

A Guide to the Maine Department of Corrections



Maine Department of Corrections
Central Office
25 Tyson Drive 3rd floor
State House Station 111
Augusta, Maine 04333-0111

Telephone: (207) 287-2711
Fax: (207) 287-4370
TTY: Call Maine Relay 711

<https://www.maine.gov/corrections/>



<https://www.facebook.com/maine.gov.corrections>

Table of Contents

A Message from the Commissioner.....	4
Contact Information	6
Overview of MDOC Facilities	7
Work Release Facilities	7
MDOC Security Custody Levels	7
Types of Adult Facility Housing Units	8
Adult Correctional Programming	9
Intake and Assessment	9
Classification	9
Case Management Services for clients.....	10
Treatment and Rehabilitation Services	10
Educational Opportunities for clients	11
Vocational Opportunities for clients	11
MAT program.	12
Tablet Technology	14
Data & Continuous Quality Improvement Team.....	14
Division of Juvenile Services.	15
Return to Custody	17
Operations Division.....	18
Inspections and Compliance.....	18
Office of Professional Review.....	18
Fugitive Investigative and Apprehension Team	18
Special Operations Group	19
Honor Guard.....	19
Gardens at Maine State Prison	20
Gardens at Mountain View Correctional Facility.....	21
Community Corrections	22
Health Services.....	23
Training Division	24
Information Technology	25
Victim Services.....	26
Industries.....	26
FY19 Financial Information.....	27
Special Thanks.....	28



The mission of the Department of Corrections is to reduce the likelihood that juveniles and adults will re-offend, by providing practices, programs and services which are evidence - based and hold the individual accountable.

A Message from the Commissioner



Photo credit: Mainebiz/Tim Greenway

***Commissioner
Randall A. Liberty***

Welcome members of the 130th Legislature.

It is my honor to serve as the Commissioner of the Department of Corrections. As you will see, this is a Department with dedicated, mission-focused professionals. We are a team who believe in redemption and prioritize improving the wellness of our staff and residents.

Staff work across six facilities, including adult and juvenile institutions; in the community under the division of juvenile and adult community corrections; and in our central office in Augusta.

Despite our geographical distance, staff work under a shared vision, that a resident or client's success can be measured across multiple domains, including participation in behavioral health and wellness treatments; educational programming; pro social behavioral modifications; and vocation and job readiness programming, all of which support a reduction in return to custody.

2020 has been a year like no other. The MDOC has certainly felt the negative impact of COVID-19, the staffing issues, the countless health screening and testing among staff and residents, the challenge of planning for and managing a brand-new contagious virus within a congregate living setting. What always impresses me however about the employees of the MDOC is their willingness to embody their commitment to service, regardless of the challenge.

During the pandemic the employees of the MDOC have:

- Facilitated the production of more than 200,000 pieces of Personal Protective Equipment for use by organizations throughout Maine;
- Facilitated the production of fit-testing hoods for respirators for the Maine National Guard;
- Assisted other state or Maine agencies throughout the pandemic with staff support, including helping the Department of Labor with reviews of 1000s of fraudulent unemployment claims;
- Received COVID-19 stipends for working inside a facility during this Pandemic;
- Continued the administration of Medication Assisted Treatment for residents with substance use disorders;

from the Commissioner Continued

- Created, regularly evaluate and update COVID-19 related facility procedures, including testing and quarantine plans;
- Continued Programming, reentry case planning, and ensured residents are able to stay in contact with loved ones by setting up new technology inside facilities;
- Remained vigilant and in doing so have helped reduce the impact of this virus on the residents of our facilities.

As we work our way through this legislative session, you'll hear more about new practices and innovations within the DOC, including the operations division and the work they're doing to initiate a solar installation plan at Maine State Prison and Bolduc Correctional Facility. You'll hear about the Division of Juvenile Services commitment to reducing incarceration through the development of non-institutional level of care in a staff secure setting. You'll hear about our commitment to diversity, equity, and inclusion, and about our commitment to farm fresh food, grown at our facilities to feed staff and residents and donated to organizations throughout Maine.

There will be more challenges ahead, but with your support and input, we will have a correctional system that is both secure in the practices of public safety and leading the nation with innovative practices taking shape all around the country.

I look forward to our work together.

Sincerely,

Randall A Liberty

Contact Information

Adult Facilities

Bolduc Correctional Facility

516 Cushing Road
Warren, ME 04864
Phone: (207) 273-5123
Fax: (207) 273-5124

Maine Correctional Center

17 Mallison Falls Road
Windham, ME 04062
Phone: (207) 893-7000
Fax: (207) 893-7001

Maine State Prison

807 Cushing Road
Warren, ME 04864
Phone: (207) 273-5300
Fax: (207) 273-5316

Mountain View Correctional Facility

1182 Dover Road
Charleston, ME 04422
Phone: (207) 285-0880
Fax: (207) 285-0836

Southern Maine Women's Reentry Center

230 River Road
Windham, ME 04062
Phone: (207) 893-7178
Fax: (207) 893-7179

Juvenile Facility

Long Creek Youth Development

Center 675 Westbrook Street
South Portland, ME 04106
Phone: (207) 822-2600
Fax: (207) 822-2775

Community Corrections

Adult Region 1

315 Park Avenue,
Portland, ME 04103
Phone: (207) 822-0806
Fax: (207) 822-0808

Adult Region 2

140 Canal Street
Suite #3
Lewiston, ME 04240
Phone: (207) 783-5394
Fax: (207) 783-5368

AND

1 Weston Court
Augusta, ME 04330
Phone: (207) 287-2401
Fax: (207) 287-5158

Adult Region 3

102 Hogan Road (2nd Floor)
Bangor, ME 04401
Phone: (207) 941-4713
Fax: (207) 941-4596

Juvenile Region 1

135 Presumpscot St
Portland, ME 04103
Phone: (207) 822-0092
Fax: (207) 822-0097

Juvenile Region 2

945 Center Street
Suite #3
Auburn, ME 04210
Phone: (207) 783-5383
Fax: (207) 783-5344

Juvenile Region 3

10 Franklin Street
Bangor, ME 04401
Phone: (207) 941-3130
Fax: (207) 941-3132

Central Office

Mailing Address
State House Station 111
Augusta, Maine 04333-0111

Physical Address
25 Tyson Drive; 3rd floor
Augusta, Maine 04330

Phone: (207) 287-2711
Fax: (207) 287-4370
TTY: Relay Service Dial 711

Executive Staff

Commissioner
Randall Liberty
(207) 287-4360

Deputy Commissioner
Dr. Ryan Thornell
(207) 287-2212

Associate Commissioner
Karen Yeaton
(207) 287-3366

Associate Commissioner
Colin O'Neill
(207) 287-4362

Director of Operations
Gary LaPlante
(207) 287-4394

Director of Government Affairs
Anna Black
207-530-3794

Overview of MDOC Facilities

The Maine Department of Corrections (MDOC) has five adult correctional facilities spanning from Southern Maine through Central and Western Maine. Long Creek Youth Development Center is in South Portland.

Maine Correctional Center and Women's Center

Maine Correctional Center (MCC) located in Windham is Maine's primary adult reception facility for both male and female residents. All residents sentenced to less than five years are directly admitted to this facility.

Mountain View Correctional Facility

Mountain View Correctional Facility (MVCF), located in Charleston has a split population and functions as both a medium, minimum and community security facility for male residents. Both Medium and Minimum beds are located on the same accommodations for their respective custody levels. Medium custody provides campus; however, they are in different buildings with appropriate security/treatment beds for residential substance use treatment, problematic sexual behavior treatment and the Young Adult Offender Program (YAOP). Community custody provides reentry beds where residents qualify for work release community job opportunities.

Maine State Prison

Maine State Prison (MSP), located in Warren is Maine's maximum-security facility. The residents are classified as close and medium custody. MSP, like MCC, is a receiving facility but for specific residents, those whose sentence exceeds five years, have escape convictions or known escape attempts from a medium or higher security facility, have special needs such as severe mental or emotional health or physical disabilities which pose a threat to themselves or other residents, and those who have been released within the last three years and at the time of release were classified as close custody. MSP also houses the Department's Intensive Mental House Unit.

Southern Maine Women's Reentry Center

Southern Maine Women's Reentry Center (SMWRC), located in Windham is Maine's only female reentry center. Residents are minimum or community classification level and can work in the community and/or pursue their

Work Release Facilities

The Department operates three adult work release facilities:

- Bolduc Correctional Facility
- MountainView Correctional Facility
- Southern Maine Women's Reentry Center

The work release program in the minimum facilities provides residents who meet specific criteria the opportunity to gain employment in the community.

The program allows for residents to earn wages which are used for room and board, restitution, personal expenses and savings.

MDOC Security Custody Levels

- Close Custody: Resident has demonstrated irresponsible behavior (assaultive/dangerous behavior/threats, serious institutional misconduct, history of escape or escape attempt, etc.)
- Medium Custody: Resident has demonstrated limited responsible behavior.
- Minimum Custody: Resident has demonstrated responsible behavior.
- Community Custody: Resident has demonstrated sustained responsible behavior and is case plan compliant.

The Average Length of Stay, Adult Facility Discharges, January-November 2020

	Days	Years
All	660	1.81
Males	687	1.88
Females	415	1.14

Types of Adult Facility Housing Units

- Reception/Intake
- Long-Timers
- Close Custody
- Pre-Release
- Veteran’s Pod
- Restrictive Housing (RH)
- IMHU (Intensive Mental Health Unit)
- Residential Sex Offender (SO) Program
- Young Adult Offenders
- Assisted Living
- Residential Substance Abuse (SA) Program

Overview of MDOC Facilities continued

education, while still under the supervision of the Department of Corrections. Female residents can qualify for SMWRC when they have four years or less on their sentence and are classified as minimum security.

Bolduc Correctional Facility

Bolduc Correctional Facility (BCF), located in Warren is a minimum and community custody facility. Residents here have five years or less left on their sentence. Programming and services include educational and vocational training, substance use treatment and the ability to participate in a community work release program.

Long Creek Youth Development Center

The Long Creek Youth Development Center (LCYDC) located in South Portland, is the State’s only juvenile correctional facility. LCYDC serves as detention center for pre-adjudicated male and female youth held for a court appearance and for committed male and female youth for an indeterminate time up to the age of 21. LCYDC currently has four housing units: male detention, male high risk, male moderate risk, and female detained/committed.

Adult Correctional Programming Facility

The Adult Correctional Programming Division is committed to serving the State of Maine by ensuring adult residents from the recently incarcerated to the long-term committed leave our facilities with the skills, treatment, and education needed to succeed after their period of incarceration. To ensure effectiveness, we monitor the Division by:

- Reporting regularly on key performance measure outcomes.
- Monitoring programs and program delivery for fidelity.
- Ensuring programming and treatment services are evidence-based and adhere to national best practices.
- Making quality treatment and programming accessible to residents.
- Training, coaching, and mentoring staff to enhance their proficiency in delivering programs and services.
- Using current technology for program delivery.

Intake and Assessment

Upon admission, adult residents receive orientation by security staff that covers expectations, rules, policies and general information. Intensive assessments are completed using validated assessment tools during the first few weeks of incarceration. Assessments include:

- Level of Service Inventory-Revised (LSI-R) - men's risk assessment tool.
- The Service Planning Instrument for Women (SPIIn-W) - women's risk assessment tool.
- Prison Rape Elimination Act (PREA) - screening to identify potential sexual violence, prevention and detection.
- Comprehensive Medical, Behavioral Health, Substance Use, and Problematic Sexual Behavior Assessments.
- Texas Christian University Drug Screen II (TCUDS II).
- Ontario Domestic Assault Risk Assessment (ODARA)- domestic violence assessment.

Classification

Resident classification is a process by which each resident is rated per his/her institutional risk to determine an appropriate housing unit. Initial classification is completed during the admission process. Re-Classification review meetings occur annually when a resident has more than four years remaining on their sentence, and every six months for less those with less than four years remaining, and/or are trans-gender/intersex. Interim classifications can be held at any time if situations change significantly and/or suddenly.

Programming for Adult Residents Continues Throughout Their Stay with MDOC

Resident enters MDOC system and is assessed by

- Medical Staff
- Security Staff
- Case Manager

Resident is assessed by medical staff for:

- Medical needs
- Behavioral Health needs
- Substance Use/Use Needs
- Problematic Sexual Behavior treatment needs

Case Manager assessments consists of:

- Psychosocial Assessment
- Prison Rape Elimination Assessment (PREA)
- Intake Summary Report
- Risks/Needs Assessment (using LSI-R™ or SPIInW™)

Resident meets with Case Manager and a case plan is developed using assessments results.

Resident participates in appropriate programming which may include:

- Education
- Vocational
- Behavioral Health Treatment (e.g. Substance Use and Problematic Sexual Behavior)

Reentry and release planning is an ongoing process from admission, to discharge. In the last several months prior to discharge, reentry plans focus on housing, employment, and continuity of care or treatment needed upon release.

Case Managers Training

All adult Case Managers (CM) receive ongoing training to support their work with residents, which includes:

Principles for Effective Offender Intervention - developed by The National Institute of Corrections (NIC) these well-researched, proven principles help CMs manage caseloads.

Core Correctional Practices - this approach of interaction with residents was developed to support cognitive behavioral programming. Used in this approach are relationships skills, effective use of reinforcement, effective use of disapproval, effective use of authority, pro-social modeling, cognitive restructuring, social skills training and problem-solving skills.

Creating Regulation & Resilience (CR/2) - a communication model designed to foster productive interactions with residents. Grounded in research on the neurophysiology of trauma and resilience, CR/2 translates research on trauma-informed care, evidence-based, and promising interventions into an integrated approach that can enhance outcomes. CR/2 teaches participants to enhance resident motivation, and support growth and behavior change.

Risk, Needs, Responsivity and Fidelity - this model provides a programmatic approach to principles of effective intervention. Assessing who we target, what and how we target, as well as focusing on how well we deliver the programs, are essential in effective correctional intervention.

Adult Correctional Programming continued

Case Management Services for Residents

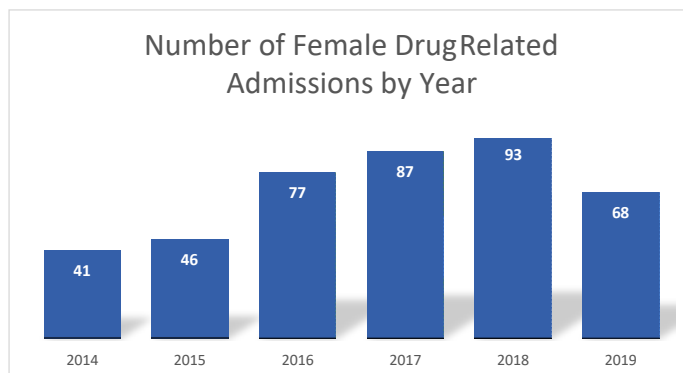
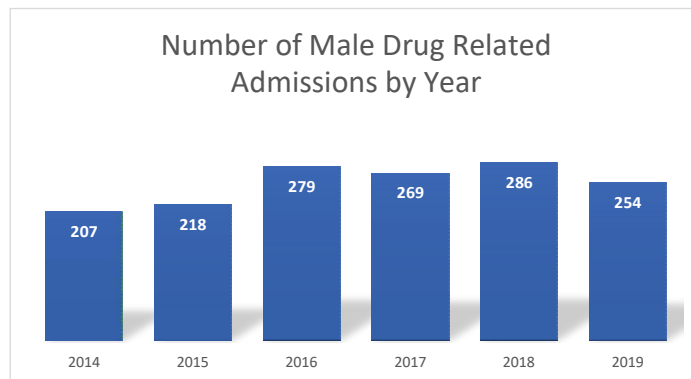
From initial incarceration to release, MDOC Case Managers (CM) provide critical services for all residents. They serve as the administrator of the resident case plan, which drives a resident's treatment programming while incarcerated, and release planning as they prepare to enter society.

Treatment and Rehabilitation Services

The MDOC provides comprehensive treatment and rehabilitation services to residents. These services are delivered by MDOC employees, and contracted comprehensive medical care vendors, including Wellpath, Day One and Groups Recover Together

- Behavioral Health Treatment Services - assessment, treatment and medications are available at all facilities.

The Need for Substance Use Treatment in MDOC Facilities.



Adult Correctional Programming continued

- Intensive Mental Health Unit (IMHU) - located at Maine State Prison.
- Problematic Sexual Behavior Assessment and Treatment - two intensive residential programs, located at Maine Correctional Center, and Mountain View Correctional Facility.
- Residential Substance Use Treatment and Recovery - two locations, Maine State Prison, and Mountain View Correctional Facility.
- Outpatient Substance Use Treatment - outpatient treatment is available at all facilities.
- Cognitive Behavioral Treatment (CBT) - CBT based programs are available at all facilities.
- Domestic Violence (DV) Programming - available at all adult facilities.
- Recovery Coach Program - peer support and recovery model for substance use available at all adult facilities.

Educational Opportunities for Residents

MDOC has 14 teachers throughout our adult facilities. In addition to adult basic education, college classes are offered, and residents have opportunity to earn associate, bachelor's and master's degrees while incarcerated. In a landmark 2013 study by the RAND Corporation it was found that residents who participate in correctional education programs had 43% lower odds of recidivating than those who did not.

Vocational Opportunities for Residents

MDOC offers many vocational training opportunities throughout our adult facilities. Vocational programming is taught by Education Department Teachers and Vocational Trades Instructors (VTIs). Along with community partners, residents are trained in skills for trades and vocations which are employable upon release. These programs combine classroom time with hands on, and on the job training. Some vocational programs offered include:

- Agriculture/Master Gardner
- Building Trades
- Business Basics/Financing
- Computer Coding Program
- Computer Literacy & Computer Technology
- Culinary Arts and ServSafe
- NCCER (National Center for Construction Education and Research) Certification
- Small Engine Repair
- Welding
- Wood Harvesting

Intensive Mental Health Unit

- Provides mental health service recipients with a structured daily routine with intensive clinical treatment and care.
- Delivers educational and enrichment programming.
- Ensures regular and adequate security and safety for staff and residents.

Behavioral Health Treatment Services are Available to All MDOC Residents

Wellpath is an independent contractor that provides medical and behavioral health services for residents in all Maine DOC facilities.

Day One and Groups Recover Together also provide substance use treatment services.

Medication Assisted Treatment (MAT)

What is MAT?

One of the highest standards of care for individuals diagnosed with OUD, MAT reduces cravings for opiates, which helps individuals more effectively manage personal triggers and engage in prosocial activity, including counseling/treatment.

Type of Medication

MDOC's MAT program utilizes buprenorphine and naltrexone as the primary medications prescribed by medical providers.

July 2019, in response to the current opioid epidemic in Maine and in accordance with Governor Mills' Executive Order, the MDOC launched a Medication Assisted Treatment (MAT) program for residents meeting eligibility requirements in adult facilities diagnosed with Opioid Use Disorder (OUD).

Implementation of the MAT program was in collaboration with Wellpath, DayOne, and Groups Recover Together.

Funding for year one of the pilot in FY20 totaled \$1.2 million, including \$450,000 from Maine Office of Behavioral Health (OBH). Funding for FY21 totals \$1.7 million, of which \$830,000 is from Maine OBH.

The pilot served residents who were three months from discharge and determined medically eligible in three facilities: Maine Correctional Center, Southern Maine Women's Reentry Center and Bolduc Correctional Facility.

November 2019, the MAT program was expanded to serve residents at Mountain View Correctional Facility.

February 2020, the program was expanded further to include residents of Maine State Prison, and expanded eligibility allowing induction to anyone coming into MDOC already on MAT, regardless of sentence. Eligibility was also expanded to 6 months until release.

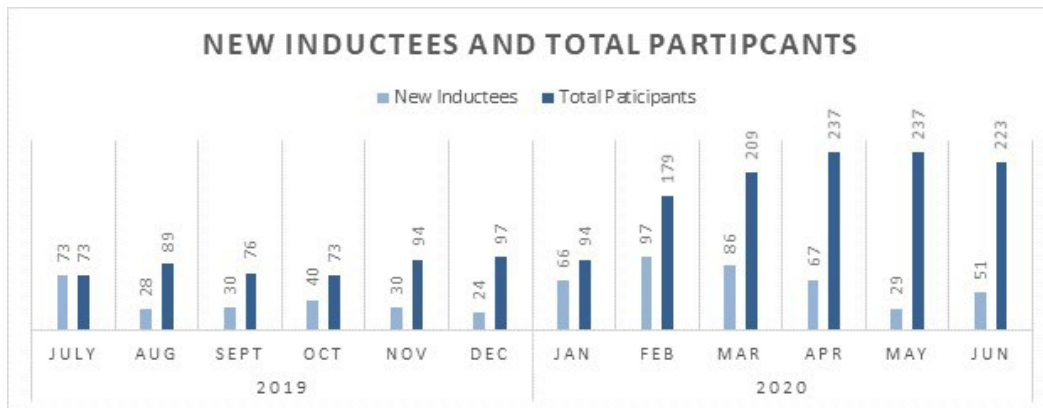
MDOC exceeded the goal during the pilot to serve up to 100 residents from the three sites, by serving 333 residents and transitioned into the community with MAT services in place.

2021 and beyond, the MDOC anticipates ensuring MAT is accessible to all those associated with MDOC and continue providing Narcan training and kit to all participants upon release.

Medication Assisted Treatment (MAT) (continued)

First Year Inductees by Month

The following table indicates the total number of newly inducted participants each month during the pilot year (n=621) compared to the total number of participants during each month. These numbers include all who started, continued, or were discontinued during a month, regardless of outcome. At the end of the pilot year (end of June 2020) there were 202 continuing treatment.



Programming Available on Tablets

Education

- Beginner English
- Adult Basic Education
- HiSET
- College

Job Preparation

- CISCO networking
- ServSafe
- Customer Service
- Resume building
- Automotive Basics, Advanced

Personal Growth

- Parenting While Incarcerated
- Personal Finance
- Anger management
- Cognitive Behavioral Therapy

Tablet Technology

Recognizing the positive impact technology has in preparing residents for return to their communities, the Maine Department of Corrections partnered with the correctional tablet company, Edovo, in 2018.

Edovo, a social impact company, brings a unique approach to the use of technology. Edovo's tablet platform allows residents to access free educational programming, free job training programs and evidence-based rehabilitation. Edovo tablets are specifically designed for corrections and operate on a closed, managed network. Currently all adult facilities provide residents with tablets, more than 730 are in use across the MDOC. Through dedicated partnerships with businesses in Maine, tablets also have employer-specific training material and programming available to residents. This first-of-its-kind direct collaboration allows residents to learn industry specific knowledge, skills and abilities before release; impacts the labor markets' actual needs while improving odds for hire and reducing recidivism. Tablets also have material from the educational and behavioral health programs offered in facilities.

Data & Continuous Quality Improvement (CQI) Team

The MDOC & Data CQI Team is comprised of two staff whose primary role is to provide various types of informational reports to MDOC leadership including adult facility and adult community corrections leaders to enable them to make data driven decisions based on real-time information.

The Data Team utilizes Tableau business intelligence software as well as SQL Server Management Studio to query the MDOC Correctional Information System (CORIS) to produce reports. The Data Team tracks and reports out on quality improvement efforts by the Department reporting:

- Treatment, education, and vocational programming
- Case managers performance
- Timeliness of various operations
- Resident behaviors and special management

Data & Continuous Quality Improvement (CQI) Team continued

These CQI processes ensure all delivered programming is done with high fidelity to the treatment model or teaching standards, and that staff are consistently fulfilling their duties with professionalism. Other reports available on our website www.Maine.gov/Corrections/Best-practices include:

- Return to MDOC Custody (One-Year-Three-Year reports)
- Medication Assisted Treatment Pilot
- Monthly Adult Data Report
- Annual DOC Summary Reports

Division of Juvenile Services

The Division of Juvenile Services (DJS) is committed to the result that all Maine youth successfully transition into adulthood. The DJS works to ensure all justice involved youth experience a fair, equitable, and responsive juvenile justice system that provides them with positive outcomes. The DJS is committed to reducing the use of institutional secure confinement by increasing the capacity and the use of community-based programming and supports.

DJS By the Numbers

Long Creek Youth Development Center (LCYDC)

34: Average daily male population

3: Average daily female population

13: New commitments to LCYDC for 2020

16: Average number of committed youths on site (an 89% decrease since

2008) 21: Average number of detained youths on site (2% change since 2008)

Juvenile Community Corrections (JCC)

1641: Referrals to JCC (52% first time referrals)

74: New probation cases (52% reduction from 2019)

91: Average number of youths on probation (8% increase from

2019) 470: Diverted (out of 508, that's a 93% diversion rate)

- 85%: Female diversion rate
- 76%: Male diversion rate
- 92%: White diversion rate
- 97%: YOC diversion rate

All data as of December 8, 2020

Juvenile Programming LCYDC is committed to providing opportunities for success through personal growth in a safe and secure environment. In addition, LCYDC continues to redefine many of its program functions to enable a total multi-disciplined team approach when working with youth committed and held within the facility. It functions as a total educational rehabilitative resource within the state-wide correctional setting and provides care, custody and security for its residents and holds for court evaluations/diagnostic services. Programming and services at LCYDC include:

- Comprehensive medical, substance abuse/use, and behavioral health treatment
- Education, special education, physical education, and recreation through the A.R. Gould School
- Post-secondary education earning college credits in the community college system
- Vocational training
- Employment in and outside the facility
- Restorative Justice programming
- Mentoring
- Worship services
- Gender-specific programming

Juvenile Community Corrections

The Maine Department of Corrections Juvenile Community Corrections serves both male and female youthful offenders throughout the State of Maine. Juvenile Community Corrections (JCC) is the first place a youth enters into the system after contact with a Law Enforcement agency. We partner with the youth and family during the youth's time engaged in the juvenile justice system. We collaborate with many other state agencies while a youth is under supervision, to include DHHS/OCFS, DOE and DOL. These collaborative partnerships ensure youth have positive outcomes as they transition to adulthood. We also have many community partnerships that provide mental health support, substance use counseling, residential placements, as well as restorative justice, transforming mentoring, and attendant care. Lastly, JCC has identified Field Training Officers (FTO) in each region whose role is to improve training for juvenile community corrections officers. The FTOs specifically target skill development in risk assessment, case planning, and motivational interviewing. All FTOs and facility personnel have been trained in current LGBTQ+ curriculum.

Return to Custody

The Maine Department of Corrections (MDOC) is committed to improving the Return to Custody Rates (RCR), releasing residents back into Maine communities with decreased criminogenic risk, improved skills and education, and with more stable reentry plans.

MDOC has undertaken the following initiatives to improve these rates:

- Expansion of vocational skills training, in partnership with Maine Department of Labor and Maine employers.
- Expansion of education offerings, including Adult Basic Education, High School equivalency, and College education.
- Use of tablet technology to provide supplemental programming.
- Doubling substance abuse treatment offerings and relapse prevention programming.
- Establishing program evaluation and fidelity monitoring protocols.
- Partnering with Maine businesses for meaningful work release opportunities for residents, to continue upon release.

Terminology

Return to Custody - A resident from a (MDOC) facility returns to a MDOC facility, with or without new criminal conviction.

Return to Custody Rate (RCR) - The rate at which a resident from a MDOC facility returns to a MDOC facility. This data is collected in three-year increments, post release.

Recidivism - The MDOC does not use the term recidivism in reports as the term means different things to different stakeholders. To reduce the potential for confusion, MDOC uses the term “return to custody” as it describes the rate at which a MDOC resident returns to a MDOC facility.

Straight Release - A resident is released directly from a MDOC facility back into his or her community, without probation.

Probation Release - When a resident is released from a MDOC facility onto probation supervision.

The 2016 return to custody report showed residents released who were between the ages of 30-39 years had the highest average three-year return to custody rate at 30.3%

The next highest average return to custody rate group is under 30 years of age at 29.3%.

Residents released with a Close (high risk) custody level had the highest average three-year return to custody rate at 46.3% followed by Medium (moderate risk) custody at 34.0%.

Straight Release

The average annual RCR for MDOC straight releases between 2010-2016 was 22.2%

2010 Release Year	– Three-year RCR	– 7.7%
2011 Release Year	– Three-year RCR	– 0.7%
2012 Release Year	– Three-year RCR	– 2.2%
2013 Release Year	– Three-year RCR	– 24.9%
2014 Release Year	– Three-year RCR	– 2.0%
2015 Release Year	– Three-year RCR	– 4.5%
2016 Release Year	– Three-year RCR	– 3.5%
Three-year RCR Average 2010-2016		– 22.2%

Probation Release

The average annual RCR for MDOC probation releases between 2010-2016 was 33.7%

2010 Release Year	– Three-year RCR	– 30.4%
2011 Release Year	– Three-year RCR	– 34.1%
2012 Release Year	– Three-year RCR	– 33.1%
2013 Release Year	– Three-year RCR	– 34.8%
2014 Release Year	– Three-year RCR	– 37.7%
2015 Release Year	– Three-year RCR	– 34.1%
2016 Release Year	– Three-year RCR	– 31.8%
Three-year RCR Average 2010-2016		– 33.7%

The Maine Department of Corrections has reports related to return to custody available on the MDOC website:

<http://maine.gov/corrections/reports-statistical-data.htm>

Operations Division

The Operations Division manages Inspections and Compliance, Office of Professional Review, Capital Projects, and Specialty Teams. These multiple areas have department wide impact. The management includes supporting facilities with incident management, compliance of policy and national standards, investigations and intelligence, specialty teams, Capital projects and guidance associated with overall facility operation, to include technical assistance to all entities in establishing and maintaining compliance programs.

The main assignments within the Operations Division include:

Inspections and Compliance

This team is responsible for inspecting and licensing county and municipal detention facilities to include Minimum Security Residential Facilities, Holding Facilities (up to 72 hours), Short-Term Detention Facilities (up to 6 hours), Juvenile Temporary Holding Resources, Home Release & Electrical Monitoring Programs and Alternative Sentencing Programs, pursuant to MRSA 34-A 1208, 1208-A, 1208-B and MRSA 30-A 1659-A.

Inspection staff are responsible for ensuring that State Correctional facilities maintain regulatory compliance under the standards governed by PREA, JJDPa and JJRA, the American Correctional Association (ACA), National Institute of Corrections (NIC), Maine Statutes and Department policy pursuant to federal Prison Rape Elimination Act (PREA), Juvenile Justice and Delinquency Prevention Act (JJDPa), Juvenile Justice Reform Act of 2018 (JJRA) and MRSA 34-A 1215.

Specialty Teams of the Operations Division include:

- Office of Professional Review (OPR)
OPR oversees and/or monitors the intake, review, handling and any subsequent Administrative-Personnel Complaint Investigations (APCI) of those complaints made on staff at the Maine Department of Corrections.
- Capital Projects Team
Manage construction projects related to the department, including the negotiation between State parties and contractors. Current construction projects include Maine Correctional Center, Maine State Prison, and Downeast Correctional Facility, each are at different stages of project management.
- Fugitive Investigation and Apprehension Team (FIAT)
FIAT's Primary purpose is to locate and arrest clients who may have absconded on their probation, have an arrest warrant, or have escaped from supervised community confinement. FIAT also locates and arrests residents who have escaped from a MDOC facility.

Operations continued

- Special Operations Group (SOG)

SOG is a corrections tactical team ready to respond to any MDOC facility incident, as well as assist in other related incidents such as escapes. SOG is currently the only Tier 1 certified Corrections Special Operations Group in the Northeast. SOG members train twice a month and receive two weeks of advanced training each year to maintain certification and stay updated in the latest tactics and equipment. The MDOC SOG consists of 15 members - including one K-9 handler.

- Honor Guard

The Honor Guard exemplifies integrity, professionalism, dedication. Guard Members participate in funerals for fallen officers and their immediate family members, parades, and presentation of colors at functions such as Maine Criminal Justice Academy Graduations, and other promotions.



*The Maine Department of Corrections
Honor Guard.*

The Gardens at Maine State Prison



In 2016, the Maine State Prison introduced programming to educate residents in various fields of sustainability and provide positive outlets for personal growth. Since then, the facility has grown cultivated an incredible landscape that boasts over 1.5 acres of edible gardens within the perimeter fences. MSP's enviable flower gardens bring bright displays of color to lighten up the mood of the facility during the summer months. The greenhouse is used year-round, enabling staff and residents to grow close to 28,000 lbs. of produce and 27,000 pounds of compost as well as donate over 3,000 lbs. of food to local food pantries.



The Gardens at Mountain View Correctional Facility

Since 2011, Mountain View Correctional Facility has operated a commercial scale orchard. After planting many new trees in the past 10 years, the over 7-acre orchard now contains 750 fruit trees. In 2014, a 1/4-acre garden plot was added to production and has grown to 8 acres of tillable land in 2018. MVCF created a Farm to Table program in 2015 where fruit and produce from their agricultural production, as well as other local farm production, was prepared for feeding the resident population. In the past 10 years, the facility has produced over 1 million pounds of fruits and vegetables and has donated over 170,000 pounds. A Food Waste Composting Program was created in 2016, where the facility began to shred 100% of its cardboard and paper, incorporate 100% of the waste products from the Wood Harvesting and Sawmill Program, as well as the food waste from their kitchens. The finished compost is then used in soil remediation in the gardens.

In 2020, Mountain Views Program was named as the "bright spot in the nation" by Impact Justice, after an 18-month survey of prison food programs around the country.



Community Corrections

Adult Community Corrections

The Division of Adult Community Corrections (formerly referred to as Probation and Parole) was established to provide community-based supervision and related services to convicted clients sentenced to probation or parole. Adult Community Corrections serves more than 6,000 clients on probation.

Adult Community Corrections (ACC) is divided into three regions with numerous sub-offices. The main offices are in Portland (Region 1), Lewiston (Region 2), and Bangor (Region 3).

ACC's primary role is to supervise, and case manage post-conviction clients who are either on probation or are still under supervision after being released from a county jail or from a state correctional facility. Case management is driven by using an evidence-based risk assessment to identify criminogenic needs to be addressed by a comprehensive case plan. The case plan outlines targeted treatment and program interventions to reduce criminal behavior and promote pro-social behaviors. Probation officers also use graduated sanctions to reduce probation violations. Probation officers provide other essential duties such as pardons and commutation investigations for the Governor's office, pre-sentence investigations for the courts, post-sentence, pre-parole, furloughs and other investigations for the correctional facilities.

In addition, problematic sexual behavior registration, restitution/fine collection, DNA and substance use testing, data collection, community notification, and supervision of adult probationers under the interstate compact are some of the newer duties assumed by probation officers as new laws and court cases impact their duties and responsibilities.

Juvenile Community Corrections

(As of December 31, 2020)

- Received 2,648 referrals (54% first time).
- Diverted 674 of the 784 referrals for diversion (86% diversion rate).
- Oversaw 196 new probation cases with an average monthly caseload of 207 youth on probation.

Juvenile Community Corrections

Juvenile Community Corrections (JCC) is divided into three regions classified as Region 1 (York and Cumberland Counties), Region 2 (Oxford, Franklin, Androscoggin, Kennebec, Waldo, Sagadahoc, Lincoln), and Region 3 (Aroostook, Piscataquis, Penobscot, Hancock, Knox, Somerset, Washington).

Regional offices (including numerous sub-offices) receive referrals from law enforcement officers at which point the JCCOs must, based on specified criteria, screen for possible diversion, case manage youth placed on probation or on aftercare status from one of the facilities, and/or determine detention decisions. JCC is also responsible for the administration of the Interstate Compact for Juveniles.

Community Corrections continued

JCC's mission is to promote public safety by ensuring that juveniles under Department of Correction's jurisdiction are provided with risk-focused intervention, quality treatment, and other services that teach skills and competencies, strengthen prosocial behaviors to reduce the likelihood of re-offending, and require accountability to victims and communities. More recently, through in-depth training for management staff, JCC has adopted a result-based leadership approach. Thus, the division is committed to the result that all Maine youth successfully transition into adulthood by ensuring that all justice involved youth experience a fair, equitable, and responsive juvenile justice system that provides them with positive outcomes.

Health Services

Health Services is responsible for oversight of the Department's medical contract with [Wellpath](#). Staff monitor the quality of health services to ensure medically necessary care is delivered to the client population. This includes auditing health services, identifying medical issues and requesting corrective action plans when necessary. Health Services is also tasked with ensuring compliance with the accreditation body, the American Correctional Association (ACA).

Areas included in monitoring health services are:

- Chronic Care Clinics
- Assisted Living Units (ALU)
- Infirmary
- Mental Health
- Dental Health
- Infection Control
- Housing Placement related to health care needs
- Participation in Oversight Inspection Process of County Jails
- Providing staff education/training on use Narcan in MDOC facilities
- Supporting planning and implementation for services related to infectious diseases

Treatment Services are Available to All MDOC Residents

Wellpath is an independent contractor that provides medical and behavioral health services for residents in all Maine DOC facilities.

Day One (subcontracted with Wellpath) provides substance use treatment to males at Mountain View Correctional Facility and youth at Long Creek Youth Development Center.

Helping Hands - Peer Assistants

In 2018, MDOC Health Services initiated a new program in the Women's Assisted Living Unit (ALU) called Helping Hands. Volunteer residents, aka, Peer Assistants, apply for the program and after meeting established criteria are trained to assist and coach residents housed in ALU. Peer Assistants are trained to act with patience and compassion to coach and support their peers with non-medical tasks such as eating, grooming, exercising, prompting and companionship.

In 2019, the Helping Hands program was expanded to the men's ALU at Mountain View Correctional Facility.

Training Division

2020 MDOC Staff Training

Total Staff
Training Hours..... 110,683

Number of Staff
Who Received Training 1,402

Total Number of
Classes Offered..... 995
(853 were
DOC sponsored)

The Training Division delivers training to all adult/juvenile community and facility staff mandated by the Maine Criminal Justice Academy (MCJA), Occupational Safety and Health Administration (OSHA), Department of Labor, MDOC policy and by the American Correctional Association (ACA).

Required Training for Staff

- Facility Staff - All hired Corrections Officers (CO) receive 208 hours of training that is required by MCJA for certification, as well as, 48-hour Joint Post School. COs are required to maintain certification and must take at least 40 hours of training yearly pertaining to their jobs. Also, Field Training Officers assist with three weeks of on-the-job training to assist new hires learn their duties as Corrections Officers.
- Community Staff - Community Corrections basic training consists of 200 hours, as well as, 40 hours of firearms training. All Probation Officers are required to maintain certification yearly by attending 40 hours of required training pertaining to their job as well as Firearms for Adult Community Corrections.
- Supervisory Staff - All supervisory staff are mandated to attend a 21-hour course in Managing in Maine State Corrections.
- Case Managers - All Case Managers are required to take a 32 –hour Maine Integrated Risk Reduction Model (MIRRM) class, 24-hour Motivational Interviewing class as well as a 16-hour LSI-R class. (See page 10 for more details on Case Manager trainings.)

In 2018, the Department began preparing and training key staff to deliver the following trainings:

- CPR/First Aid
- Management of Effective Restraint and Control (MERC)
- Creating Regulation and Resiliency (CR2)
- Motivational Interviewing (MI)
- Mental Health First Aid (MHFA)

In 2019-20, all facility staff and COs will be trained in CR2 and all COs will be trained in MHFA. CR2 is a communication model designed to foster productive interactions with offenders and de-escalate situations. MHFA, required by statute (LD 3122), provides all COs mental health first aid training at the Maine Criminal Justice Academy. Two additional areas staff

Training Division continued

training will focus on include:

- ***The Learning Professional*** - a National Institute of Corrections (NIC) program that provides new instructors foundational skills to successfully train others.
- ***Leadership Development*** - will be open to all interested staff who would like to prepare for leadership roles within the Department.

Information Technology

The Corrections Technology Division primarily supports MDOC's Prisoner/ Resident Management System (CORIS). Serving both Adult and Juvenile Community and Facility, the division serves as the bridge between users and the CORIS vendor, Abilis. Division staff ensure data is entered correctly, perform user administration, manage new module releases, submit data fix requests, and provide staff training.

A secondary function of the Corrections Technology Division is to act as a conduit between the Office of Information Technology (OIT), other vendors, and contractors which include:

- Wellpath - MDOC's comprehensive medical care provider
- Johnson Controls - facility security systems, cameras, access controls
- Legacy/Edovo - prisoner/resident phone system, and education tablet platform

Currently, the Corrections Technology Division is preparing for a major CORIS upgrade to a vendor supported cloud environment.

**To contact Victim Services,
call 1-800-968-6909**

Industry Programs

Maine State Prison

- Wood shop - manufactures products sold in Prison Showroom, Thomaston, Maine
- Upholstery shop

Bolduc Correctional Facility

- Makes license plates for MDOT
- Makes marine rope products and candles
- Package and sells cleaning supplies to MDOC Facilities

Maine Correctional Center

- Garment Shop and Woman's Industries - manufacturing work for outside companies and makes offender clothing
- Upholstery
- Furniture assembly which is sold to State agencies
- Custom embroidery and engraving for State agencies and general public

Mountain View Correctional Facility

- Wood shop - produce wood furniture and snowshoes for a local non-profit company
- Furniture manufacturing and millwork for local community in the Dover-Foxcroft area
- Wood Harvesting - produce lumber for MDOC facility woodshops and local businesses

Victim Services

The Division of Victim Services provides services and information for victims of crime including:

- Sentencing information
- Anticipated date of release
- Place of confinement
- Restitution status

The victim notification law applies to victims of murder or of a Class A-D crime. Victims who desire to receive notification must file a request with the office of the prosecuting attorney or online at www.maine.gov/corrections/Victim_Services/index.htm. Victim's requests are confidential. The requesting victim will be notified prior to work release, furlough, or release from confinement.

Victim services can provide assistance in cases of harassment if a person in the custody or under the supervision of the Maine Department of Corrections engages in any course of conduct with the intent to harass, torment or threaten another person.

Industries

The Maine Correctional Industries Program has a long history of producing quality products while providing residents the opportunity to learn marketable job skills. The program also teaches work ethics and responsibility—necessary tools for the transition into the work force. The program helps residents build a strong foundation to reestablish their lives upon release.

Industries works to develop partnerships with manufacturers in the community. Our partnering with outside manufacturers serves a dual purpose, while teaching residents a new skill and giving them an opportunity to earn and save money for their eventual release, it creates relationships with outside companies willing to hire those with justice involvement. The training and programming residents receive while serving their time can be pivotal to becoming a productive citizen once released.

Several industry programs are enrolled in the Prison Industry Enhancement Certification Programs (PIECP), a federally certified and highly regulated program.

Maine Department of Corrections

FY19 Financial Information

	# of Positions	General Funds		Federal Funds		Other Special Revenue		Total Funds
		Personal Services	All Other	Personal Services	All Other	Personal Services	All Other	
Facilities								
Bolduc Correctional Facility	55	\$5,065,927	\$556,500			\$ -	\$58,683	\$5,681,110
Maine State Prison	309	\$27,337,549	\$4,789,930	\$ -	\$500	\$ -	\$34,034	\$32,162,013
Maine Correctional Center	291	\$25,929,341	\$2,868,422	\$51,568	\$60,971	\$201,109	\$151,393	\$29,262,804
Mountain View Correctional Facility	163.5	\$14,852,198	\$1,870,108	\$90,302	\$73,408	\$ -	\$30,634	\$16,916,650
Long Creek Youth Development Center	173.5	\$15,294,304	\$1,454,549	\$92,649	\$114,789	\$ -	\$38,694	\$16,994,985
Community Corrections								
Adult	114.5	\$10,140,413	\$1,296,123	\$44,830	\$156,101	\$ -	\$305,959	\$11,943,426
Juvenile	72.5	\$6,724,338	\$4,436,339	\$ -	\$90,032	\$ -	\$223,622	\$11,474,331
County Jails								
County Jails Operations Fund	0	\$ -	\$18,442,104	\$ -	\$ -	\$ -	\$565,503	\$19,007,607



Special Thanks

The great work exemplified in this manual and its creation was made possible by the dedicated staff of the Maine Department of Corrections, and contracted service providers who have given themselves to the fulfillment of the mission of the Department of Corrections.

The mission of the Department of Corrections is to reduce the likelihood that juvenile and adult offenders will re-offend, by providing practices, programs and services which are evidence-based, and which hold the offenders accountable.