

MATTHEW DUNLAP SECRETARY OF STATE

February 1, 2018

Mr. Grant Pennoyer, Executive Director Maine State Legislative Council 115 State House Station Augusta, ME 04333-0115

Dear Mr. Pennoyer,

Maine Revised Statutes Title 5, §8053-A, sub-§5, provides that by February 1st of each year, the Secretary of State shall provide the Executive Director of the Legislative Council with lists, by agency, of all rules adopted by each agency in the previous calendar year. I am pleased to present the report for 2017.

The list must include, for each rule adopted, the following information:

- A) The statutory authority for the rule and the rule chapter number and title;
- B) The principal reason or purpose for the rule;
- C) A written statement explaining the factual and policy basis for each rule;
- D) Whether the rule was routine technical or major substantive;
- E) If the rule was adopted as an emergency; and
- F) The fiscal impact of the rule.

Agency	Total Rules	Routine Technical	Major Substantive	Emergency	Non Emergency
Department of Agriculture, Conservation and Forestry	33	33	0	12	21
Department of Professional and Financial Regulation	11	9	2	0	11
Department of Corrections	9	9	0	2	7
Department of Education	10	7	3	1	9
Department of Environmental Protection	5	4	1	0	5
Department of Inland Fisheries and Wildlife	12	12	0	1	11
Department of Health and Human Services	50	43	7 (one with routine technical elements)	11	39
Department of Labor	7	7	0	0	7
Department of Marine Resources	29	29	0	15	14

In 2017, there were 209 rule adoption filings. Following is a list of the agencies with the number of adopted rule filings:

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OFFICE OF THE SECRETARY OF STATE

Agency	Total Rules	Routine Technical	Major Substantive	Emergency	Non Emergency
Department of Defense, Veterans and	1	0	1	0	1
Emergency Management	1	0	1	0	1
Department of Public Safety	1	1	0	0	1
Department of Administrative and	14	14	0	0	14
Financial Services					
Department of Economic and	1	1	0	0	1
Community Development					
Secretary of State	3	3	0	0	3
Public Utilities Commission	4	3	1	0	4
Clean-up and Response Fund Review	3	3	0	0	3
Board					
Maine Health Data Organization	2	2	0	0	2
Commission on Governmental Ethics and	1	0	1	0	1
Election Practices					
Baxter State Park Authority	4	4	0	0	4
Maine Public Employees Retirement	1	1	0	0	1
System					
Finance Authority of Maine	5	5	0	0	5
Maine State Housing Authority	3	3	0	0	3
Totals for 2017	209	193	16	42	167

The report is presented in multiple files:

1) An Excel spreadsheet, sorted by agency, that includes for each rule -- the agency name and umbrella unit and the rule chapter number, title, statutory authority, effective date, the rule type (i.e. routine technical or major substantive) and whether the rule is an emergency rule. The internal log number used by the APA office is also included so that this Bureau can easily find the complete filing if requested; and

2) A Word document for each agency that includes the above information for each rule, as well as the principal reason or purpose for adopting the rule, the basis statement and the fiscal impact of the rule. This information was extracted from the documentation that is required to be filed with our office for each adoption.

If further information is requested for any rule, our office can provide the complete filing which includes additional information including the dates and locations of any hearings held on the proposed rules and public comments received regarding the rule.

If you have any questions regarding the Administrative Procedure Act, please contact me at 626-8400 or Julie Flynn, Deputy Secretary of State at the Bureau of Corporations, Elections and Commissions at 624-7650.

Sincerely,

Secretary of State

w Dunlap