

MATTHEW DUNLAP SECRETARY OF STATE STATE OF MAINE

OFFICE OF THE SECRETARY OF STATE

February 1, 2016

Mr. Grant Pennoyer, Executive Director Maine State Legislative Council 115 State House Station Augusta, ME 04333-0115

Dear Mr. Pennoyer,

Maine Revised Statutes Title 5, §8053-A, sub-§5, provides that by February 1st of each year, the Secretary of State shall provide the Executive Director of the Legislative Council with lists, by agency, of all rules adopted by each agency in the previous calendar year. I am pleased to present the report for 2015.

The list must include, for each rule adopted, the following information:

- A) The statutory authority for the rule and the rule chapter number and title;
- B) The principal reason or purpose for the rule;
- C) A written statement explaining the factual and policy basis for each rule;
- D) Whether the rule was routine technical or major substantive;
- E) If the rule was adopted as an emergency; and
- F) The fiscal impact of the rule.

In 2015, there were 260 rules adopted by 22 agencies. Following is a list of the agencies with the number of rules adopted:

Agency	Total	Routine	Major	Emergency	Non
	Rules	Technical	Substantive		Emergency
Department of Agriculture, Conservation	39	36	3	12	27
and Forestry					
Department of Professional and Financial	40	40	0	0	40
Regulation					
Department of Corrections	5	5	0	3	2
Department of Education	12	11	1	1	11
Department of Environmental Protection	26	24	2	1	25
Department of Inland Fisheries and	11	11	0	0	11
Wildlife					
Department of Health and Human	42	39	3	7	35
Services					
Department of Labor	2	2	0	0	2
Department of Marine Resources	28	28	0	7	21
Department of Public Safety	2	1	1	0	2

Agency	Total	Routine	Major	Emergency	Non
5.	Rules	Technical	Substantive		Emergency
Department of Transportation	7	6	1	0	7
Department of Administrative and	15	15	0	0	15
Financial Services					
Department of Economic and	1	1	0	0	1
Community Development					
Secretary of State	4	4	0	0	4
Public Utilities Commission	11	10	1	0	11
Workers' Compensation Board	3	3	0	0	3
Maine Health Data Organization	2	2	0	0	2
Commission on Governmental Ethics and	1	1	0	0	1
Election Practices	·				
Baxter State Park Authority	1	1	0	0	1
Finance Authority of Maine	3	3	0	1	2
Commission on Indigent Legal Services	2	0	2	1	1
Maine State Housing Authority	3	3	0	0	3
Totals for 2015	260	246	14	33	227

The report is presented in multiple files:

1) An Excel spreadsheet, sorted by agency, that includes for each rule -- the agency name and umbrella unit and the rule chapter number, title, statutory authority, effective date, the rule type (i.e. routine technical or major substantive) and whether the rule is an emergency rule. The internal log number used by the APA office is also included so that this Bureau can easily find the complete filing if requested; and

2) A Word document for each agency that includes the above information for each rule, as well as the principal reason or purpose for adopting the rule, the basis statement and the fiscal impact of the rule. This information was extracted from the documentation that is required to be filed with our office for each adoption.

If further information is requested for any rule, our office can provide the complete filing which includes additional information including the dates and locations of any hearings held on the proposed rules and public comments received regarding the rule.

If you have any questions regarding the Administrative Procedure Act, please contact me at 626-8400 or Julie Flynn, Deputy Secretary of State at the Bureau of Corporations, Elections and Commissions at 624-7650.

Sincerely,

atthew Dunlap Secretary of State