



# 129th MAINE LEGISLATURE

## SECOND REGULAR SESSION-2020

---

Legislative Document

No. 1868

---

S.P. 640

In Senate, December 23, 2019

### **An Act To Improve the Reporting of Grassroots Lobbying**

---

Submitted by the Commission on Governmental Ethics and Election Practices pursuant to Joint Rule 203.

Received by the Secretary of the Senate on December 19, 2019. Referred to the Committee on Veterans and Legal Affairs pursuant to Joint Rule 308.2 and ordered printed.

A handwritten signature in black ink, appearing to read 'D M Grant'.

DAREK M. GRANT  
Secretary of the Senate

Presented by Senator LUCHINI of Hancock.

1 **Be it enacted by the People of the State of Maine as follows:**

2 **Sec. 1. 3 MRSA §312-A, sub-§7-B**, as enacted by PL 2009, c. 282, §1, is  
3 amended to read:

4 **7-B. Indirect Grassroots lobbying.** "~~Indirect~~ Grassroots lobbying" means to  
5 communicate with members of the general public to solicit them to communicate directly  
6 with any covered official for the purpose of influencing legislative action, other than  
7 legislation that is before the Legislature as a result of a direct initiative in accordance with  
8 the Constitution of Maine, Article IV, Part Third, Section 18, when that solicitation is  
9 made by:

10 A. A broadcast, cable or satellite transmission;

11 B. A communication delivered by print media; ~~or~~

12 C. A letter or other written communication delivered by mail or by comparable  
13 delivery service. ~~E-mail is not considered a letter for the purposes of this paragraph.~~

14 D. A communication delivered by e-mail, a website or any other digital format;

15 E. Telephone; or

16 F. A method of communication similar to those listed in paragraphs A to E.

17 "Grassroots lobbying" does not include a person communicating with the person's  
18 stockholders, employees, board members, officers or dues-paying members.

19 **Sec. 2. 3 MRSA §312-A, sub-§11-A**, as amended by PL 2009, c. 282, §2, is  
20 further amended to read:

21 **11-A. Original source.** "Original source" means any person who ~~contributes or~~  
22 ~~pays \$1,000 or more in any lobbying year directly or indirectly to any employer of a~~  
23 ~~lobbyist for purposes of lobbying or indirect grassroots lobbying or to any other person~~  
24 ~~for purposes of grassroots lobbying~~, except that ~~contributions payments~~ of membership  
25 dues to nonprofit corporations formed under Title 13-B, under any equivalent state law or  
26 by legislative enactment are not considered ~~contributions payments~~ by an original source.

27 **Sec. 3. 3 MRSA §317, sub-§1, ¶E-1**, as enacted by PL 2009, c. 282, §4, is  
28 amended to read:

29 E-1. When expenditures for the purposes of ~~indirect grassroots~~ lobbying exceed  
30 ~~\$15,000~~ \$2,000 during the month that is the subject of the report, the specific dollar  
31 amount of expenditures for ~~indirect grassroots~~ lobbying made or incurred during the  
32 month by a lobbyist, lobbyist associate or employer, with separate totals for  
33 expenditure categories as determined by the commission, and the legislative actions  
34 that are the subject of the ~~indirect grassroots~~ lobbying ~~and a general description of the~~  
35 ~~intended recipients.~~ Salaries paid to the employer's employees are not expenditures  
36 for the purposes of this paragraph and are exempt from disclosure under this  
37 paragraph;

38 **Sec. 4. 3 MRSA §317-A** is enacted to read:

1 **§317-A. Grassroots lobbying report**

2 Except for a lobbyist filing a monthly report under section 317, subsection 1,  
3 paragraph E-1, a person who makes or incurs expenditures in excess of \$2,000 during a  
4 calendar month for purposes of grassroots lobbying shall file with the commission a  
5 report no later than 11:59 p.m. on the 15th day of the calendar month following the date  
6 on which that amount was exceeded. For purposes of this section, expenditures include  
7 payments of money made to independent contractors and other vendors to purchase goods  
8 and services such as advertising, graphic or website design, video or audio production  
9 services, telecommunications services, printing and postage. Salaries paid to the person's  
10 employees are not expenditures for the purposes of this section and are exempt from  
11 disclosure under this section.

12 **1. Report.** A grassroots lobbying report filed pursuant to this section must include:

13 A. The name of the person required to file the report;

14 B. The name of an individual serving as the contact for the person;

15 C. The business address and other contact information for the person;

16 D. A description of the business activity or mission of the person;

17 E. The specific amount of expenditures for grassroots lobbying made or incurred  
18 during the month that is the subject of the report, with separate totals for expenditure  
19 categories as determined by the commission;

20 F. The legislative actions that are the subject of the grassroots lobbying; and

21 G. A list of all of the person's original sources and a statement of the amount paid by  
22 each original source. If an original source is a corporation formed under Title 13 or  
23 former Title 13-A, a nonprofit corporation formed under Title 13-B or a limited  
24 partnership under Title 31, the corporation, nonprofit organization or limited  
25 partnership, not the individual members, must be listed as the original source.

26 **Sec. 5. Effective date.** This Act takes effect December 1, 2020.

27 **SUMMARY**

28 This bill amends the requirement for lobbyists to report expenditures for  
29 communications with members of the public urging them to contact elected officials to  
30 support or oppose legislation. The bill changes the term "indirect lobbying" to  
31 "grassroots lobbying" and amends its definition. Current law requires lobbyists to report  
32 payments by their clients for indirect lobbying if those payments exceed \$15,000 in a  
33 month. The bill reduces the reporting threshold to \$2,000 in a calendar month. The  
34 communications subject to the report are expanded to include digital communications,  
35 including e-mails, telephone calls and communications by a website or other digital  
36 format. The bill also establishes a requirement for persons who have not engaged a  
37 lobbyist to report their financial activities related to grassroots lobbying. The \$2,000  
38 threshold applies only to payments to independent contractors and vendors for purposes  
39 of grassroots lobbying, such as advertising or website design. The salaries paid by the  
40 person to employees for working on grassroots communications are not applied to the

1 \$2,000 threshold and are exempt from disclosure. The effective date is December 1,  
2 2020.