenditures **down** \$74.7 million
  - In SFY 2020 transfers for RPC disallowances increased expenditures \$58.5 million
  - Temporary FMAP increase of 6.2% decreases GF portion of all claims that receive the regular FMAP

# OFPR MEDICAID EXPENDITURE – THROUGH 1<sup>ST</sup> QTR OF SFY 2021 – EXPENDITURE TABLE

## MaineCare Expenditure Table 1.

### MaineCare and Related Expenditures Detail Through 3 Months

*All Funds - Through 3 Months*

Expenditure Categories	FY 2019	FY 2020	FY 2021	Chgs. FY 2020 to FY 2021	
	3 Months	3 Months	3 Months	\$	%
1 Hospital Services	\$127,338,301	\$157,567,099	\$187,337,091	\$29,769,993	18.9%
2 Residential Care	\$159,844,896	\$169,349,181	\$195,688,407	\$26,339,226	15.6%
3 HCBS Waivers	\$103,946,634	\$136,140,290	\$158,245,774	\$22,105,485	16.2%
4 Pharmacy and Related	\$35,604,998	\$43,153,505	\$53,192,571	\$10,039,066	23.3%
5 Devices and Supplies	\$5,724,407	\$7,225,867	\$8,501,257	\$1,275,390	17.7%
6 Medical Professionals	\$32,691,318	\$40,247,709	\$49,956,278	\$9,708,569	24.1%
7 Other Professionals	\$6,322,710	\$1,665,105	\$11,561,550	\$9,896,444	594.3%
8 Dentistry	\$5,989,053	\$5,704,413	\$4,729,476	(\$974,937)	-17.1%
9 Medicare Deductible and Co-insurance	\$23,255,445	\$22,229,115	\$21,897,626	(\$331,489)	-1.5%
10 Medicare A, B, D Premiums	\$43,968,327	\$49,253,599	\$46,926,808	(\$2,326,791)	-4.7%
11 Laboratory	\$2,397,570	\$2,887,422	\$2,921,192	\$33,770	1.2%
12 Health Homes	\$16,753,656	\$18,335,259	\$21,042,780	\$2,707,521	14.8%
13 Behavioral Health Services	\$45,341,577	\$45,848,177	\$52,139,798	\$6,291,622	13.7%
14 Clinic Services	\$12,450,396	\$15,982,645	\$16,953,120	\$970,475	6.1%
15 Home Health	\$3,646,720	\$2,780,495	\$3,496,072	\$715,577	25.7%
16 Rehabilitation Services	\$16,222,391	\$18,920,515	\$20,801,295	\$1,880,781	9.9%
17 Case Management	\$9,266,301	\$9,476,493	\$9,789,982	\$313,489	3.3%
18 School-Based Services	\$13,700,655	\$12,993,985	\$13,190,524	\$196,538	1.5%
19 Transportation Services	\$11,562,252	\$13,967,047	\$16,054,074	\$2,087,027	14.9%
20 Other Expenditure Codes	\$8,296,287	\$11,737,365	\$8,308,605	(\$3,428,759)	-29.2%
21 Accounting Adjustments	(\$2,517,401)	(\$53,594)	(\$8,228,387)	(\$8,174,793)	15253.1%
22 Other Adjustments	(\$5,647,991)	(\$4,513,630)	(\$6,430,208)	(\$1,916,578)	42.5%
<b>All Funds Total</b>	<b>\$676,158,504</b>	<b>\$780,898,061</b>	<b>\$888,075,686</b>	<b>\$107,177,625</b>	<b>13.7%</b>
Minus Accounting and Other Adjustmen	\$8,165,392	\$4,567,224	\$14,658,595	\$10,091,371	221.0%
<b>All Funds Adjusted Total</b>	<b>\$684,323,895</b>	<b>\$785,465,285</b>	<b>\$902,734,281</b>	<b>\$117,268,996</b>	<b>14.9%</b>
<b>General Fund Totals</b>	<b>\$213,951,075</b>	<b>\$285,392,066</b>	<b>\$210,648,962</b>	<b>(\$74,743,104)</b>	<b>-26.2%</b>
Minus Accounting and Other Adjustmen	\$4,549,101	\$1,654,448	\$10,157,445	\$8,502,997	513.9%
<b>General Fund Adjusted Totals</b>	<b>\$218,500,176</b>	<b>\$287,046,514</b>	<b>\$220,806,407</b>	<b>(\$66,240,107)</b>	<b>-23.1%</b>

Other Professionals increased from \$1.6 million in SFY 2020 to \$11.5 million in SFY 2021 (an increase of \$9.9 million). \$9.2 million of this increase was due to charge-offs identified during an audit. This is a one-time impact for SFY 2021 and is a common accounting activity within these accounts.

<sup>1</sup> Includes bad debt write-offs and other adjustments (e.g., third party liability collections, audit settlements, etc.) that are not assigned to a specific expenditure category.

# OFPR MEDICAID CASELOAD – THROUGH 1<sup>ST</sup> QTR OF SFY 2021 – SUMMARY WITH CASELOAD TABLE

## MaineCare Caseload

MaineCare caseload data for FY 2021 released by the Department of Health and Human Services, Office of MaineCare Services (OMS) show aggregate MaineCare caseload continued to decrease until January of 2019, when Medicaid Expansion began. In the 1st quarter of SFY 2021 the caseload increased by 11,627 persons or an average of just over 3,800 persons a month. Over the last 12 months MaineCare caseload has increased by 38,625 persons (13.20%), or just over 3,200 persons per month.

- Caseload was decreasing prior to Medicaid Expansion
- Since then caseload has been growing
- COVID has only increased the membership growth
- Over the last year membership has been growing at an average of 3,200 members a month

MaineCare Caseload Table 1. MaineCare Caseload Summary

Source of OMS data - MIHMS

Month	Traditional Medicaid*	CHIP**	Medicaid Expansion** *	Medicare Savings Program and DEL****	Total Caseload	Change	% Change
Oct-18	213,719	11,546		33,676	258,941	(939)	-0.36%
Nov-18	213,166	11,526		33,570	258,262	(679)	-0.26%
Dec-18	210,350	12,065		33,527	255,942	(2,320)	-0.90%
Jan-19	210,820	12,124	2,922	33,445	259,311	3,369	1.32%
Feb-19	212,765	12,006	8,562	33,381	266,714	7,403	2.85%
Mar-19	213,256	11,806	12,210	33,235	270,507	3,793	1.42%
Apr-19	211,117	11,898	20,029	33,169	276,213	5,706	2.11%
May-19	213,466	12,193	25,085	33,358	284,102	7,889	2.86%
Jun-19	208,383	12,291	28,751	33,436	282,861	(1,241)	-0.44%
Jul-19	207,856	12,521	33,344	33,385	287,106	4,245	1.50%
Aug-19	205,439	12,822	38,841	33,406	290,508	3,402	1.18%
Sep-19	204,499	13,068	41,347	33,589	292,503	1,995	0.69%
Oct-19	202,958	13,378	44,275	33,800	294,411	1,908	0.65%
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Dec-19	199,290	13,557	48,660	33,914	295,421	446	0.15%
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Feb-20	200,339	13,867	49,504	34,122	297,832	824	0.28%
Mar-20	202,183	14,086	51,632	34,280	302,181	4,349	1.46%
Apr-20	206,340	14,170	54,534	34,221	309,265	7,084	2.34%
May-20	209,753	13,990	56,856	34,339	314,938	5,673	1.83%
Jun-20	212,307	13,939	58,734	34,521	319,501	4,563	1.45%
Jul-20	214,225	13,863	61,221	34,686	323,995	4,494	1.41%
Aug-20	215,858	13,902	63,225	34,905	327,890	3,895	1.20%
Sep-20	216,925	14,169	64,962	35,072	331,128	3,238	0.99%

This is the same data and headings that are on the DHHS file we reviewed earlier

# OFPR MEDICAID CASELOAD - THROUGH 1<sup>ST</sup> QTR OF SFY 2021 - DETAILED CASELOAD TABLE

Age group:  
 • Under 21  
 • Over 21

Membership Category -  
 Identifies the Medicaid category  
 in which the individual qualified

Most recent 6  
 months of Caseload  
 data

**MaineCare Caseload Table 2. MaineCare Caseload Detail**  
*Source of OMS data - MIHMS*

Age Category	Membership Group	Membership Category	SFY 2020 Apr-20	SFY 2020 May-20	SFY 2020 Jun-20	SFY 2021 Jul-20	SFY 2021 Aug-20	SFY 2021 Sep-20
		Under Age 21 - Age 18 and Under including Katie Beckett	78,471	80,099	81,366	82,728	83,561	83,873
		Under Age 21 - Ages 19 and 20	939	979	1,051	1,103	1,137	1,184
		Foster Care & Adoption Assist	6,539	6,524	6,509	6,527	6,583	6,624
		CHIP - Medicaid Expansion	9,249	9,157	9,158	9,359	9,340	9,582
		CHIP - Cub Care	4,921	4,833	4,781	4,504	4,562	4,587
<b>Under Age 21 Total</b>			<b>100,119</b>	<b>101,592</b>	<b>102,865</b>	<b>104,221</b>	<b>105,183</b>	<b>105,850</b>
		Parents of a Child Under Age 21 with Income <=100% FPL	34,820	36,140	36,748	37,405	37,532	37,313
		Individuals who have a disabling condition (including Katie Beckett)	49,476	49,490	49,591	49,347	49,389	49,417
		Individuals 65 Years or Older	24,784	24,891	24,888	25,172	25,315	25,474
		Childless Adults, Expansion	42,392	44,746	46,478	48,349	49,989	51,291
		Aliens Emergency Services	787	848	864	897	939	971
		Prisoners	720	707	721	810	807	974
		Unmet Spend-Down	940	791	745	739	687	597
		Parent, Caretaker, or Step-Parent	9,695	9,764	9,926	10,426	10,803	11,129
		Transitional Medicaid	5,334	5,411	5,813	5,882	6,242	6,918
		Pregnant Women	3,128	3,347	3,435	3,090	3,105	3,090
		Temporary Coverage	15	16	6	4	13	9
		Aged, State Sup Only	71	68	55	61	53	55
		HIV Waiver Program	308	296	299	301	303	304
		Prisoners	133	129	123	162	175	230
		Alien	1,802	1,832	1,868	1,877	1,851	1,843
		Spenddown	174	186	212	222	252	244
		Breast and Cervical	151	149	146	145	142	135
		Refugee						
		<b>FAMILY PLANNING</b>	<b>1,169</b>	<b>1,163</b>	<b>1,146</b>	<b>1,165</b>	<b>1,183</b>	<b>1,203</b>
		ORC Waivers	3	3	3	3	3	3
		Age 21-26 Parents Insurance Policy (ACA)	142	141	143	146	152	159
		Brain Injury Waiver	50	52	51	50	50	50
		MSP & DEL - MAINECARE	34,221	34,339	34,521	34,686	34,905	35,072
<b>Over Age 21 Total</b>			<b>210,315</b>	<b>214,509</b>	<b>217,782</b>	<b>220,939</b>	<b>223,890</b>	<b>226,481</b>
			<b>310,434</b>	<b>316,101</b>	<b>320,647</b>	<b>325,160</b>	<b>329,073</b>	<b>332,331</b>

This total is higher than the total from the DHHS MaineCare Caseload file for September 2020 by the Family Planning category (which is not included in the MaineCare total). Individuals are eligible for FP services with an FPL of up to 209%, regular Medicaid's FPL level is 138%.

# QUESTIONS?

- Ask me now
  - E-mail me:  
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  - Call me: 207-287-1635
  - Just please, please, please...Don't ask me to Zoom!
-