

OFFICE

OF THE

SECRETARY OF STATE February 1, 2020

Mr. Grant Pennoyer, Executive Director Maine State Legislative Council 115 State House Station Augusta, ME 04333-0115

Dear Mr. Pennoyer,

Maine Revised Statutes Title 5, §8053-A, sub-§5, provides that by February 1st of each year, the Secretary of State shall provide the Executive Director of the Legislative Council with lists, by agency, of all rules adopted by each agency in the previous calendar year. I am pleased to present the report for 2019.

The list must include, for each rule adopted, the following information:

- A) The statutory authority for the rule and the rule chapter number and title;
- B) The principal reason or purpose for the rule;
- C) A written statement explaining the factual and policy basis for each rule;
- D) Whether the rule was routine technical or major substantive;
- E) If the rule was adopted as an emergency; and
- F) The fiscal impact of the rule.

In 2019, there were 271 rule adoption filings. Following is a list of the agencies with the number of adopted rule filings:

Umbrella number	Agency	Total Adopt	Routine Technical	Major Substantive	Emergency	Non Emergency
01	Department of Agriculture, Conservation and Forestry	30	29	1	12	18
02	Department of Professional and Financial Regulation	19	19	0	0	19
03	Department of Corrections	1	1	0	0	1
05	Department of Education	4	1	3	1	3
06	Department of Environmental Protection	7	6	1	0	7
09	Department of Inland Fisheries and Wildlife	24	24	0	2	22
10, 14	Department of Health and Human Services	57	52	5	11	46
12	Department of Labor	4	4	0	0	4
13	Department of Marine Resources	32	32	. 0	12	20
15	Department of Defense, Veterans and Emergency Management	2	2	0	0	2
16	Department of Public Safety	11	11	0	1	10
17	Department of Transportation	2	2	0	0	2

Umbrella number	Agency	Total Adopt	Routine Technical	Major Substantive	Emergency	Non Emergency
18	Department of Administrative and Financial Services	12	12	0	2	10
19	Department of Economic and Community Development	1	1	0	0	1
29	Secretary of State	1	1	0	0	11
65	Public Utilities Commission	12	11	1	2	10
90-590	Maine Health Data Organization	3	2	1	0	3
94-270	Commission on Governmental Ethics and Election Practices	2	1	1	0	2
94-293	Baxter State Park Authority	1	1	0	0	1
94-335	Wells National Estuarine Research Reserve Management Authority	1	1	0	0	1
94-376	Maine Municipal Bond Bank	1	1	0	0	1
94-411	Maine Public Employees Retirement System	6	6	0	1	5
94-412	Saco River Corridor Commission	2	2	0	0	2
94-457	Finance Authority of Maine	4	4	0	0	4
99-346	Maine State Housing Authority	7	7	0	0	7
99-639	ConnectME Authority	2	1	1	0	2
99-650	Combat Sports Authority of Maine	23	23	0	0	23
	Totals for 2019	271	257	14	44	227

The report is presented in multiple files:

- 1) An Excel spreadsheet, sorted by agency, that includes for each rule -- the agency name and umbrella unit and the rule chapter number, title, statutory authority, effective date, the rule type (i.e. routine technical or major substantive) and whether the rule is an emergency rule. The internal log number used by the APA office is also included so that this Bureau can easily find the complete filing if requested; and
- 2) A Word document for each agency that includes the above information for each rule, as well as the principal reason or purpose for adopting the rule, the basis statement and the fiscal impact of the rule. This information was extracted from the documentation that is required to be filed with our office for each adoption.

If further information is requested for any rule, this office can provide the complete filing which includes additional information including the dates and locations of any hearings held on the proposed rules and public comments received regarding the rule.

If you have any questions regarding the *Administrative Procedure Act*, please contact me at 626-8400 or Julie Flynn, Deputy Secretary of State, at the Bureau of Corporations, Elections and Commissions at 624-7650.

Sincerely,

Matthew Dunlap Secretary of State