## Appendix D: Three-Tier System: Prohibited Financial Interests 28-A M.R.S. §707 & §1363

**Tier I: Manufacturers Tier 2: Wholesalers** off-premises retailers Tier 3: On-premises and wholesaler Maine YGO manufacturer Y 0 Maine 4 2 HON and S W (2) H 1363 E A Maine retailer 5(A)\* HQ) 1363(1) maybe 4(B 500 (out-of-state mfr. Cert. of approval 3(8) beer/wine) 39/104- (JE981 §707 unless otherwise noted. interests. prohibited flow of financial  $\rightarrow$  Arrows represent the Citations are to subsections of x (2 Key: Out-of-state wholesaler

office of Policy and Legal Analysis (Revised Aug. 2019)

+ Maine retailers are only prohibited from having financial interests in out-of-state manufactures or out-of-state wholesalers of malt liquor or wine (not spirits)

\* Wholesalers are only prohibited from having financial interests in out-of-state manufacturers or out-of-state wholesalers of malt liquor (not wine or spirits)